

HKFE CLEARING CORPORATION LIMITED

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FORM 10 : NOTIFICATION OF SETTLEMENT FAILURE IN RESPECT OF OPEN CONTRACTS IN EXCHANGE FUND NOTES

Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
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Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

We hereby confirm that all open positions in Exchange Fund Note Futures Contracts set out in the Notification of Settlement Details provided to us by the Clearing House have been settled except the following positions:

Transaction reference	EFN issue number	D = Deliver R = Receive	Nominal value (HK\$'000)	Settlement amount (HK\$)	C = Client H = House M = MM	Counterparty CMU code	Reason ¹ A or B

¹ Reason of settlement failure
A = Counterparty failed to make payment by deadline; B = Counterparty failed to deliver Exchange Fund Notes by deadline

Authorised Signature(s) of HKCC Participant (with company chop, ONLY applicable if it forms part of your signing instruction)

Date

Name of Signatory(ies) :

FOR HKCC USE			
VERIFIED BY/ DATE	CHECKED BY/ DATE	APPROVED BY/ DATE	REJECTED BY/ DATE