

Section A – Notes to the Notification

EP who wishes to implement changes/enhancements to their BSS System after production rollout should observe the following procedures/items:

a. Procedures for Implementing Changes to the BSS Systems

- EP completes the “BSS Change Notification” and return it by fax or by email to HKEX for review.
- HKEX reviews details of the changes, decides on the testing requirement and provides a feedback to the EP.
- EP books the weekday testing session and/or rollout test on Saturday to conduct the necessary testing.
- Upon satisfactory completion of the testing, implement the changes in production.

EP must demonstrate the readiness of the changes under the end-to-end test and/or rollout test before the changes can be released for production use.

b. Testing Requirement

The level of testing required will depend on the Testing Requirement of BSS change. Please refer to **Section B** for more information on “Testing Requirement of BSS Change”.

c. Booking of Weekday/ Weekend Testing Session

Advanced booking for conducting weekday testing session and rollout test on weekend is required.

For OCG session, EP can download the “[Weekday Testing Booking Form](#)” and “[Weekend Testing Booking Form](#)” from HKEX website. Then, send the completed form by email to OTPC@hkex.com.hk or fax back to HKEX –Trading Operations for booking.

For CCCG Session, no booking form is required for weekday testing session. For weekend testing, EP can download the “[China Connect Weekend Testing Booking Form](#)” from HKEX website. Then, send the completed form by email to OTPC@hkex.com.hk or fax back to HKEX – Trading Operations for booking.

d. Contact for Support

Should EP require any technical assistance from BSS Support Team during the testing, please contact our BSS Support Team at 2840-3351 during the service hour from 8:00 am to 6:00 pm, Monday to Friday (except for public holidays).

Section B - Testing Requirement of BSS Change

BSS Version	OCG/CCCG Session	Network to be connected	Type of Testing Required		
			Offline Simulator Test	End-to-End Test	Rollout Test
New BSS Version	New OCG/CCCG Session	New SDNet/2 or Hosting Network	Yes	Yes	Yes
		Existing SDNet/2 or Hosting Network	Yes	Yes	Yes (see <i>Notes</i> below)
	Existing OCG/CCCG Session	New SDNet/2 or Hosting Network	Yes	Yes	Yes
		Existing SDNet/2 or Hosting Network	Yes	Yes	Yes (see <i>Notes</i> below)

Notes :

* If EP undertakes all possible technical and/ or operational risks that may have been resulted from non-participation in Rollout Test to verify the connection of to-be-production OCG/CCCG session and/or to-be-production BSS prior to rollout, the Rollout Test can be optional. In this case, EP is required to submit the form of “Application for Exemption from Conducting Rollout Test”.