Application for Withdrawal Fee Concession
(Scenario 3: Withdrawal of Eligible Securities for the purpose of transferring shares from HK Register to Overseas Register) – Sample Application Letters

{ Application Letter to be submitted BEFORE inputting of Withdrawal Order }

[Participant's Company Letter Head]

Date:

Hong Kong Securities Clearing Co Ltd ("HKSCC")
6/F, China Merchants Plaza,
No. 153 Connaught Road Central and
No. 303 Des Voeux Road Central, Hong Kong
Attn: Depository Services

Dear Sir/Madam,

Re: Application for concessionary withdrawal fee in respect of physical withdrawal of *[number of shares]* shares of *[full name of the listed company]* (Stock Code:) (the "Company") dated *[date of withdrawal]*

As instructed by the Company, we are going to withdraw *[number of shares]* shares of the Company from our stock account *[account number]* on *[date of withdrawal]*. We shall be grateful if you will consider granting a concessionary withdrawal fee for the above stock withdrawal.

We confirm that we have deposited *[number of shares]* shares of the Company which were initially transferred from the Company's overseas share register to its Hong Kong share register, into our stock account *[account number]* with CCASS on *[date of deposit]* under Deposit Order No. H*[deposit order number]*.

We hereby certify that the shares to be withdrawn are to be transferred back to the Company's overseas share register. The following supporting documents are also enclosed:

1. Certified true copy of the deposit receipt;
2. Certified true copy of the undertaking letter signed by the Company on *[date]* and submitted to HKSCC at the time when the shares were deposited into CCASS; and
3. A confirmation letter from the Company certifying the shares withdrawal and transfer from Hong Kong register to overseas register.

A certified true copy of the withdrawal receipt will also be provided within 3 business days from the date withdrawal.

In consideration of HKSCC acceding to our application as set out in this letter, we hereby agree to indemnify HKSCC and/or any of its subsidiary associate companies or its holding company Hong Kong Exchanges and Clearing Limited and its affiliate companies ("HKEX group of companies") and hold each of them harmless against all actions, claims, proceedings, damages, costs and expenses (including legal costs) which may be brought against HKEX group of companies or suffered or incurred by HKEX group of companies as a result of or in connection with HKSCC acceding to the application as set out in this letter and all matters relating thereto provided that such actions, claims, proceedings, damages, costs and expenses are not due to any act not done or not omitted to be done in good faith by HKSCC.

If you require any further information, please do not hesitate to contact our *[name of contact person]* at *[telephone number]*.

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Authorized Signature(s) of CCASS Participant
(with company chop, ONLY applicable if it forms part of your signing instruction)
Participant ID:

Application for Withdrawal Fee Concession
(Scenario 3: Withdrawal of Eligible Securities for the purpose of transferring shares from HK Register to Overseas Register) – Sample Application Letters

{Confirmation Letter to be submitted BEFORE inputting of Withdrawal Order}

[The Listed Company's Letter Head (if applicable)]

Date:

Hong Kong Securities Clearing Co Ltd
6/F, China Merchants Plaza,
No. 153 Connaught Road Central and
No. 303 Des Voeux Road Central, Hong Kong
Attn: Depository Services

Dear Sir/Madam,

Re: Application for concessionary withdrawal fee in respect of physical withdrawal of *[number of shares]* shares in *[full name of the listed company]* (Stock Code:) (the "Company") dated *[date of withdrawal]*

We refer to the above shares of the Company to be withdrawn from the stock account *[account number]* of *[name of participant]* (Participant ID:) dated *[date of withdrawal]*.

We hereby certify that such share withdrawal from CCASS is for the purpose of enabling the transfer from Hong Kong register to overseas register.

For your information, *[number of shares]* shares in the Company, which were initially transferred from the Company's overseas share register to its Hong Kong share register, were deposited into the stock account *[account number]* of *[name of participant]* (Participant ID:) under Deposit Order No. H*[deposit order number]* on *[date of deposit]*.

Yours faithfully,
[Listed company's authorized signature(s) (with company chop ONLY if it forms part of your signing instruction)]

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[Name of the listed company]