

Rules, Regulations and Procedures of Hong Kong Futures Exchange Limited

**CHAPTER IIIA
FUTURES EXCHANGE TRADING RIGHTS**

Application for the issue of a Futures Exchange Trading Right

- 3A03A. (a) Every new applicant for a Futures Exchange Trading Right shall complete, sign and deliver to the Exchange an application in writing in such form as the Board may from time to time prescribe. The Exchange may prescribe different forms for different applicants.
- (b) In applying for a Futures Exchange Trading Right, every person shall follow the procedures from time to time prescribed by the Board.
- (c) Without prejudice to Rules 3A03A(a) and (b), the Board may invite members of any exchanges or organizations to apply (either by the member itself or by a company within the same group of companies of the member and designated by the member to make the application) for Futures Exchange Trading Rights and for Exchange Participantship in accordance with these Rules. The Board has absolute discretion to determine the eligibility of such applicants and the period within which the applications are required to be made except that an applicant must be duly licensed as a licensed corporation to carry on Type 2 regulated activity under the Ordinance.

APPENDIX B - FEES

Description	Amount ¹
<i>Exchange Participantship/Trading Right-related fees</i>	
Exchange Participantship subscription fee	6,000/annum (waived for the first year pursuant to an application made under Rule 3A03A(c))
Futures Exchange Trading Right issued pursuant to an application made under Rule 3A03A(c)	1 /Futures Exchange Trading Right