

## Notes to the Application

1. Exchange Participants (EPs) may apply for a CCCG throttle rate or multiples of CCCG throttle rate and assigned to any of their existing or new CCCG Session(s).
2. Charges for subscription of CCCG throttle rate(s) are as follows:

| Items   | Charges    |
|---|------------|
| One-time charge for each CCCG throttle rate   | HK\$50,000 |
| Monthly user fee for each CCCG throttle rate assigned to an existing/new CCCG Session | HK\$960    |

3. One CCCG throttle rate provides the throughput of two messages per second. Each CCCG session can assign up to 250 CCCG throttle rates and provides throughput rate of 500 messages per second.
4. EPs subscribed CCCG throttle and assigned to existing CCCG Session are optional to participate in weekend testing. Subject to EP's own readiness, EP can roll out the CCCG throttle rate by submission of "China Connect Central Gateway (CCCG) Throttle Rate Rollout Application Form" to HKEX at least 3 CSC trading days<sup>1</sup> before the effective date.
5. EPs subscribed CCCG throttle rate and assigned to new CCCG Session are required to participate in weekend testing after installation of new CCCG session. Subject to EP's own readiness and return of positive weekend testing feedback form, EP can roll out the CCCG throttle rate by submission of "China Connect Central Gateway (CCCG) Throttle Rate Rollout Application Form" to HKEX at least 1 week before the effective date.

<sup>1</sup> "CSC trading day" is as defined in the Rules of the Exchange.  
(Updated in May 2020)