

## Section A – Notes to the Notification

Exchange Participant (EP) who wishes to implement changes/enhancements to their BSS System after production rollout should observe the following procedures/items:

### a. Procedures for Implementing Changes to the BSS Systems

- EP completes the “BSS Change Notification” and return it by email to HKEX for review.
  - HKEX reviews details of the changes, decides on the testing requirement and provides a feedback to the EP.
  - EP books the weekday testing session and/or rollout test on Saturday to conduct the necessary testing.
  - Upon satisfactory completion of the testing, implement the changes in production.

EP must demonstrate the readiness of the changes under the end-to-end test and/or rollout test before the changes can be released for production use.

### b. Testing Requirement

The level of testing required will depend on the Testing Requirement of BSS change. Please refer to **Section B** for more information on “Testing Requirement of BSS Change”.

### c. Booking of Weekday/ Weekend Testing Session

Advanced booking for conducting weekday testing session and rollout test on weekend is required.

For OCG-C session, EP can download the “[Weekday Testing Booking Form](#)” and “[Weekend Testing Booking Form](#)” from HKEX website. Then, send the completed form by email to [OTPC@hkex.com.hk](mailto:OTPC@hkex.com.hk) HKEX – Trading for booking.

For CCCG Session, no booking form is required for weekday testing session. For weekend testing, EP can download the “[China Connect Weekend Testing Booking Form](#)” from HKEX website. Then, send the completed form by email to [OTPC@hkex.com.hk](mailto:OTPC@hkex.com.hk) HKEX – Trading for booking.

### d. Contact for Support

Should EP require any technical assistance from BSS Support Team during the testing, please contact our BSS Support Team at 2840-3351 during the service hour from 8:00 am to 6:00 pm, Monday to Friday (except for public holidays).

**Section B - Testing Requirement of BSS Change**

BSS Version	OCG-C/ CCCG Session	Network to be connected	Type of Testing Required		
			Offline Simulator Test	End-to-End Test	Rollout Test
New BSS Version	New OCG-C/ CCCG Session	New SDNet/2 or Hosting Network	Yes	Yes	Yes
		Existing SDNet/2 or Hosting Network	Yes	Yes	Yes (see <i>Notes</i> below)
	Existing OCG-C/ CCCG Session	New SDNet/2 or Hosting Network	Yes	Yes	Yes
		Existing SDNet/2 or Hosting Network	Yes	Yes	Yes (see <i>Notes</i> below)

Notes :  
 \* If EP undertakes all possible technical and/ or operational risks that may have been resulted from non-participation in Rollout Test to verify the connection of to-be-production OCG-C/CCCG session and/or to-be-production BSS prior to rollout, the Rollout Test can be optional. In this case, EP is required to submit the form of "Application for Exemption from Conducting Rollout Test".