

Rules, Regulations and Procedures of Hong Kong Futures Exchange Limited

CHAPTER I

**INTERPRETATION ADMINISTRATION
AND AMENDMENT OF THE RULES**

Notices to Exchange Participants and holders of Futures Exchange Trading Rights

112. (a) Every holder of a Futures Exchange Trading Right shall register with the Exchange an address in Hong Kong to which notices can be sent and if any holder of a Futures Exchange Trading Right shall fail to do so, notice may be given to such holder of a Futures Exchange Trading Right by sending the same in any manner hereinafter mentioned to his last known address, place of business or residence or, if there be none, by posting the same for three days at the registered office of the Exchange. Notices to an Exchange Participant may be sent to the Exchange Participant in any manner hereinafter mentioned to its last known address or any address at which it carries on F.O. Business or, if there be none, by posting the same for three days at the registered office of the Exchange.

CHAPTER V

GENERAL OBLIGATIONS OF EXCHANGE PARTICIPANTSHIP

507. An Exchange Participant shall promptly upon the request of the Exchange provide the Exchange with information as the Exchange may from time to time require for the purposes of these Rules or for the performance of its functions under the Ordinance. An Exchange Participant shall, if requested by the Exchange,:-
- (a) give prompt notice to the Exchange of any change in any information supplied for its Exchange Participantship whether supplied to the Exchange at the time of application for Exchange Participantship or any time thereafter.
- (c) (deleted)
511. (a) Each Exchange Participant shall maintain a record of every address at which it carries on F.O. Business and shall indicate one address as its principal or main place of business.
- (b) In relation to each such address, each Exchange Participant shall immediately upon the request of the Exchange notify the Exchange of the place where the Exchange Participant's books and records relating to the F.O. Business carried out at that address are kept and any change in such place. Each Exchange Participant shall maintain a record of every place at which its books and records are kept and shall, so far as practicable, keep all its books and records in one place. If any original books and records are not kept in Hong Kong, the Exchange may require that duplicate books and records be kept in Hong Kong and maintained up to date on a day to day basis.
- (c) (deleted)
- 527C. (deleted)