

Application for Requisition
through Hong Kong Securities Clearing Company Limited and HKSCC Nominees Limited
通過香港中央結算有限公司及香港中央結算（代理人）有限公司行使證券持有人請求書

To: Hong Kong Securities Clearing Company Limited (“HKSCC”) and HKSCC Nominees Limited
 (“HKSCC Nominees”)
 1/F, One & Two Exchange Square,
 8 Connaught Place,
 Central, Hong Kong

Attention: Post Trade Division – Depository & Nominees Services

致： 香港中央結算有限公司及香港中央結算（代理人）有限公司
 香港中環康樂廣場 8 號交易廣場 1 期及 2 期 1 樓
 收件人： 交易後業務科–存管及代理人服務

Notes

注意事項

1. Please read carefully all the information provided in this Form before completion of the application.
 請仔細閱讀本表格中的所有資料，以便完成申請。
2. Only one requisitioner is allowed per application.
 每個提請事項的申請只可有一名提請人。
3. The application shall be made by HKSCC Participant¹ subject to and in accordance with the General Rules of CCASS and CCASS Operational Procedures (the “**CCASS Rules**”). HKSCC and HKSCC Nominees shall not be liable to the Requisitionist (as defined in Part A of this Form below) or to any other person in respect of anything done or omitted to be done by it in connection with the provision of the services and all other matters as contemplated in this Form.
 每個提請事項須根據中央結算系統一般規則及中央結算系統運作程序規則（結算公司一般規則）並應由參與者提出申請。香港結算和香港結算代理人不會對提請人（詳見下文本表格A部分）或任何其他人士就本服務提供或本表格中涵蓋的所有其他事項承擔任何責任。
4. In case the Relevant Interests (as defined in Part A of this Form below) are held by the Requisitionist (as defined in Part A of this Form below) through multiple HKSCC Participants, the application shall be made in one form, with each of the relevant HKSCC Participants completing and signing a separate Part C of this Form. In other words, the application shall comprise a single Part A, a single Part B and multiple Part C of this Form. Each of the relevant HKSCC Participants shall also sign at the bottom of each page of Part A and Part B in addition to completing and signing a separate Part C of this Form. In such case, the HKSCC Participant with the largest holding of Relevant Interests will be charged the handling fee in accordance with the CCASS Rules.
 如提請人的相關權益（詳見下文本表格A部分）是通過一個以上的參與者以分批的方式持有，則所有相關的參與者需以同一份表格遞交申請，但每個相關的參與者均應獨立填寫並簽署本表格的C部分以完成提請。換句話說，提請事項應包括一份本表格A部分，一份本表格B部分及多份本表格C部分。除了單獨填寫並簽署本表格的C部分外，每個相關參與者還應在A部分及B部分每一頁的底部加上授權簽名的背書。相關手續費將根據結算公司一般規則於參與者當中持股比例最大的一位收取。

¹ Means a Participant as defined in the CCASS Rules. 指根據結算一般規則所指的參與者。

5. No service will be provided to facilitate any type of requisition requests or any requests for enforcement of rights other than those set out in this Form.

代理人服務僅限於表格中所列的項目。

A. Information about the Issuer² and the Requisitionist
發行人和提請人的資料

Issuer: 發行人:	
Stock Code : 股份代號 :	
Place of Incorporation ³ : 成立所在地 :	
Requisitionist (the “Requisitionist”): 提請人(「提請人」) :	
Total number of securities beneficially owned by the Requisitionist ⁴ (hereinafter referred to as the “Relevant Interests”): 提請人實益擁有之證券總數(此後稱為「相關權益」) :	
Percentage of ownership ⁵ : 持有證券百分比 :	
Number of HKSCC Participant(s) making this application ⁶ : 申請參與者數目 :	
Information of the Contact Person of the Requisitionist: 提請人聯絡資料 :	
Name of Contact Person: 聯絡人姓名 :	Position: 職銜 :
Telephone Number: 電話號碼 :	Email address: 電郵地址 :

