

Next Generation Programme Documentation for Clearing Participants

Documents	Publication Dates	Location
Townhall Presentation Material (NextGen programme overview)	2018 Jan Published (updated in 2019 May)	NextGen Post Trade Programme Overview
NextGen Further Information	2018 July Published (updated in 2019 Jun)	NextGen Further Information
NextGen Risk Model – CP Seminar Material	2018 Apr (IM model) 2018 Dec (Stress testing model)	NextGen Risk Management
NextGen Risk Model – CP Deep Dive Workshop Material	2018 Jun (IM model) 2019 Jun (Stress testing model)	NextGen Risk Management
NextGen Post Trade Platform-Risk Management Town Hall	2019 Oct	NextGen Post Trade Platform-Risk Management Town Hall
Client Connect Service Overview/ eService Coverage	2019 Apr	NextGen Client Connect
Client Connect Briefing Materials	2019 May	NextGen Client Connect
Client Connect User Onboarding Documents	2019 May	NextGen Client Connect
User Manuals & Technical Specifications	Publication Dates	Location
Client Connect Familiarization Guidelines	2019 May	Client Connect Familiarization Guidelines
Client Connect User Manual	2019 May	Client Connect User Manual
Client Connect FAQ	2019 June	Client Connect FAQ
Stress Test Value Calculation Guide	2019 Oct	Stress Test Value Calculation Guide

Initial Margin Calculation Guide	2019 Oct	Initial Margin Calculation Guide
HKSCC Report Access Platform (RAP) Technical Guide	2019 Oct	HKSCC Report Access Platform (RAP) Technical Guide
PC and Network Requirement	2019 Oct	PC and Network Requirement
NGRM User Guide for Participants (including Margin Simulator function)	2019 Dec	To be advised
List of Reports and Data Files, with samples	Q1 2020	To be advised
HKSCC Connectivity & Configuration Guide	Q1 2020	To be advised
Rules	Publication Dates	Location
Clearing House Rules Updates	2019 Dec	To be advised

(The above timeline serves for indicative purpose and is subject to changes at HKEX discretion. Clearing Participants shall always refer to the latest announcements from HKEX.)