



4. If you intend to **post Bond Connect Securities for Client Business**, please provide the following information:

4.1 Your Client's Northbound Bond Connect investor CMU Sub-account is:

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4.2 Your Client's Account Name and CFETS ID as Registered in CFETS is:

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4.3 Your Northbound Bond Connect investor CMU Sub-account number is:

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4.4 Your Account Name and CFETS ID as Registered in CFETS is:

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**Self- Declaration on Account Opening, applicable only to Clearing Member posting CGB:**

Please tick the appropriate box below:

- For House Business: We confirm that we are the ultimate beneficial owner of the Bond Connect Securities that are transferred from time to time into the Margin Collateral Account OTCC\_\_\_\_\_ \* opened by OTC Clear with CMU.
  
- For Client Business: We confirm that we are the ultimate beneficial owner of the Bond Connect Securities that are transferred from time to time into the Margin Collateral Account OTCC\_\_\_\_\_ \* opened by OTC Clear with CMU by \_\_\_\_\_ [name of Client], pursuant to the terms of a Client Clearing Agreement between us and \_\_\_\_\_ [name of Client].

We agree to indemnify and hold harmless OTC Clear, Hong Kong Exchanges and Clearing Limited, Hong Kong Securities Clearing Company Limited and their respective affiliates, directors, employees, advisers and agents, from and against all expenses and costs and damages (including any reasonable legal fees and expenses) that they may incur if the information contained in this form is false or inaccurate in any way.

Capitalised terms used herein shall have the meaning ascribed to them in the OTC Clear Rates and FX Derivatives Clearing Rules and Clearing Procedures.

\*Please contact OTC Clear to obtain the Margin Collateral Account number.

5. For Account Removal only, please indicate the non-cash collateral account for removal:

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6. For Information Update only, please advise the information you wish to update:

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\_\_\_\_\_  
Authorised Signature(s) and Company Chop

\_\_\_\_\_  
Date

(Name of Signatories: \_\_\_\_\_ )

For Office Use Only			
Received Date:	Verified by:	Approved by:	Effective Date:
	Date:	Date:	