

## HKFE CLEARING CORPORATION LIMITED

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### ANNULMENT OF POSITION NETTING REQUEST FORM FOR POSITION CLOSED BY TRADE ADJUSTMENT / POSITION TRANSFER / BETWEEN STANDARD AND FLEXIBLE OPTIONS SERIES

*Please contact us to confirm the form has been received by HKCC*

**Type of positions      Form submission deadline**

- “CTD” Positions      By “System Input Cutoff Time” on the **5th** “Business Day” after the netting of positions
- “NTD” Positions<sup>^</sup>      By “System Input Cutoff Time” on the **6th** “Business Day” after the netting of positions

<sup>^</sup> *Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only positions netting regarding current “NTD” positions will be accepted for annulment*

**Particulars of HKCC Participant**

HKCC Participant's Name :	DCASS Customer Code :
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Name of contact person:	
Position :	Phone No. :

**Details of Position Netting to be annulled**
**Request for :** Positions with the same series

	Date of Netting	Account	Series	Trade No.	Buy/Sell	Original Quantity of Net Down*
1.						
2.						

Justification:

**Request for :** Positions with different series (e.g. HSI Options vs HSI Flexible Options; or HSCEI Options vs HSCEI Flexible Options)

	Trade Date	Account	Series A**	Trade No.	Original Long Net Down*	Series B**	Trade No.	Original Short Net Down*
1.								
2.								

Justification:

\* *The "Original Quantity of Net Down", "Original Long Net Down" and "Original Short Net Down" should be of the same quantity as that of the previous position net down.*

\*\* *The series under the fields "Series A" and "Series B" in the same row should have the same underlying commodity, contract month, strike price, option type but with opposite positions at a ratio as stipulated in the Clearing House Procedures 1.5.1.*

\_\_\_\_\_  
 Authorised Signature(s) of HKCC Participant [with company chop, ONLY applicable if it forms part of your signing instruction]

\_\_\_\_\_  
 Date

Name of Signatory(ies) : \_\_\_\_\_