

To : Hong Kong Securities and Clearing Company Limited (“HKSCC”)
 HKFE Clearing Corporation Limited (“HKCC”)
 The SEHK Options Clearing House Limited (“SEOCH”)

G-Form 8

Please submit to:

HKSCC: Clearing Risk Mangement
 Fax no.: 2579 0111
 Email: RMDCCrmFax@hkex.com.hk
 HKCC/ SEOCH: Collateral Management
 Fax no.: 2868 0134
 Email: ClearingCM@hkex.com.hk

Note: The cut-off time for same day effective request is 3pm Hong Kong time, any requests received after 3pm will be processed on the next Hong Kong business day.

Date : _____

Application ^{Note 1}/Change Request for Liquid Capital Apportionment

1. Particulars of Participant

Participant Name			
	HKSCC	HKCC	SEOCH
Participant ID			
Contact Person Name	1.		2.
Contact Telephone Number			
Fax Number			

2. Apportionment of Liquid Capital (either in percentage or amount ^{Note 2})

Apportionment of Liquid Capital	HKSCC	HKCC	SEOCH
<input type="checkbox"/> in percentage ^{Note 3}			
<input type="checkbox"/> in amount ^{Note 4}	HK\$	HK\$	HK\$

 Authorised Signature(s) of HKSCC Participant
 (with company chop, ONLY applicable if it forms part of your signing instruction)

 Authorised Signature(s) of HKCC Participant
 (with company chop, ONLY applicable if it forms part of your signing instruction)

 Authorised Signature(s) of SEOCH Participant
 (with company chop, ONLY applicable if it forms part of your signing instruction)

Note 1 For an applicant applying to become a participant of any Recognized Clearing House, the applicant is required to provide its Liquid Capital apportionment (or any change thereof in case the applicant is already a participant of at least one Recognized Clearing House) to the relevant Recognized Clearing House at least three Business Days before commencement of its new clearing participantship.

Note 2 For Recognized Clearing House with no Liquid Capital to be apportioned, mark the corresponding box with “N/A”

Note 3 Total percentage must be less than or equal to 100%

Note 4 Total amount of Liquid Capital apportionment to be used for the purposes of the Recognized Clearing Houses must be less than or equal to the Liquid Capital as per the latest monthly return filed with the Commission under the Financial Resources Rules and received by the relevant Recognized Clearing House from the Commission

For HKSCC / HKCC / SEOCH Use

Action	Reviewer	Remarks
Accepted		
Rejected		
Apportionment effective on		