

## Notes to the Application

1. The Requisition Form is applicable to Exchange Participants (EPs) who are required to change their existing BSS Connection of OCG Trading / Drop Copy Session after production rollout. The Requisition Form should be completed and returned to HKEX by fax at 2521-7899 or by email to [OTPC@hkex.com.hk](mailto:OTPC@hkex.com.hk) and followed by a telephone call at 2840-3626 to confirm submission.
2. Please complete separate Requisition Form for each OCG Session.
3. EPs are required to note the following:

| OCG Trading / Drop Copy Session   | Required to Conduct the Rollout Test on Weekend?   | Advance Notice of the Effective Date  |
|---|--|---|
| Add Backup IP Address or change the IP Address under new SDNet/2 or HSN circuits                          | Yes  | <ul style="list-style-type: none"> <li>At least 2 weeks advance notice prior to the effective date and submission of positive Rollout Test feedback form.</li> </ul>                                  |
| Add Backup IP Address or change the IP Address under existing SDNet/2 or HSN circuits                     | Yes<br>(“Not Required” if EP agrees with limited support/ troubleshoot from HKEX during weekdays)* | <ul style="list-style-type: none"> <li>At least 3 trading days advance notice prior to the effective date and submission of positive Rollout Test feedback form (if joining Rollout Test).</li> </ul> |
| Change the 4 <sup>th</sup> octet of IP Address under existing SDNet/2 or HSN circuits                     | No   | <ul style="list-style-type: none"> <li>At least 3 trading days advance notice prior to the effective date.</li> </ul>   |
| Re-arrange the IP Address sequence and without change of any octet under existing SDNet/2 or HSN circuits | No   | <ul style="list-style-type: none"> <li>At least 3 trading days advance notice prior to the effective date.</li> </ul>   |
| Cancel the Backup IP Address  | No   | <ul style="list-style-type: none"> <li>At least 3 trading days advance notice prior to the effective date.</li> </ul>   |
| Relocation of existing HSN or SDNet/2 circuits with change of IP Subnet**                                 | Yes  | <ul style="list-style-type: none"> <li>At least 2 weeks advance notice prior to the effective date and submission of positive Rollout Test feedback form.</li> </ul>                                  |
| Relocation of existing HSN or SDNet/2 circuits without change of IP Subnet**                              | Yes<br>(“Not Required” if EP agrees with limited support/ troubleshoot from HKEX during weekdays)* | <ul style="list-style-type: none"> <li>At least 3 trading days advance notice prior to the effective date and submission of positive Rollout Test feedback form (if joining Rollout Test).</li> </ul> |

*\* If an EP wishes not to participate in the Rollout Test, EP can apply for exemption and is required to complete “Section III - Undertaking by Exchange Participant” on the form. Please note that the aforesaid exemption from conducting rollout test is not applicable to Market Making OCG session.*

*\*\* IP Subnet is a portion of a network that shares a particular IP address. (e.g. 192.168.1.x)*

4. “Existing SDNet/2 or HSN” means the dual circuits to be connected to the applied OCG session(s) are already in production. The aforementioned dual circuits include those directly subscribed by an EP from SDNet/2 network provider/ HKEX Hosting Service Network, or sharing with other EP(s) via the subscription from SDNet/2 ASP or HSN Virtual Port Service.