

Next Generation Programme Documentation for Clearing Participants

Documents	Publication Dates	Location
Townhall Presentation Material (NextGen programme overview)	2018 Jan Published (updated in 2019 May)	NextGen Post Trade Programme Overview
NextGen Further Information	2018 July Published (updated in 2019 Jun)	NextGen Further Information
NextGen Risk Model – CP Seminar Material	2018 Apr (IM model) 2018 Dec (Stress testing model)	NextGen Risk Management
NextGen Risk Model – CP Deep Dive Workshop Material	2018 Jun (IM model) 2019 Jun (Stress testing model)	NextGen Risk Management
Client Connect Service Overview/ eService Coverage	2019 Apr	NextGen Client Connect
Client Connect Briefing Materials	2019 May	NextGen Client Connect
Client Connect User Onboarding Documents	2019 May	NextGen Client Connect
User Manuals & Technical Specifications	Publication Dates	Location
Client Connect Familiarization Guidelines	2019 May	Client Connect Familiarization Guidelines
Client Connect User Manual	2019 May	Client Connect User Manual
Client Connect FAQ	2019 June	Client Connect FAQ
VaR Risk Parameter file guide & Stress testing	2019 Aug	To be advised

Risk Parameter file guide		
NG Phase 1 Connectivity Guide (system connectivity and setup)	2019 Sep	To be advised
Report Access (Technical Guide)	2019 Sep	To be advised
NGRM User Guide for Participants (including Margin Simulator function)	2019 Dec	To be advised
Reports and Data Files Sample	2020 Jan	To be advised
NG Phase 1 Installation and Configuration Document	2020 Jan	To be advised
Rules	Publication Dates	Location
Clearing House Rules Updates	2019 Dec	To be advised

(The above timeline serves for indicative purpose and is subject to changes at HKEX discretion. Clearing Participants shall always refer to the latest announcements from HKEX.)