

**Rules, Regulations and Procedures of Hong Kong Futures Exchange Limited**

**CHAPTER III**

**EXCHANGE PARTICIPANTSHIP AND  
FUTURES EXCHANGE TRADING RIGHTS**

Certificates

311. (a) As soon as practicable after the later of the date on which a person becomes an Exchange Participant and the date on which an Exchange Participant (other than an Exchange Participant to whom Rule 309(b) applies) becomes duly registered, licensed or authorised by any regulatory body in accordance with Rule 309(a), the Secretary shall register such person as an Exchange Participant under the relevant category. Registration of an Exchange Participant on the register of Exchange Participants shall be proof of its Exchange Participantship and the Register of Exchange Participants shall be conclusive as to the information registered thereon.
- (b) The Exchange will no longer issue any certificate of Exchange Participantship to a new Exchange Participant or re-issue any certificate of Exchange Participantship issued to an Exchange Participant prior to 1 January 2021 that has been defaced, lost or destroyed.

Publication of a list of Exchange Participants

- 316A. The Exchange shall maintain and keep up to date at regular intervals a list of Exchange Participants in each category in existence at the time. The Exchange shall publish such lists on the HKEX website or by such other means as it considers appropriate.

**APPENDIX B – FEES**

<b>Description</b>	<b>Amount<sup>1</sup></b>
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The “Other fees” have been deleted.

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<sup>1</sup> Unless otherwise specified, the amounts listed in this appendix are in HK dollars