

Amendment Log

Revised Date	Section / Page	Description
Nov 2022	Appendix 4	<ul style="list-style-type: none"> - SEHK Participant <ul style="list-style-type: none"> o Added new sService of CT006 o Update submission channel for CS009, CS010, CT004, CT005 o Update eServices/ Form Name for CS007 to CS009, CT003, CT018
Aug 2021	Section 4.3	<ul style="list-style-type: none"> - Added new eService TechS 8
July 2021	Section 4.2	<ul style="list-style-type: none"> - Added new eService DA 1
June 2020	Section 4.2	<ul style="list-style-type: none"> - Added new eServices AC7; AC8
March 2020	Section 4.1	<ul style="list-style-type: none"> - Added new eServices DEP 1A; DEP 1B
Dec 2019	Section 1	<ul style="list-style-type: none"> - Updated newly added eService to eService coverage table
	Appendix 1	<ul style="list-style-type: none"> - Updated Summary of Statuses
	Appendix 4	<ul style="list-style-type: none"> - Replaced following physical forms by eServices <ul style="list-style-type: none"> o AC 2 - DCASS-Form 2, 6 o AC 5 - CCASS-Form 68 o AC 11 - G-Form 6 o AC 12 - CCASS-Form 49 – 53, DCASS-Form 4 o AC 15 - CCASS-Form 73 o DEP 2A - CCASS-Form 33,34,35 o DEP 2B - CCASS-Form 33,34,35 o DEP 3 - CCASS-Form 18 – 23 o NOM 2 - New Form o NOM 3 - New Form o PAM 1 - Form 20 o PAM 2 - Form 20 o RR 1 - CCASS- Form 54, DCASS-Form 5 o Set 5 - CCASS-Form 10 o Scard2 - CCASS-Form 92 o TechS 1 - DCASS-Form 7 o TechS 2 - DCASS-Form 11 o TechS 3 - DCASS-Form 12 o TechS 7 - CCASS-Form 98
	Appendix 5	<ul style="list-style-type: none"> - Added 19 new eServices to the list
	Section 4.1	<ul style="list-style-type: none"> - Added new eServices <ul style="list-style-type: none"> o AC 2; DEP 2A; DEP 2B; NOM 2; NOM 3; Set 5
	Section 4.2	<ul style="list-style-type: none"> - Added new eServices <ul style="list-style-type: none"> o AC 5; AC 11; AC 12; AC 15; DEP 3; PAM 1; PAM 2; RR 1; Scard2
	Section 4.3	<ul style="list-style-type: none"> - Added new eServices <ul style="list-style-type: none"> o TechS 1; TechS 2; TechS 3; TechS 7
	Section 4.4	<ul style="list-style-type: none"> - Added new eServices
Aug 2019	Section 1	<ul style="list-style-type: none"> - Updated newly added eService to eService coverage table
	Appendix 1	<ul style="list-style-type: none"> - Added Type 3 workflow - Updated Summary of Statuses
	Appendix 2	<ul style="list-style-type: none"> - Added functions for CCDA - EA_SecuritiesTrading - Added functions for Business User - EU_SecuritiesTrading - Changed Role assignment of “DNS Functions” to “Depository and Nominee Services Functions”
	Appendix 3	<ul style="list-style-type: none"> - Added SEHK Participant to Table 2 with EA_SecuritiesTrading and EU_SecuritiesTrading functions
	Appendix 4	<ul style="list-style-type: none"> - Replaced following physical forms by eServices <ul style="list-style-type: none"> o Nom 1 - CCASS-Form 44 o Dep 5 - CCASS-Form 25, CCASS-Form 26, CCASS-Form 27 o Dep 6 - New form o AC 6 - CCASS-Form 69 - Added SEHK Participant Table on page 8
Appendix 5	<ul style="list-style-type: none"> - Added 4 new eServices to the list 	

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		- Added SEHK Participantship column to the table
	Section 4.1	- Added new eService o NOM 1
		- Added new eService o DEP 5
		- Added new eService o DEP 6
	Section 4.2	- Added new eService o AC 6
Jan 2019	Initial version	- Describe functionality of eService under Client Connect Release 1