² Including authorised collective investment schemes which are listed under Chapter 20 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (each, an “Authorised CIS”). 包括根據香港聯交所上市規則第 20 章上市的認可集體投資計劃 (“認可集體投資計劃”)。

³ For Authorised CIS that are in non-corporate form, please fill in the place of domicile. 對於以非公司形式成立的認可集體投資計劃，請填寫相關的註冊地。

⁴ Please confirm the Requisitionist’s beneficial holding as of the date of this Form. 請確認提請人在本表格的日期實益擁有之證券。

⁵ Please confirm the percentage of issued capital represented by the Relevant Interests (in case of Authorised CIS, the percentage of units or shares in issue or of the relevant class represented by the Relevant Interests) as of the date of this Form. 請確認在本表格的日期以相關權益對已發行股本的百分比 (對於“認可集體投資計劃”，請確認以相關權益對已發行的單位或股份或相關類別單位或股份的百分比)。

⁶ Each HKSCC Participant shall complete and sign a separate Part C of this Form. 每位參與者應填寫並簽署本表格的 C 部分。

Authorised Signature(s) of each of the relevant HKSCC Participants - applicable if this is an application in accordance with Note 4 of page 1 of this Form 相關參與者授權簽名背書 - 適用於本表格第 1 頁注意事項 4 中所述的情況

B. Request for Submission of Requisition(s)
提交提請事項的要求

Application is made to request HKSCC Nominees to submit the following requisition(s)⁷ (each, a “**Requisition**”) to the Issuer in its capacity as the nominee holder of the Relevant Interests:

現請香港結算代理人以相關權益的名義持有人的身份向發行人作出以下提請事項(「**提請事項**」)：

(please tick all the appropriate box(es)) (請在適當之方格內加上“√”號)

B.1 Requisition for a meeting
要求召開會議

To request the directors⁸ of the Issuer to:
要求發行人之董事

call *a general meeting / *a meeting of the relevant securities holders⁹
召開*股東大會/*相關證券持有人大會

give notice of a resolution that may properly be moved and is intended to be moved at the meeting, to *members / *the relevant securities holders of the Issuer entitled to receive notice of the meeting
向有權收到股東大會的通知的*公司股東/*相關證券持有人，發出關於可在該大會上恰當地被動議並擬在該大會上被動議的決議的通知

* (for Issuer that falls within the definition of a “company” under the Companies Ordinance (Cap.622) (the “**Companies Ordinance**”) only) pursuant to Section 566 of the Companies Ordinance
(僅適用於《**公司條例**》(第 622 章)內“公司”的定義的發行人) 該提請是根據《**公司條例**》第 566 條提出

OR 或

* (for Issuer that is an open-ended fund company incorporated under Part IVA of the Securities and Futures Ordinance (Cap.571) only) pursuant to Rule 74 of the Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)

⁷ Each of Requisition B.1, Requisition B.2 and Requisition B.3 is an individual requisition for the purpose of calculating the handling fee to be charged in accordance with the CCASS Rules. 每個於 B.1, B.2 和 B.3 的提請事項都被視為一個單獨的要求，從而根據結算公司一般規則計算出所收取的手續費。

⁸ Or, if the Issuer is an authorised collective investment scheme listed under Chapter 20 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, the directors of the scheme or the entity which operates or manages the said scheme. 或，如發行人是香港聯交所上市規則第 20 章上市的認可集體投資計劃，則該認可集體投資計劃之董事或營運/管理該認可集體投資計劃的實體也包括在內。

⁹ HKSCC and HKSCC Nominees may decline an application to raise a requisition if it is found that a similar general meeting or a meeting of the relevant securities holders is scheduled to take place at the same time on the same date. 如發現在同一天同一時間已有類似的股東大會或相關證券持有人大會召開，香港結算及香港結算代理人將拒絕該提請事項的申請。

Authorised Signature(s) of each of the relevant HKSCC Participants - applicable if this is an application in accordance with Note 4 of page 1 of this Form 相關參與者授權簽名背書 - 適用於本表格第 1 頁注意事項 4 中所述的情況

