

## 4.2 Participantship Management

---

### AC 12 UPDATE BANK ACCOUNT

#### WHEN TO USE:

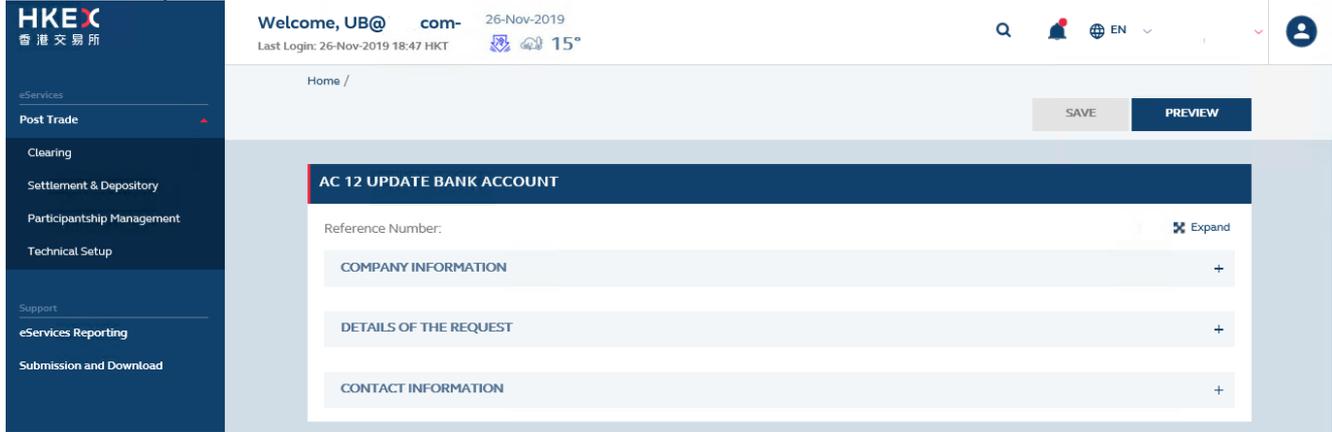
- When a HKSCC / HKCC / SEOCH Participant wishes to update information of bank account through Client Connect.
- This eService is available at any time.

#### ABOUT THIS eSERVICE:

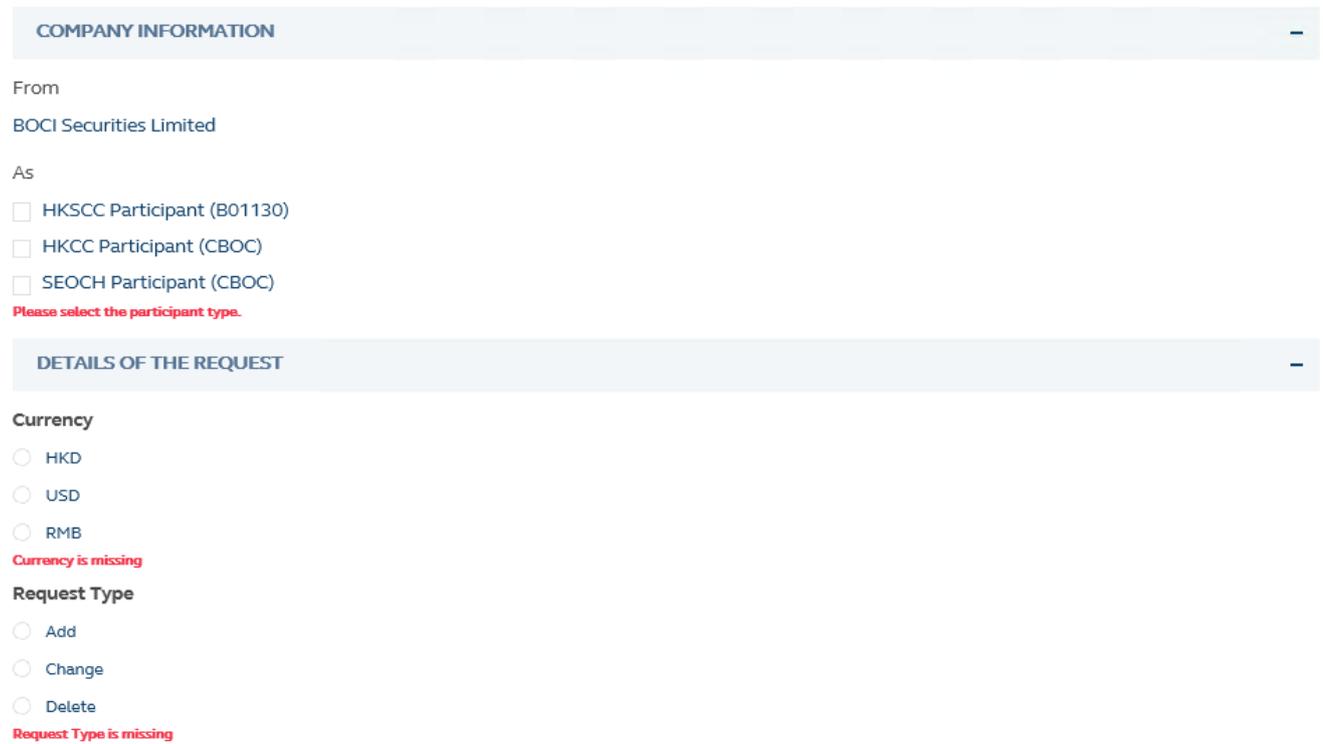
- This eService is available to business users granted with the access rights EU\_AccountMaintenance.
- This eService Form is equivalent to CCASS-Form 49 – 53 and DCASS-Form 4.
- Email and Dashboard notifications will be sent to both maker and checker when there is change related to eService status.
- PDF report will be available to Participant after the workflow is ended.

