4.2   Participantship Management

AC 1   DCASS ACCOUNT MAINTENANCE REQUEST TO HKCC / SEOCH

WHEN TO USE:

➢ When a HKCC and/or SEOCH Participant wishes to open / terminate additional account in DCASS, including Individual Client Account and OBEP Individual Client Account for SEOCH, through Client Connect.

➢ The eService is available at any time.

ABOUT THIS eSERVICE:

➢ This eService is available to Business users granted with the access rights EU_AccountMaintenance.

➢ This eService is equivalent to "DCASS ACCOUNT MAINTENANCE FORM (HKCC)" (HKCC-Form AC), "DCASS ACCOUNT MAINTENANCE FORM (SEOCH)" (SEOCH-Form A9) and "MAINTENANCE OF OBEP INDIVIDUAL CLIENT ACCOUNT FORM" (SEOCH-Form A11).

➢ Email and Dashboard notifications will be sent to both maker and checker once there is change relating to eService status.

SAMPLE UX:

Users can expand all sections to view all the fields.

There is built-in validation on the data input.

FIELDS HIGHLIGHTS:

#	Field Name	Highlights
COMPANY INFORMATION		
1	From	- Display the company name on behalf of which the maker is acting.
2	As	- Applicable to HKCC and/or SEOCH Participants.
DETAILS OF ACCOUNT MAINTENANCE		
Request Type: Other DCASS A/C		
3	Service Type o Open Straight Through Individual Client Account o Open NON Straight Through Individual Client Account o Open Other Account Type o Terminate Account	- All fields are mandatory.
4	Account Details	
Request Type: DCASS OBEP A/C		
5	Service Type o Open Individual Client Account o Terminate Account	- All fields are mandatory. - Maker can submit maintenance requests for more than 1 account.
6	Name of OBEP	
7	OBEP Account	
8	Declaration checkbox	
9	+ ADD ANOTHER ACCOUNT	
EFFECTIVE DATE		
10	Preferred Effective Date	- Preferred Effective Date must be equal to or later than 3 business dates later.
11	Confirmed Effective Date	- Confirmed Effective Date is only available when HKEX completed the request.
CONTACT INFORMATION		
12	Name of Contact Person	- Auto-filled based on maker's profile and editable.
13	Email Address	- If group email is defined, fill in group email instead of maker's email.
14	Telephone Number	- Built-in validation: Only on the format of email address and telephone number but not on data correctness.
15	+ CONTACT PERSON	- Maker can provide up to 5 contact information.