(僅適用於根據《證券及期貨條例》(第 571 章) 第 IVA 部成立的開放式基金公司之發行人) 該提請是根據 «證券和期貨 (開放式基金型公司) 規則》(第 571AQ 章) 第 74 條 提出

OR 或

* (for other Issuers) pursuant to the following provision(s) under the laws of the place of incorporation or domicile of the Issuer / the formation documents and other internal documents of the Issuer that govern the rights and obligations of the Issuer's members or stakeholders and the Issuer's management and operation (collectively, the "**Constitutional Documents**"):

(適用於其他發行人) 該提請是根據發行人的成立地 / 註冊地的法律 / 發行人的組成文件及其他內部文件用以規管發行人的成員或持分者的權利和義務以及發行人的管理和運作的文件 (統稱為“章程”) 以下列條款提出 :

(*delete as appropriate) (*請刪除不適用部分)

A notice of the proposed meeting containing all necessary information as required under all applicable laws and regulations and the Constitutional Documents of the Issuer is enclosed with this Form

隨本表格附上擬召開大會的通知書, 該通知書含有關法律法規和發行人之章程等要求的所有資料

A copy of the notice of the resolution is enclosed with this Form

隨本表格附上該建議的決議通知

A copy of the Issuer's relevant Constitutional Documents is enclosed with this Form (if applicable)

隨本表格附發行人的相關章程的副本 (如適用)

Any other supplemental information (if applicable):

其他補充資料 (如適用) : _____

B.2 Requisition for circulation of a statement

要求傳閱陳述書

To request the Issuer to circulate to *the members / *the relevant securities holders of the Issuer a statement with respect to a matter mentioned in a proposed resolution to be dealt with at *a general meeting / *a meeting of the relevant securities holders or other business to be dealt with at that meeting

提出要求發行人就有待在*股東大會/*相關證券持有人大會上處理的、某項被提出的決議所述的事宜或其他

Authorised Signature(s) of each of the relevant HKSCC Participants - applicable if this is an application in accordance with Note 4 of page 1 of this Form 相關參與者授權簽名背書 - 適用於本表格第 1 頁注意事項 4 中所述的情況

有待在該大會上處理的事務向*股東/*相關證券持有人傳閱陳述書

* (for Issuer that falls within the definition of a “company” under the Companies Ordinance only) pursuant to Section 580(1) of the Companies Ordinance

(僅適用於根據《公司條例》內“公司”的定義的發行人) 該提請是根據《公司條例》第 580(1)條提出

OR 或

* (for other Issuers) pursuant to the following provision(s) under the laws of the place of incorporation or domicile of the Issuer / the Issuer’s Constitutional Documents:

(適用於其他發行人) 該提請是根據發行人的成立地 / 註冊地的法律或發行人的章程，以下列條款提出：

(*delete as appropriate) (*請刪除不適用部分)

A copy of the statement is enclosed with this Form

隨本表格附上該陳述書

The proposed resolution/business concerned:

相關之決議/事務：

 The meeting concerned:

相關之會議：

 A copy of the Issuer’s relevant Constitutional Documents is enclosed with this Form (if applicable)

隨本表格附發行人的相關章程的副本 (如適用)

Any other supplemental information (if applicable):

其他補充資料 (如適用) : _____

B.3 Requisition for giving notice of a resolution

要求發出會議決議案的通知

To request the Issuer to give notice of a resolution that may properly be moved and is intended to be moved at an annual general meeting, to *members / *the relevant securities holders of the Issuer entitled to receive notice of the meeting¹⁰

¹⁰ A request made pursuant to Section 615 of the Companies Ordinance is only in relation to giving notice of a resolution that may properly be moved and is intended to be moved at an annual general meeting of the Issuer. Should there be a request for the Issuer to give notice

Authorised Signature(s) of each of the relevant HKSCC Participants - applicable if this is an application in accordance with Note 4 of page 1 of this Form 相關參與者授權簽名背書 - 適用於本表格第 1 頁注意事項 4 中所述的情況

