

**Shanghai and Shenzhen Stock Connect: Placing / Cancellation of Standing Instruction in connection with Collateral Provided for the Early Release of Securities-on-hold**

Date:

To: Clearing Operations – Cash & Derivatives Settlement  
Hong Kong Securities Clearing Co Ltd (“HKSCC”)  
30/F, One Exchange Square  
8 Connaught Place, Central, Hong Kong  
Fax No. 2851 6921/2579 0095      Email: [cscprepay@hkex.com.hk](mailto:cscprepay@hkex.com.hk)

**Re: Placing / Cancellation of Standing Instruction in connection with Collateral Provided for the Early Release of Securities-on-hold** (please tick  one of the following as appropriate)

- We wish to place and maintain a standing instruction to request HKSCC not to redeliver any collateral deposited by us from time to time in relation to all of our applications for the early release of China Connect Securities that are Securities-on-hold until further notice (the “Standing Instruction”); OR
- We wish to cancel any Standing Instruction previously given to you and request HKSCC to redeliver the full amount of surplus cash collateral, if any, to us with effect from the date of this form, subject to the conditions set out in the General Rules of CCASS and the CCASS Operational Procedures (“CCASS Rules and Procedures”).

*We understand and acknowledge that:*

- 1. this form must be received by HKSCC no later than 2:00 p.m. on a Settlement Day either (i) by fax to 2851-6921 / 2579-0095 or (ii) by email to [cscprepay@hkex.com.hk](mailto:cscprepay@hkex.com.hk) for the placing / cancellation of any Standing Instruction to be effective on the same day;*
- 2. any surplus cash collateral that is not redelivered to us can be treated as collateral (or any part thereof) in support of any subsequent application that may be made by us for the early release of China Connect Securities that are Securities-on-hold;*
- 3. any surplus cash collateral that is not redelivered to us shall constitute Collateral as defined in the CCASS Rules and Procedures; and*
- 4. Acceptance of the above instruction will be at HKSCC’s sole and absolute discretion.*

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Authorized Signature (with company chop, ONLY applicable if it forms part of your signing instruction)

Participant ID: \_\_\_\_\_

Participant Name: \_\_\_\_\_

Contact Tel No.: \_\_\_\_\_

Contact Person: \_\_\_\_\_