

4.2 Participation Management

AC 14 STOCK SEGREGATED ACCOUNT - WITHOUT STATEMENT MAINTENANCE REQUEST TO HKSCC

WHEN TO USE:

- When a HKSCC Participant or HKSCC Clearing Agency Participant wishes to submit maintenance requests for its Stock Segregated Account (SSA) without Statement Services through Client Connect.
- The eService is available at any time.

ABOUT THIS eSERVICE:

- This eService is available to Business users granted with the access rights EU_AccountMaintenance.
- This eService is equivalent to “STOCK SEGREGATED ACCOUNT MAINTENANCE FORM – WITHOUT STATEMENT” (CCASS-Form 55).
- Participant is allowed to open up to 14 SSAs without statement services. Account maintenance request is only applicable to account no. 03 to 16.
- Email and Dashboard notifications will be sent to both maker and checker once there is change relating to eService status.

Section 4.2 (AC 14)

Participanship Management –

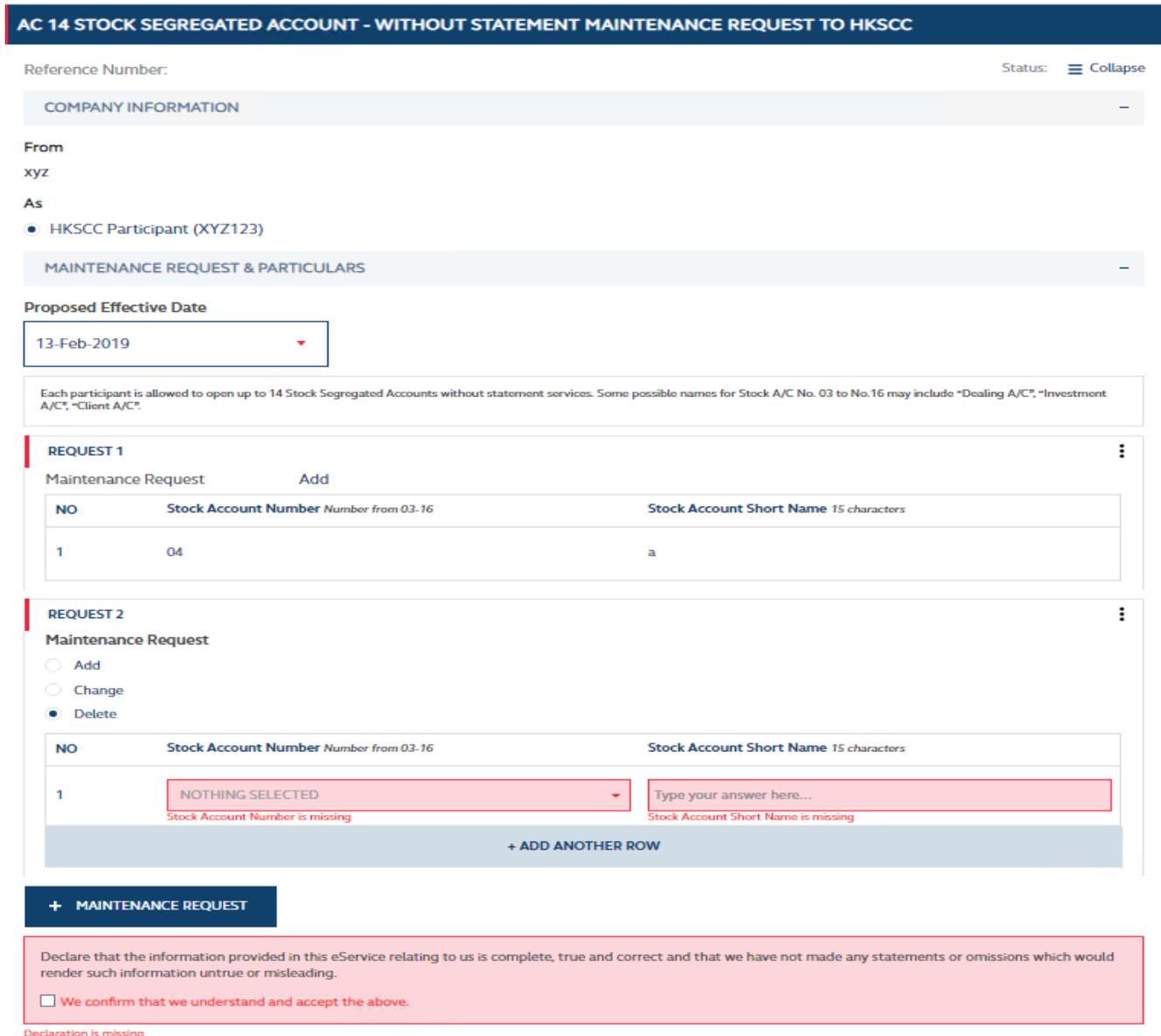
Stock Segregated Account - Without Statement Maintenance Request To HKSCC

SAMPLE UX:

Users can expand all sections to view all the fields.



There is built-in validation on the data input.



FIELDS HIGHLIGHTS:

#	Field Name	Highlights	
COMPANY INFORMATION			
1	From	<ul style="list-style-type: none"> - Display the company name on behalf of which the maker is acting. - Applicable to HKSCC Participants or HKSCC Clearing Agency Participants. 	
2	As		
MAINTENANCE REQUEST & PARTICULARS			
3	Proposed Effective Date	<ul style="list-style-type: none"> - All fields are mandatory. - Proposed Effective Date must be equal to or later than next business date. - If Change is selected in the Maintenance Request, maker must input the Old Stock Account Short Name. - Maker can add more than 1 row for each type of maintenance and add more than 1 maintenance request. 	
4	Maintenance Request <ul style="list-style-type: none"> o Add o Change o Delete 		
5	Stock Account Number		
6	Stock Account Short Name		
7	Old Stock Account Short Name		
8	+ ADD ANOTHER ROW		
9	+ MAINTENANCE REQUEST		
10	Declaration checkbox		
CONTACT INFORMATION			
11	Name of Contact Person		<ul style="list-style-type: none"> - Auto-filled based on maker's profile and is editable. - If group email is defined, fill in group email instead of maker's email. - Built-in validation: Only on the format of email address and telephone number but not on data correctness. - Maker can provide up to 5 contact information.
12	Email Address		
13	Telephone Number		
14	+ CONTACT PERSON		