要求發行人向有權收到周年大會的通知的*公司股東/*相關證券持有人，發出關於可在該大會上恰當地動議並擬在該大會上動議的決議的通知

* (for Issuer that falls within the definition of a “company” under the Companies Ordinance only) pursuant to Section 615 of the Companies Ordinance
(僅適用於根據《公司條例》內“公司”的定義的發行人) 該提請是根據《公司條例》第 615 條提出

OR 或

* (for other Issuers) pursuant to the following provision(s) under the laws of the place of incorporation or domicile of the Issuer / the Issuer’s Constitutional Documents:
(適用於其他發行人) 該提請是根據發行人的成立地 / 註冊地的法律或發行人的章程，以下列條款提出：

(*delete as appropriate) (*請刪除不適用部分)

- A copy of the notice of the resolution is enclosed with this Form
隨本表格附上該建議的決議通知
- A copy of the Issuer’s relevant Constitutional Documents is enclosed with this Form (if applicable)
隨本表格附發行人的相關章程的副本 (如適用)
- Any other supplemental information (if applicable):
其他補充資料 (如適用) : _____

of a resolution that may properly be moved and is intended to be moved at a general meeting, please consider making a request under Part B.1 above.

根據《公司條例》第 615 條，在該大會上恰當地動議並擬在該大會上動議的決議的通知僅與能發行人召開的周年股東大會有關。如果要求發行人在該大會上恰當地動議並擬在該大會上發出一般決議的通知，將視為要求召開一般股東大會，屆時請考慮填寫 B.1 以提出請求。

Authorised Signature(s) of each of the relevant HKSCC Participants - applicable if this is an application in accordance with Note 4 of page 1 of this Form 相關參與者授權簽名背書 - 適用於本表格第 1 頁注意事項 4 中所述的情況

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C. Information about the HKSCC Participant(s) and Declaration
參與者資料與聲明

Note: if the Requisitionist's Relevant Interests are held through multiple HKSCC Participants, each of these HKSCC Participants shall complete and sign a separate Part C of this Form for the application.

注意：如果提請人的相關權益是通過多個參與者持有，則每位參與者應單獨填寫並簽署本申請表的C部分。

Name of the HKSCC Participant: 參與者名稱：			
Participant ID: 參與者編號：			
Number of securities beneficially owned by the Requisitionist which is held through the HKSCC Participant ¹¹ : 提請人通過參與者實益擁有之證券：			
The Relevant Interests ¹² : 相關權益：			
Information of the Contact Person of the HKSCC Participant for the Requisition(s) 此呈請的參與者聯絡人資料			
Name of Contact Person: 聯絡人姓名：		Position: 職銜：	
Telephone Number: 電話號碼：		Email address: 電郵地址：	

Declaration: 聲明:

We / I,
 本公司 / 本人, _____

(Please fill in the name of the HKSCC Participant)(請填寫參與者名稱)

- confirm** that, based on our records and having made all reasonable enquiries / conducted all reasonable due diligence in the case where we ourselves are not the Requisitionist, as at the date of this Form, the Relevant Interests are held by the Requisitionist as beneficial owner and the number of Relevant Interests meets the minimum statutory shareholding requirement or other relevant minimum securities holding requirement applicable to making the Requisition(s) to the Issuer;
確認，根據本公司的記錄並經本公司作出一切合理查詢後/（如本公司並非提請人本人）進行所有合理的盡職調查後，截至本表格的日期提請人乃為相關權益的實益擁有人，而相關權益的股數符合向發行人作出提請事項申請的最低法定持股量或其他相關最低持有權益的要求；
- undertake** to notify HKSCC and HKSCC Nominees as soon as practicable of any changes in the relevant information given in Parts A to C of this Form, excluding only information in a separate Part C which may be completed and signed by another HKSCC Participant ;

¹¹ Please confirm the Requisitionist's beneficial holding which is held through the HKSCC Participant as of the date of this Form. 請確認提請人在本表格的日期通過參與者實益擁有之證券。

