

Amendment Log

Revised Date	Section / Page	Description
Jun 2023	Section 4.2	- Added new eService DA4
Mar 2023	Section 4.2	- Added new eService DA3
Nov 2022	Appendix 4	- SEHK Participant <ul style="list-style-type: none"> <li>o Added new sService of CT006</li> <li>o Update submission channel for CS009, CS010, CT004, CT005</li> <li>o Update eServices/ Form Name for CS007 to CS009, CT003, CT018</li> </ul>
Aug 2021	Section 4.3	- Added new eService TechS 8
July 2021	Section 4.2	- Added new eService DA 1
June 2020	Section 4.2	- Added new eServices AC7; AC8
March 2020	Section 4.1	- Added new eServices DEP 1A; DEP 1B
Dec 2019	Section 1	- Updated newly added eService to eService coverage table
	Appendix 1	- Updated Summary of Statuses
	Appendix 4	- Replaced following physical forms by eServices <ul style="list-style-type: none"> <li>o AC 2 - DCASS-Form 2, 6</li> <li>o AC 5 - CCASS-Form 68</li> <li>o AC 11 - G-Form 6</li> <li>o AC 12 - CCASS-Form 49 – 53, DCASS-Form 4</li> <li>o AC 15 - CCASS-Form 73</li> <li>o DEP 2A - CCASS-Form 33,34,35</li> <li>o DEP 2B - CCASS-Form 33,34,35</li> <li>o DEP 3 - CCASS-Form 18 – 23</li> <li>o NOM 2 - New Form</li> <li>o NOM 3 - New Form</li> <li>o PAM 1 - Form 20</li> <li>o PAM 2 - Form 20</li> <li>o RR 1 - CCASS- Form 54, DCASS-Form 5</li> <li>o Set 5 - CCASS-Form 10</li> <li>o Scard2 - CCASS-Form 92</li> <li>o TechS 1 - DCASS-Form 7</li> <li>o TechS 2 - DCASS-Form 11</li> <li>o TechS 3 - DCASS-Form 12</li> <li>o TechS 7 - CCASS-Form 98</li> </ul>
	Appendix 5	- Added 19 new eServices to the list
	Section 4.1	- Added new eServices <ul style="list-style-type: none"> <li>o AC 2; DEP 2A; DEP 2B; NOM 2; NOM 3; Set 5</li> </ul>
	Section 4.2	- Added new eServices <ul style="list-style-type: none"> <li>o AC 5; AC 11; AC 12; AC 15; DEP 3; PAM 1; PAM 2; RR 1; Scard2</li> </ul>
	Section 4.3	- Added new eServices <ul style="list-style-type: none"> <li>o TechS 1; TechS 2; TechS 3; TechS 7</li> </ul>
	Section 4.2	- Added new eServices
Aug 2019	Section 1	- Updated newly added eService to eService coverage table
	Appendix 1	- Added Type 3 workflow - Updated Summary of Statuses
	Appendix 2	- Added functions for CCDA - EA_SecuritiesTrading - Added functions for Busniess User - EU_SecuritiesTrading - Changed Role assignment of “DNS Functions” to “Depository and Nominee Services Functions”
	Appendix 3	- Added SEHK Participant to Table 2 with EA_SecuritiesTrading and EU_SecuritiesTrading functions
	Appendix 4	- Replaced following physical forms by eServices <ul style="list-style-type: none"> <li>o Nom 1 - CCASS-Form 44</li> <li>o Dep 5 - CCASS-Form 25, CCASS-Form 26, CCASS-Form 27</li> </ul>

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		<ul style="list-style-type: none"><li>○ Dep 6 - New form</li><li>○ AC 6 - CCASS-Form 69</li><li>- Added SEHK Participant Table on page 8</li></ul>
	Appendix 5	<ul style="list-style-type: none"><li>- Added 4 new eServices to the list</li><li>- Added SEHK Participantship column to the table</li></ul>
	Section 4.1	<ul style="list-style-type: none"><li>- Added new eService<ul style="list-style-type: none"><li>○ NOM 1</li></ul></li></ul>
		<ul style="list-style-type: none"><li>- Added new eService<ul style="list-style-type: none"><li>○ DEP 5</li></ul></li></ul>
		<ul style="list-style-type: none"><li>- Added new eService<ul style="list-style-type: none"><li>○ DEP 6</li></ul></li></ul>
	Section 4.2	<ul style="list-style-type: none"><li>- Added new eService<ul style="list-style-type: none"><li>○ AC 6</li></ul></li></ul>
Jan 2019	Initial version	<ul style="list-style-type: none"><li>- Describe functionality of eService under Client Connect Release 1</li></ul>