

**Notification Form for Treasury Shares / Treasury Units  
& Other Repurchased Securities**

Nominee Services  
Hong Kong Securities Clearing Company Limited (“HKSCC”)  
30/F, One Exchange Square,  
8 Connaught Place, Central, Hong Kong  
Email: [hksccn\\_ca01@hkex.com.hk](mailto:hksccn_ca01@hkex.com.hk) (original form required)

**Re:** \_\_\_\_\_ (Company Name) (Stock Code: \_\_\_\_\_) (“Issuer”)

**CCASS Corporate Announcement Number(s)** <sup>(Note i, ii)</sup>: \_\_\_\_\_

**Corporate Action Description(s)** (e.g. 24 F/D at RMB 0.10) <sup>(Note ii)</sup>: \_\_\_\_\_

\_\_\_\_\_ (“Corporate Action(s)”)

Pursuant to the General Rules of HKSCC and the HKSCC Operational Procedures, we are instructed by the Issuer or its agents that the treasury shares / treasury units & other repurchased securities (as stated in the table below) are deposited and held in CCASS and are not eligible for any entitlements under the Corporate Action(s).

As such, we hereby notify HKSCC the total number of treasury shares / treasury units & other repurchased securities (as stated in the table below) held in our stock account in CCASS as at the last registration date of the Corporate Action(s) (“**Last Registration Date**”) and request HKSCC to exclude all such securities when allocating the dividends or distributions to us and pay us the dividends or distributions in respect of the remaining number of securities (as stated in the table below) held in our stock account in CCASS only. In respect of the total number of treasury shares / treasury units & other repurchased securities (as stated in the table below) held in our stock account in CCASS, we hereby waive, for ourselves and on behalf of the Issuer and any other persons having an interest in such securities (if any), all rights in relation to any entitlements under the Corporate Action(s), including but not limited to the right to receive any dividends or distributions from you.

Total Number of Securities held in CCASS (as at Last Registration Date)	Total Number of Treasury Shares / Treasury Units & Other Repurchased Securities held in CCASS (as at Last Registration Date)	Remaining Number of Securities held in CCASS (as at Last Registration Date)

**We understand and acknowledge that:**

- (1) This form should reach HKSCC within 5 business days of the Last Registration Date, or at least 2 clear business days before the distribution date of the relevant Corporate Action(s), whichever is earlier.
- (2) Our notification and requests as set out in this form will only be effected or accepted by HKSCC subject to the General Rules of HKSCC and the HKSCC Operational Procedures as are in force from time to time.
- (3) In consideration of HKSCC acceding to our requests as set out in this form, we hereby agree to indemnify HKSCC and/or its affiliates and its holding company Hong Kong Exchanges and Clearing Limited (collectively, “HKEX group of companies”) and hold each of them harmless against all actions, claims, proceedings, damages, costs and expenses (including legal costs) which may be brought against HKEX group of companies or suffered or incurred by HKEX group of companies as a result of or in connection with HKSCC acceding to the requests as set out in this form and all matters relating thereto provided that such actions, claims, proceedings, damages, costs and expenses are not due to any act not done or not omitted to be done in good faith by HKSCC.

Participant ID : \_\_\_\_\_  
Participant Name : \_\_\_\_\_  
Contact Tel No. : \_\_\_\_\_  
Contact Person : \_\_\_\_\_  
Date : \_\_\_\_\_

\_\_\_\_\_  
Authorized Signature of CCASS Participant  
(with company chop, ONLY applicable if it forms part of your signing instruction)

Notes:

- i CCASS Corporate Announcement Number is an optional field for Investor Participants.
- ii Please list all applicable CCASS Corporate Announcement Numbers and Corporate Action Descriptions if there are more than one Corporate Action event with the same Last Registration Date.