

## Appendix 3

### LIST OF FUNCTION ID MAPPING BY TYPE OF USERS

- Please refer to Table 1 for all the functions CCDAs can perform.
- Please refer to Table 2 for all other EA functions that will be assigned to CCDAs based on the eligible Participantship they are entitled to manage, as well as the EU functions that CCDAs can assign to their Business users.

*Table 1*

CCDA Functions	Remarks
<b>Function ID: EA_UserAdmin</b>	
<b>1. Access Rights Management Dashboard</b>	Eligible to all CCDAs.
<b>2. Manage Users</b>	
- View Users list	Eligible to all CCDAs. Maker-checker mechanism is provided.
- Create Users	
- Delete Users	
- Edit Users	
- Grant Access Groups to Users	
- Unlock User Accounts	
<b>3. Access Company Profile</b>	Eligible to all CCDAs.
- View Company Details	
- View Company Identity	
- View Company Users	
- View Company Admins	
<b>Function ID: Other EA functions (Please refer to Table 2)</b>	
<b>4. Manage Business user rights</b>	Other EA functions are assigned based on Participantships that the CCDAs are entitled to manage. Eligible to all CCDAs. Maker-checker mechanism is provided.
- Assign rights to Business users for all eServices and physical forms usage	
<b>Function ID: EU_UserMaintenance</b>	
<b>5. Access Client Connect</b>	Eligible to all CCDAs.
<b>6. Access user maintenance related eServices / forms</b>	
- Smartcard Maintenance for User and DA (SCard 1)	Only eligible to HKSCC Participant, HKSCC Designated Bank, HKSCC Clearing Agency Participant, HKCC & SEOCH Participant. Maker-checker mechanism is provided.
- Order Smartcard Reader(s) (SCard 3)	
- Smartcard Maintenance for user (PG or Special Request) (SCard 2)	
- DCASS User Account Maintenance Request to HKCC/SEOCH (AC 2)	Only eligible to HKCC & SEOCH Participant.

### Appendix 3

#### List of User Roles Mapping by type of users

Table 2

Participanship/Identity	CCDA Function ID	Managing Business Function ID
HKSCC Participant	EA_SecuritiesClearing	EU_CompanyAdministration
		EU_AccountMaintenance
		EU_SecuritiesSettlement
		EU_TechnicalSetup
		EU_Participanship
		EU_Dashboard
	EA_DNS	EU_CommonNomineeService
		EU_Depository
		EU_Dashboard
HKSCC Designated Bank	EA_HKSCCDB	EU_CompanyAdministration
		EU_TechnicalSetup
		EU_Dashboard
HKCC Participant	EA_DerivativesClearing	EU_DerivativesClearing
		EU_CompanyAdministration
		EU_AccountMaintenance
		EU_TechnicalSetup
		EU_Participanship
		EU_Dashboard
HKFE Participant	EA_DerivativesTrading	EU_DerivativesTrading
		EU_Participanship
		EU_Dashboard
SEOCH Participant	EA_DerivativesClearing	EU_DerivativesClearing
		EU_CompanyAdministration
		EU_AccountMaintenance
		EU_TechnicalSetup
		EU_Participanship
		EU_Dashboard
SEHK Participant	EA_SecuritiesTrading	EU_SecuritiesTrading
		EU_Dashboard
SEHK Options Trading Exchange Participant	EA_DerivativesTrading	EU_DerivativesTrading
		EU_Participanship
		EU_Dashboard
Master SPSA Holder	EA_MSPSA	EU_MSPSA
		EU_Dashboard
HKEX-IS Clients	EA_MDConnectivity	EU_MDConnectivity
		EU_Dashboard
Share Registrar	EA_Registrar	EU_Registrar
		EU_Dashboard
System Vendor	EA_SystemVendor	EU_SystemVendor
		EU_Dashboard

