

## Application Form for SFTP User IDs Report Retrieval Solution For Listed Derivatives Market

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Full Name of Clearing Participant:	DCASS Customer Code: <input style="width: 30px;" type="text" value="C"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input type="checkbox"/> HKCC <input type="checkbox"/> SEOCH <input type="checkbox"/> HKCC&SEOCH
Name of contact person:	Email Address:
Position:	Contact No.:

*Please refer to HKEX website: [Report Retrieval Solution For Listed Derivatives Markets Technical Guide](#) for technical details such as SSH keys standard and IP address requirement.*

### A. New Application

We hereby request for the SFTP User IDs of the report retrieval solution according to the following procedures as prescribed by HKEX:

**Please put a “√” against each of the following steps to confirm completion**

<input type="checkbox"/>	1. The Public Key files are saved with file name of <b>CXXX001.pub</b> and <b>CXXX002.pub</b> , where CXXX is the DCASS Customer Code of our company
<input type="checkbox"/>	2. The Public Key Fingerprint files are saved with file name of <b>CXXX001.fpt</b> and <b>CXXX002.fpt</b>
<input type="checkbox"/>	3. The *IP addresses of each SFTP client workstation are filled in the excel template and saved with file name of <b>CXXX_IP address template.xls</b>
<input type="checkbox"/>	4. All files in step 1, 2 and 3 are zipped into a zip folder name as <b>CXXX.zip</b> ( <a href="#">sample zip file</a> )
<input type="checkbox"/>	5. The zip folder together with this duly signed form are submitted to HKEX at <a href="mailto:emft_dcass@hkex.com.hk">emft_dcass@hkex.com.hk</a> with email subject: <b>SFTP – CXXX</b>

\*IP addresses have to begin with any of the first 2 segments listed below

For HSN Sites	10.119.0.0/16	
For SDNet Site	172.18.0.0/16	10.131.0.0/16
	172.19.0.0/16	10.132.0.0/16
	172.20.0.0/16	10.133.0.0/16
	172.21.0.0/16	10.217.0.0/16

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**B. Subsequent update**

*Please put a “√” if update required*

*(Note: CXXX is the DCASS Customer Code of our company)*

**1. Public Key**

<input type="checkbox"/>	CXXX001	The Public Key file is saved with file name of CXXX001.pub
<input type="checkbox"/>	CXXX002	The Public Key file is saved with file name of CXXX002.pub

**2. IP address**

<input type="checkbox"/>	- IP Address of Workstation 1 for User ID CXXX001 changed from _____ to _____
<input type="checkbox"/>	- IP Address of Workstation 2 for User ID CXXX001 changed from _____ to _____
<input type="checkbox"/>	- IP Address of Workstation 1 for User ID CXXX002 changed from _____ to _____
<input type="checkbox"/>	- IP Address of Workstation 2 for User ID CXXX002 changed from _____ to _____

The zip folder (if any) together with this duly signed form are submitted to HKEX at [emft\\_dcass@hkex.com.hk](mailto:emft_dcass@hkex.com.hk) with email subject: **SFTP – CXXX (Request for update)**

Signed by

Authorized Signature(s)  
(with company chop, ONLY applicable if it  
forms part of your signing instruction)

Name of Signatory(ies)

Date

For Office Use Only			
Verified by	Reviewed by	Updated by	Checked by
Date	Date	Date	Date