¹² Please confirm the Requisitionist's beneficial holding as of the date of this Form. 請確認提請人在本表格的日期實益擁有之證券。

承諾若此表格內 A 至 C 部分所提供之有關資料有任何變更，但不包括由其他的參與者填寫並簽名的 C 部分內的資料，本公司/本人當在切實可行的範圍內通知香港結算及香港結算代理人；

3. **understand** that HKSCC Nominees will withdraw the Requisition(s) if HKSCC or HKSCC Nominees is made aware that the Requisitionist has ceased to meet the minimum statutory shareholding requirement or other relevant minimum securities holding requirement applicable to making the Requisition(s) to the Issuer;

明白如香港結算或香港結算代理人發現提請人不再符合向發行人作出提請事項申請的最低法定持股量或其他相關最低持有權益要求，則香港結算代理人將會撤回相關的提請；

4. **authorize** HKSCC Nominees to submit the Requisition(s) to the Issuer in its capacity of nominee holder of the Relevant Interests and to submit the information provided in, and those documents or other information submitted together with, this Form to the Issuer;

授權香港結算代理人以相關權益的名義持有人的身份向發行人作出提請事項的申請，並向發行人提交並連同此表格內的文件及其他資料；

5. **acknowledge** that, we / I have taken reasonable steps to ensure that the Requisitionist has provided all the necessary information required for making the Requisition(s) with this Form. The Requisitionist is required to handle any question or request from the Issuer, the relevant exchange and/or regulatory authorities directly;

確認，本公司/本人已採取合理步驟確保提請人在此表格已提供了所有就提請事項的申請所需要的全部資料。提請人應自行直接處理發行人、相關交易所及/或監管機構對提請事項的提問或其他要求；

6. **declare** that the information provided in or in connection with this Form (including all information in Parts A to C of this Form, excluding only information in a separate Part C which may be completed and signed by another HKSCC Participant) is complete, true and correct and that we have not made any statements or omissions which would render such information untrue or misleading;

聲明在此表格內或與本表格相關而所提供的資料(包括表格 A 至 C 部分的所有資料; 但不包括由其他的參與者填寫並簽名的 C 部分內的資料)，全屬完整、真實及正確，同時，本公司/本人並沒有因任何陳述或遺漏而導致該等資料有任何不真確或誤導的成份；

7. **confirm** that all necessary authorisations and written consents have been obtained from the Requisitionist regarding any collection, storage, use, disclosure and transfer of such Requisitionist's personal data for the purposes of or in connection with the Requisition(s) in full compliance with all applicable laws including the Personal Data (Privacy) Ordinance;

確認已遵照所適用之法律，包括《個人資料（私隱）條例》，就提請人的目的或與其提請相關之事宜而收集、儲存、使用、披露和轉移有關提請人的個人資料，向提請人取得一切必要的授權及書面同意；

8. **understand** that HKSCC may take disciplinary proceedings against us for making any false or misleading representation or providing any untrue or inaccurate information under or in connection with this Form (including all information in Parts A to C of this Form, excluding only information in a separate Part C which may be completed and signed by another HKSCC Participant);

明白如本公司/本人在此表格內或與本表格相關(包括表格 A 至 C 部分的所有資料; 但不包括由其他的參與者填寫並簽名的 C 部分內的資料) 而作出任何虛假或錯誤引導之通知、或提供任何不實或不準確的

