

Appendix 2

USER ROLES

There are 2 types of users in Client Connect: Client Connect Delegated Administrator (CCDA) and Business User. CCDAs are assigned with rights (Function ID) based on the Participants they are entitled to manage. The rights of Business users are assigned and maintained by their CCDAs.

Function ID	Descriptions	Maker	Checker
Functions for CCDA			
EA_UserAdmin	For user profile maintenance (e.g. add / edit / update / unlock users)	✓	✓
EA_SecuritiesClearing	Role assignment of HKSCC Participant Functions	✓	✓
EA_DerivativesClearing	Role assignment of Derivatives Clearing Functions	✓	✓
EA_DerivativesTrading	Role assignment of Derivatives Trading Functions	✓	✓
EA_HKSCCDB	Role assignment of Designated Bank Functions	✓	✓
EA_HKSCCCAP	Role assignment of Clearing Agency Participants	✓	✓
EA_MSPSA	Role assignment of Master SPSA Holders	✓	✓
EA_DNS	Role assignment of DNS Functions	✓	✓
EA ETF	Role assignment of ETF Stakeholder Functions	✓	✓
EA_Registrar	Role assignment of Registrar Functions	✓	✓
EA_SecuritiesTrading	Role assignment of Securities Trading Functions	✓	✓
EA_MDConnectivity	Role assignment of Market Data Functions	✓	✓
EA_HKCCDepository	Role assignment of HKCC Approved Depository Functions	✓	✓
EA_SystemVendor	Role assignment of System Vendor Functions	✓	✓
EA_SettlementAgent	Role assignment of Settlement Agent Functions (for non-CP Settlement Agent)	✓	✓
EU_UserMaintenance	Services related to user maintenance	✓	✓

Function ID	Descriptions	Maker	Checker	Viewer
Functions for Business User				
EU_CompanyAdministration	Services related to company administration	✓	✓	✓
EU_AccountMaintenance	Services related to account maintenance	✓	✓	✓
EU_DerivativesClearing	Derivatives Clearing services for Participants	✓	✓	✓
EU_DerivativesTrading	Derivatives Trading services for Participants	✓	✗	✓
EU_SecuritiesSettlement	Securities Settlement services for Participants	✓	✓	✓
EU_TechnicalSetup	Services related to technical setup	✓	✓	✓
EU_CommonNomineeService	Common Nominee Services for Participants	✓	✓	✓
EU_Depository	Depository services for Participants	✓	✓	✓
EU_MSPSA	Services for Master SPSA Holders	✓	✓	✓
EU_Registrar	Services for Share Registrars	✓	✓	✓
EU ETF	Services for ETF Stakeholders	✓	✓	✓
EU_SecuritiesTrading	Services for SEHK Participants	✓	✗	✓
EU_MDConnectivity	Services for HKEX-IS clients	✓	✓	✓
EU_SystemVendor	Services for System vendors	✓	✓	✓

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EU_HKCCDepository	Services for HKCC Approved Depository	✓	✓	✓
EU_Dashboard	For accessing Resource Area only	✗	✗	✓