4.2 Participantship Management

AC 4 CCMS COLLATERAL ACCOUNT DESIGNATION FOR MARKS, MARGIN AND CONCENTRATION COLLATERAL COLLECTION BY SEOCH

WHEN TO USE:

➢ When a SEOCH Participant wishes to designate a CCMS house account to collect money to satisfying the Marks, Margin and Concentration Collateral related to Exercised Options Trades (EOT) from time to time required by HKSCC, in respect of the Participant's pending stock positions which are to be settled via CCASS under the CNS system.

➢ The eService is available at any time.

ABOUT THIS eSERVICE:

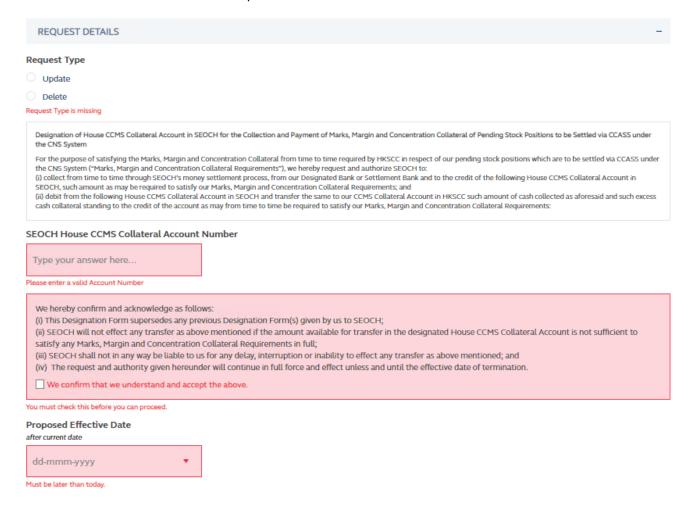➢ This eService is available to Business users granted with the access rights EU_AccountMaintenance.

➢ This eService is equivalent to "CCMS COLLATERAL ACCOUNT DESIGNATION FORM FOR MARKS, MARGIN AND CONCENTRATION COLLATERAL COLLECTION BY SEOCH" (SEOCH-Form B10).

➢ Email and Dashboard notifications will be sent to both maker and checker once there is change relating to eService status.

SAMPLE UX:

Users can expand all sections to view all the fields.

There is built-in validation on the data input.

FIELDS HIGHLIGHTS:

#	Field Name	Highlights
COMPANY INFORMATION		
1	From	- Display the company name on behalf of which the maker is acting.
2	As	- Only applicable to SEOCH Participants.
REQUEST DETAILS		

3	Request Type
	  o Update
	  o Delete
		- All fields are mandatory.
		- Built-in validation: SEOCH House CCMS Collateral Account Number must be a 4-digit number.

4	SEOCH House CCMS Collateral Account Number	- Proposed Effective Date must be later than current date. - Confirmed Effective Date shows "To be confirmed by HKEX" and is only available when HKEX approved the request.
5	Declaration checkbox	
6	Proposed Effective Date	
7	Confirmed Effective Date	
CONTACT INFORMATION		
8	Name of Contact Person	- Auto-filled based on maker's profile and editable. - If group email is defined, fill in group email instead of maker's email.
9	Email Address	- Built-in validation: Only on the format of email address and telephone number but not on data correctness.
10	Telephone Number	- Maker can provide up to 5 contact information.
11	+ CONTACT PERSON	