

OTC Clearing Hong Kong Limited ("OTC Clear")

		related to Regulato	ry Reporting			
		Clear Clearing Operations	-			
		One Exchange Square naught Place	-	(Full name of Clearing Member)		
		l, Hong Kong		(Full liame of Clearing Member)		
	Tel: 22	Tel: 2211 6508 Fax: 2427 1122	OCASS Clearing Member ID: Contact Person:			
			Tel:			
			Fax:			
 art	I – Reques	t Details				
			Rule 409, please provide the following obligations with the regulators:	ving information to facilitate		
1.	Your Legal Entity Identifier ("LEI") is					
2.	OTC Clear uses Unique Trade Identifier ("UTI") as trade identifier for HKTR reporting. Clearing Mem should use UTI to avoid mismatch. Please advise the reason if you are unable to use UTI and indicat the value you are using for trade identifier:					
3.	Are you or your affiliate/Branch (that submitted trades for registration with OTC Clear), pursuant to CFTC rules and regulations, either voluntarily reports or is required to report, to a swap data reposito registered with the CFTC? Yes, No					
4.		vise if you are Person;				
		ajor Swap Participant;				
	_	ap Dealer; or				
	No	ne of the above				
	as that teri	ms are defined by the (CFTC.			
5.	Please co Yes		ting CFTC reportable transactions	to DTCC's Global Trade Repository.		
		(We use:)		
	No	t Applicable				
Αι	thorised Sig	nature(s) and Company Cl	hop Date			



香港交易所

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Received Date:	Verified by:	Approved by:	Effective Date:			
	Date:	Date:				