SAMPLE UX:

Users can expand all sections to view all the fields.



There is built-in validation on the data input.



**FIELDS HIGHLIGHTS:**

#	Field Name	Highlights
<b>COMPANY INFORMATION</b>		
1	From	- Display the Company name of the Participant
2	As <ul style="list-style-type: none"> <li>o HKSCC Participant</li> <li>o HKCC Participant</li> <li>o SEOCH Participant</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Checkbox</li> <li>- Select the role of Participant</li> <li>- As HKSCC is selected, HKCC and SEOCH would be disabled and vice versa.</li> </ul>
As: HKSCC PARTICIPANT		
<b>DETAILS OF THE REQUEST</b>		
3	Currency <ul style="list-style-type: none"> <li>o HKD</li> <li>o USD</li> <li>o RMB</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> <li>- Field number 4 to 21 varies depending on selection</li> </ul>
4	Request Type <ul style="list-style-type: none"> <li>o Add</li> <li>o Change</li> <li>o Delete</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> <li>- Only options “Add” and “Change” available when selecting “USD” or “RMB” in field 3</li> </ul>
5	Account Type <ul style="list-style-type: none"> <li>o 01(General Purpose)</li> <li>o 02(for DVP/RDP ISIs)</li> <li>o 03(for CCASS transactions (other than ISI Transactions) to be settled via CPIs)</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> <li>- Only options “02” or “03” available when selecting “Add” in field 4</li> </ul>
6	Purpose <ul style="list-style-type: none"> <li>o Debit Only</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Checkbox</li> <li>Only available when “HKD” is selected in field 3</li> <li>- Only available when option “02” or “03” is selected in field 5</li> </ul>
<b>ACCOUNT</b>		
REQUEST TYPE: ADD / DELETE		
7	Bank Name	- Dropdown menu
8	Branch No.	- Field format: Alphanumeric
9	Account No.	- Field format: Alphanumeric
10	Type of authorization <ul style="list-style-type: none"> <li>o Direct Debit Authorisation for Direct Debit Instruction</li> <li>o Debit Authorisation for Electronic Payment Instruction</li> <li>o Debit Authorisation for Clearing House Automated Transfer System (CHATS) Payment Instruction</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Checkbox</li> <li>- Mandatory field if “HKD” is selected in field 3</li> <li>- Only “Debit Authorisation for Clearing House Automated Transfer System (CHATS) Payment Instruction” is available when “USD” or “RMB” is selected in field 3; optional field.</li> </ul>
11	UPLOAD	- Optional to upload supporting documents
12	Declaration Box	- Mandatory Checkbox
<b>FROM ACCOUNT</b>		
REQUEST TYPE: CHANGE		
13	Bank Name	- Dropdown menu
14	Branch No.	- Field format: Alphanumeric
15	Account No.	- Field format: Alphanumeric
<b>TO ACCOUNT</b>		
REQUEST TYPE: CHANGE		
16	Bank Name	- Dropdown menu
17	Branch No.	- Field format: Alphanumeric
18	Account No.	- Field format: Alphanumeric

