

## HKFE CLEARING CORPORATION LIMITED

Clearing Operations – On Exchange Clearing  
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### EXTERNAL POSITION TRANSFER REQUEST FORM

*Please note below arrangement for form submission in respective time, and please contact us to confirm the form has been received by HKCC*

- Before “System Input Cutoff Time”, only “CTD” position transfer will be executed
- Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only “NTD” position transfer will be executed

#### Particulars of HKCC Participant

HKCC Participant’s Name :	DCASS Customer Code :
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#### Details of Position to be transferred

	Old Account	New Account	Series	Long Transfer	Short Transfer	O/C/N/D
1.						
2.						
3.						
Justification:						

#### Confirmation from HKCC Participants involved

Parties Involved	Authorised Signatures	Contact Persons for the transfer
<b>Transferring Participant</b> HKCC Participant’s Name :  Date:	(with company chop, ONLY if it forms part of your signing instruction) Name of Signatory(ies) :	Name :  Phone No.:
<b>Receiving Participant</b> HKCC Participant’s Name :  Date:	(with company chop, ONLY if it forms part of your signing instruction) Name of Signatory(ies) :	Name :  Phone No.:

FOR HKCC USE			
VERIFIED BY / DATE	APPROVED BY / DATE	INPUT BY / DATE	INPUT DATE / TIME