資料，香港結算會對本公司/本人採取紀律處分/相應行動；

9. (for itself and on behalf of the Requisitionist) **understand** and **agree** that HKSCC and HKSCC Nominees accept no liability for the Requisition(s) and the information provided in or in connection with this Form and do not guarantee that the Issuer will or will not accept the Requisition(s);
(為其本身及代表提請人) **明白**及**同意**香港結算及香港結算代理人對提請事項及此表格內或與本表格相關而提供的資料概不承擔任何法律責任，也不保證發行人是否會接納客戶就提請事項的申請；
10. (for itself and on behalf of the Requisitionist) **agree** and **acknowledge** that Hong Kong Exchanges and Clearing Limited (“**HKEX**”) and its subsidiaries (together “**HKEX Group**”) accept no liability for any loss or damage arising from any action or non-action based on or in reliance upon this Form or any arrangement set out in this Form, and any right to pursue any claims, action or legal proceedings against HKEX Group shall be unconditionally surrendered;
(為其本身及代表提請人) **同意**及**確認**，香港交易及結算所有限公司（「**香港交易所**」）及其附屬公司（統稱「**香港交易所集團**」）對於任何因依據此表格或表格中所載任何安排而作出之任何行動或非行動而引致之損失或損害，香港交易所集團概不負責，並且無條件放棄向香港交易所集團提出任何索償、行動或法律程序的權利；
11. **undertake** to indemnify, defend and hold harmless the HKEX Group from any and all liabilities, claims, requirements, legal proceedings, losses, costs and expenses brought by, arising from or related to the acceptance by HKSCC and HKSCC Nominees of and their acting on the request set out in this Form (including but not limited to the submission of the Requisition(s) and any relevant information to the Issuer by HKSCC Nominees in its capacity as the nominee holder of the Relevant Interests); and **承諾**會承擔及賠償香港交易所集團就香港結算及香港結算代理人接受此表格所載要求及按此行動（包括但不限於香港結算代理人作為相關權益的名義持有人而代提請人向發行人作出提請事項的申請以及提交任何相關文件）而導致、要蒙受或牽涉到之任何及全部法律責任、索償、要求、法律程序、損害、費用及開支；及
12. **understand** that the services provided by HKSCC and HKSCC Nominees in relation to the Requisition(s) are subject to the CCASS Rules and **agree** to pay such fees for the services in accordance with the CCASS Rules.
明白香港結算及香港結算代理人就提請事項的申請而提供的服務將受限於結算公司一般規則，並根據結算公司一般規則，**同意**按香港結算的要求支付服務的額外費用。

Authorised Signature(s)
of the HKSCC Participant

參與者之授權人士簽署_____

(with Company Chop, ONLY applicable if it forms part of your signing instruction)

(連同公司印鑑，只適用於該印鑑為貴戶簽署指示的一部分)

Date

日期_____

Name of Signatory

簽署人姓名_____

Position

職銜_____

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Privacy Policy Statement

Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "Group") (and each being "HKEX", "we", "us" or "member of the Group" for the purposes of this Privacy Policy Statement as appropriate) recognise their responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the Personal Data (Privacy) Ordinance (Cap. 486) ("PDPO"). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Privacy Policy Statement.

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

1. to process your applications, subscriptions and registration for our products and services;
2. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("Regulatory Functions");
3. to provide you with our products and services and administer your account in relation to such products and services;
4. to conduct research and statistical analysis;
5. to process your application for employment or engagement within HKEX to assess your suitability as a candidate for such position and to conduct reference checks with your previous employers; and
6. other purposes directly relating to any of the above.

Direct marketing

Where you have given your consent and have not subsequently opted out, we may also use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the PDPO, for the purpose of identifying you where it is reasonable for your identity card number to be used for this purpose.

Transfers of personal data for direct marketing purposes

Except to the extent you have already opted out we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

Other transfers of your personal data

For one or more of the purposes specified above, your personal data may be:

1. transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of Hong Kong;
2. supplied to any agent, contractor or third party who provides administrative, telecommunications, computer, payment, debt collection, data processing or other services

to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and
3. other parties as notified to you at the time of collection.

How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

Session Cookies: temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX website.

Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("Privacy Commissioner") which may be found on the official website of the Office of the Privacy Commissioner or via this link

<https://www.pcpd.org.hk/english/publications/files/Dforme.pdf>

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

General

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

Contact us

By Post:
Personal Data Privacy Officer
Hong Kong Exchanges and Clearing Limited
8/F., Two Exchange Square
8 Connaught Place
Central
Hong Kong

By Email:
DataPrivacy@HKEX.COM.HK

私隱政策聲明

香港交易及結算有限公司及其不時的附屬公司（統稱「**本集團**」）（在本私隱政策聲明中，各自稱為「**香港交易所**」、「**我們**」或「**本集團成員公司**」，視適用情況而定）明白根據香港法例第 486 章《個人資料（私隱）條例》（該「**條例**」）其在收集、持有、處理、使用及／或轉移個人資料方面的責任。個人資料的收集只限作合法且相關的用途，並會採取一切實際可行方法去確保我們持有的個人資料準確無誤。我們將會根據本私隱政策聲明使用我們不時所收集閣下的個人資料。

我們定期檢討這項私隱政策聲明，並可能不時加以修訂或加入具體指示、政策及條款。本私隱政策聲明如有任何重要修訂，我們會通過閣下提供給我們的聯絡方式通知閣下，也會按該《條例》的規定，讓閣下可以透過屆時通知閣下的途徑選擇拒絕接收此類修訂通知。否則，關於通過香港交易所網站或其他方式而提供給我們的個人資料，閣下繼續使用香港交易所網站或繼續維持與我們的關係即被視為同意並接受此項私隱政策聲明（不時修訂）。

如對這項私隱政策聲明或我們如何使用閣下的個人資料有任何疑問，請通過下文「聯繫我們」所載的任何一個通訊渠道與我們聯繫。

我們會採取一切實際可行方法確保個人資料的安全，以及避免個人資料在未經授權或意外的情況下被取用、刪除或作其他用途。這包括在實物具體上、技術上及程序上的適當安全措施，確保只有經授權人士才能取用個人資料。

請注意：如閣下沒有向我們提供個人資料（或有關閣下代理人的個人資料），我們可能無法提供閣下要求的資訊、產品或服務又或無法處理閣下的要求、申請、訂購或登記，亦可能無法執行或履行監管職能（定義見下文）。

目的

我們或會為了以下目的而不時收集閣下的個人資料（包括但不限於閣下的姓名、郵寄地址、電話號碼、電郵地址、出生日期和登入名稱）：

1. 處理閣下的申請、訂購及登記使用我們的產品及服務；
2. 執行或履行香港交易所以及任何由其作為認可控制人的公司（定義見香港法例第 571 章《證券及期貨條例》）的職能（「監管職能」）；
3. 向閣下提供我們的產品和服務，以及與此相關的賬戶管理；
4. 進行研究和統計分析；
5. 處理閣下應聘香港交易所職位或工作的申請，以評估閣下是否為適合人選，並向閣下的僱主進行相關的背景查證；及
6. 與上列任何一項有直接關聯的其他目的。

直接營銷

如閣下已經給予同意而其後並無撤回，我們也可能會使用閣下的姓名、郵寄地址、電話號碼和電郵地址，用以寄送宣傳資料，就香港交易所以及本集團其他成員公司的金融和資訊服務進行直接營銷活動。

如不希望收到我們的宣傳和直接營銷資料，或不希望收到某類宣傳和直接營銷資料，又或不在某種途徑收到此類材料，請通過下文「聯繫我們」所載的其中一種通訊渠道聯絡我們。為確保可以迅速處理閣下的要求，請提供閣下的全名、電郵地址、登入名稱及所訂閱產品及／或服務的詳情。

身份證號碼

我們也可能會根據適用法律或規例又或任何對我們有管轄權限的監管者的要求而收集並處理閣下的身份證號碼，另外亦可能因為需要識別閣下的身份而（在不抵觸該《條例》的前提下）收集並處理閣下的身份證號碼。

