HKFE CLEARING CORPORATION LIMITED

Clearing Operations - Cash & Derivatives Clearing   Email: posttrade@hkex.com.hk
30/F, One Exchange Square,   Fax: 2868 0134
8 Connaught Place, Central, Hong Kong   Tel: 2211 6932

ON-BEHALF INTERNAL POSITION ADJUSTMENT REQUEST FORM

Please note below arrangement for form submission in respective time, and please contact us to confirm the form has been received by HKCC

- Before "System Input Cutoff Time", only "CTD" position adjustment will be executed
- Between "System Input Cutoff Time" & "T+1 Session Cutoff Time", only "NTD" position adjustment will be executed

Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :

Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

Details of Position Adjustment

Internal Account Position Transfer

	Old Account	New Account	Series	Long Transfer	Short Transfer	O/C/N/D
1.						
2.						

Justification:

Position Netting for the Same Series

	Account	Series	Net Down By
1.			
2.			

Position Netting between Different Series (e.g. HSI Futures vs Mini-HSI Futures, HSI Options vs HSI Flexible Options, HSCEI Futures vs Mini-HSCEI Futures, HSCEI Options vs Mini-HSCEI Options)

	Account	Series A*	Long Net Down By	Short Net Down By	Series B*	Long Net Down By	Short Net Down By
1.							
2.							

* The series under the fields "Series A" and "Series B" in the same row should have the same underlying commodity, contract month, strike price, option type but with opposite positions at a ratio as stipulated in the Clearing House Procedures 1.5.1

_____   _____

Authorised Signature(s) of HKCC Participant [with company chop, ONLY   Date
applicable if it forms part of your signing instruction]

Name of Signatory(ies) : _____

FOR HKCC USE				
VERIFIED DATE/TIME	APPROVED/ DATE	INPUT	INPUT DATE/ TIME	CHECKED/ DATE

Updated: June 2018