

1 Introduction

INTRODUCTION

HKEX Client Connect (“Client Connect”) is a unified and secured web-based platform that will give all Clients (e.g. Exchange Participants, Clearing Participants, etc) direct access to a host of HKEX services, enhancing their overall user experience. Client Connect elevates the HKEX service offering to our Clients by digitizing the majority of paper-based services, building a centralized event enrolment platform and establishing an information corner through secured web-based interface made available via PC, tablet and mobile devices.

SCOPE

The Client Connect aims to provide a single platform to unify all (Exchange Participant, Clearing Participant and other clients) client access to HKEX services. Its primary objectives are:

- To enhance the HKEX service offering by digitizing the majority of paper-based services
- To simplify and enhance operational efficiency

This User Manual is written to enable different users to make full and efficient use of Client Connect services by providing instructions for operating the system's functions. Currently, Client Connect services include eServices for Clearing Participant of HKSCC, HKCC and SEOCH, Exchange Participant of SEHK, HKFE and SEOCH, HKEX-IS Clients, HKEX Hosting Services Subscribers, System Vendor for Derivatives Clearing & Trading and Independent Software Vendor for Market Data. Not all sections of this User Manual are relevant to all types of users. Users should only refer to the sections relevant to themselves. The User Manual is focused on functions available under Client Connect.

CONTENTS

The User Manual covers functions and reports related to users. It is divided into two main parts. Section 2 covers general information of Client Connect and key features. Section 3 contains operations of all eServices.

Within each section, the structure is arranged as follows:

- When to use
- About the eService
- Sample UX
- Fields Highlights

This User Manual will be subject to further amendments and changes to cover further release of Client Connect services.

eSERVICE COVERAGE

The initial eServices coverage will focus on approximately 45 manual activities focusing on Settlement & Depository activities, Participantship Management, Technical Setup and participation in events. Certain existing manual forms will be consolidated into a single eService to facilitate multiple instructions/ applications through one submission.

Further information will be provided on the eServices implemented in each release.

Category	Clearing House	#	eService ID	eService	Existing forms
Settlement and Depository	HKSCC	1	Set 1	Application to HKSCC for Exemption of Buy-in	Application Form for Exemption of Buy-in
		2	Set 2	Early Buy-in Request to HKSCC	Application Form for Exemption of Buy-in (China Connect Securities) Early Buy-in Request Form
		3	Set 3	Request for Special Buy-In	Buy-in Reporting Slip Request Letter for Exemption of Special Buy-in
		4	Set 4	Buy-in Request to HKSCC for CCASS Isolated Trades only	Buy-in Request Form (for CCASS Isolated Trades only) Withdrawal of Buy-in Request Form (for CCASS Isolated Trades only)
Participantship Management	HKSCC	5	AC 14	Stock Segregated Account - Without Statement Maintenance Request to HKSCC	Stock Segregated Account Maintenance Form – Without Statement
	HKCC /SEOCH	6	AC 1	DCASS Account Maintenance Request to HKCC/SEOCH	DCASS Account Maintenance Form (HKCC) Maintenance of OBEP Individual Client Account Form
		7	AC 3	Selected Currency Designation Request to HKCC/SEOCH	Selected Currency Designation Form
	SEOCH	8	AC 4	CCMS Collateral Account Designation for Marks, Margin and Concentration Collateral Collection by SEOCH	CCMS Collateral Account Designation Form for Marks, Margin and Concentration Collateral Collection by SEOCH
	HKSCC /HKCC /SEOCH	9	AC 9	Change of Company Name	Change of Company Name Form
		10	AC 13	Change of Company Particulars and Contact Persons	Change of Account Information Form Change of CCMS / DCASS Contact Persons Form
		11	S Card 1	Smartcard Maintenance for User and DA	User Profile for Delegated Administrator (DA) Smartcard Request Form for CCASS/CCMS User Report Loss of Smartcard
		12	S Card 3	Order Smartcard Reader(s)	Order Form for Smartcard Reader(s)
Technical setup	HKCC /SEOCH	13	TechS 4	Request to HKCC/SEOCH for accessing DCASS OAPI Testing Environment	Request Form for accessing DCASS OAPI Testing Environment
		14	TechS 5	DCASS Production / Testing Line Application and/or Change of Bandwidth Notification to HKCC/SEOCH	DCASS Central/Network Gateway/Testing Line Application and/or Change of SDNet Bandwidth Notification Form
	HKSCC /HKCC /SEOCH	15	TechS 6	CCASS/CCMS SDNet Line Service Notification	CCASS/CCMS SDNet Line Service Notification Form for HKSCC/HKCC/SEOCH Participants/HKSCC Designated Banks
Event	Common	16	Event ID	Activity Enrolment	N/A
File Transmission	HKSCC	17	UD	Submission and Download	N/A

For eServices related to Cash Trading, Derivatives Trading and Market Data, please click [here](#).

CONTACT US

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