轉移 閣下的個人資料作直接營銷

除非閣下已經拒絕，否則我們可能會將閣下的姓名、郵寄地址、電話號碼和電郵地址轉交本集團其他成員公司，使其可向閣下寄送宣傳資料，就其金融和資訊服務進行直接營銷活動。

其他轉移個人資料的情況

為了以上一個或多於一個目的，閣下的個人資料可能會被：

1. 轉交予本集團其他成員公司，而使本集團（在香港或其他地方）的相關人員獲得閣下的個人資料；就此，閣下同意將閣下的個人資料轉離香港；
2. 提供予任何向香港交易所及／或本集團在香港或其他地方的其他成員提供行政、電訊、電腦、付款、追收欠款、資料處理或其他服務的代理、承判商或第三方；及
3. 轉交予其他人士（收集資料時會通知閣下）。

我們如何使用 cookies

閣下通過香港交易所網站查閱我們的資訊或服務時，應當留意到網站有使用 cookies。Cookies 是指儲存在閣下瀏覽器內的資料檔。閣下進入香港交易所網站時，網站即在閣下瀏覽器內自動安裝並使用 cookies。香港交易所網站使用兩種 cookies。

Session Cookies：一種只在閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies，用處在於取得並儲存配置資訊及管理網站，包括「攜帶」資訊以隨閣下瀏覽網站的不同版頁，譬如以免閣下每到一個版頁也要重新輸入資訊。**Session cookies** 也會用來編備關於香港交易所網站使用的匿名統計資料。

Persistent Cookies：一種留存於瀏覽器內較長時間的 cookies，用以編備關於香港交易所網站使用的匿名統計資料，或追蹤和記錄使用者的習慣偏好。

香港交易所網站所用的 cookies 不包含個人資料。閣下可以更改瀏覽器或網路安全軟件中的設定，拒絕接受瀏覽器內的 cookies。不過，這樣或會令閣下不能使用或啟動香港交易所網站中的某些功能。

法律與法規的遵守

香港交易所及本集團其他成員公司或要為了遵守適用法律及規例，或遵守法院指令、傳票或其他法定程序（無論於香港或其他地方），或遵從政府機關、執法機構或類似機構（無論位於香港或其他地方）提出的要求，又或執行或履行監管職能，而必須保留、處理及／或披露閣下的個人資料。香港交易所及本集團其他成員公司或須披露閣下的個人資料，以履行與閣下的協議，或保護我們或我們僱員的權利、財產或安全，又或執行或履行監管職能。

公司重組

隨著我們持續發展業務，我們可能會重組集團架構或出現控制權易手或業務合併。在這些情況下，閣下的個人資料或會按這份私隱政策聲明或另一份將會通知閣下的私隱政策聲明而移交繼續運營我們業務或類似服務的第三方。此等第三方或在香港以外的地方，就此等收購或重組而使用閣下個人資料的地點也可能不在香港。

查閱及更正個人資料

根據該《條例》，閣下有權查明我們是否持有閣下的個人資料、取得資料的備份及更正任何不準確資料，也可以要求我們通知閣下其持有資料的種類。如欲查閱有關資料，須使用個人資料私隱專員（「**私隱專員**」）指定的表格（可於私隱專員公署的官方網站下載）或經此連接 <https://www.pcpd.org.hk/english/publications/files/Dforme.pdf> 提出。

如欲查閱和更正個人資料，或查閱有關政策與常規以及我們所持有資料種類，應以書面及郵遞形式提出要求（見以下「聯繫我們」）。

我們或會因應閣下查閱資料要求而產生的行政及實際成本而收取合理的費用。

終止或取消

任何時候如果閣下在我們的賬戶或與我們的關係被取消或終止，我們會隨即在合理情況下盡快終止處理閣下的個人資料，但也可按合理需要而保留有關資料，合理的需要包括：資料歸檔；解決實際或潛在的爭議；遵守適用法律及規例；履行與閣下的任何協議；保障我們及我們僱員的權利、財產或安全；以及執行或履行我們的職能、義務及責任等。

一般資料

本私隱政策聲明中英文本如有差異，概以英文版為準。

聯繫我們

郵寄：
香港中環康樂廣場 8 號
交易廣場二期 8 樓
香港交易及結算有限公司
個人資料隱私主任

電郵：
DataPrivacy@HKEX.COM.HK