**Section 4.2 (AC 12)**

Participanship Management – Update Bank Account

#	Field Name	Highlights
19	Type of authorization <ul style="list-style-type: none"> <li>○ Direct Debit Authorisation for Direct Debit Instruction</li> <li>○ Debit Authorisation for Electronic Payment Instruction</li> <li>○ Debit Authorisation for Clearing House Automated Transfer System (CHATS) Payment Instruction</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Checkbox</li> <li>- Mandatory field if “HKD” is selected in field 3</li> <li>- Only “Debit Authorisation for Clearing House Automated Transfer System (CHATS) Payment Instruction” is available when “USD” or “RMB” is selected in field 3; optional field.</li> </ul>
20	UPLOAD	<ul style="list-style-type: none"> <li>- Optional to upload supporting documents</li> </ul>
21	Declaration Box	<ul style="list-style-type: none"> <li>- Mandatory Checkbox</li> </ul>
As: HKCC Participant / SEOC Participant		
<b>DETAILS OF THE REQUEST</b>		
22	Currency <ul style="list-style-type: none"> <li>○ HKD</li> <li>○ USD</li> <li>○ RMB</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> </ul>
23	Request Type <ul style="list-style-type: none"> <li>○ Change</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> </ul>
24	Account Type <ul style="list-style-type: none"> <li>○ House Account</li> <li>○ Client Account</li> <li>○ Market Maker Account (HKCC Participants Only)</li> </ul>	<ul style="list-style-type: none"> <li>- Field format: Radio button</li> </ul>
25	Account Number	<ul style="list-style-type: none"> <li>- Only available when selecting “Market Maker Account” in field 4</li> </ul>
<b>FROM ACCOUNT</b>		
26	Bank Name	<ul style="list-style-type: none"> <li>- Input disabled. Auto populated based on input of field number 7</li> </ul>
27	Branch No.	<ul style="list-style-type: none"> <li>- Input disabled. Auto populated based on input of field number 7</li> </ul>
28	Account No.	<ul style="list-style-type: none"> <li>- Field format: Alphanumeric</li> </ul>
<b>TO ACCOUNT</b>		
29	Bank Name	<ul style="list-style-type: none"> <li>- Field format: Calendar picker</li> <li>- Maker can only pick a future CCASS business date</li> </ul>
30	Branch No.	<ul style="list-style-type: none"> <li>- Field format: Alphanumeric</li> </ul>
31	Account No.	<ul style="list-style-type: none"> <li>- Field format: Alphanumeric</li> </ul>
32	UPLOAD	<ul style="list-style-type: none"> <li>- Optional to upload supporting documents</li> </ul>
33	Declaration Box	<ul style="list-style-type: none"> <li>- Mandatory Checkbox</li> </ul>
<b>CONTACT INFORMATION</b>		
34	Name of Contact Person	<ul style="list-style-type: none"> <li>- Field format: Alphanumeric</li> <li>- Auto-filled based on maker’s profile and content is editable.</li> </ul>
35	Email Address	<ul style="list-style-type: none"> <li>- Field format: Alphanumeric</li> <li>- Auto-filled based on maker’s profile and content is editable.</li> </ul>
36	Telephone Number	<ul style="list-style-type: none"> <li>- Field format: Numeric</li> <li>- Auto-filled based on maker’s profile and content is editable.</li> </ul>
37	+ CONTACT PERSON	<ul style="list-style-type: none"> <li>- Provide additional contract information by clicking the button</li> <li>- Participant can optionally provide up to 5 contact information.</li> </ul>