

CHAPTER 15

LISTS TO BE MAINTAINED

1501. List of Eligible Securities and List of TSF Stocks

HKSCC shall maintain a list of Eligible Securities and shall from time to time add to such list or remove therefrom issues of Eligible Securities in accordance with the Rules.

HKSCC, as TSF operator, shall maintain a list of TSF Stocks; and shall from time to time add to or remove from such list TSF Stocks in accordance with Rule 12A04.

HKSCC shall publish the list of Eligible Securities and the list of TSF Stocks on the HKEX website or by such other means as it considers appropriate.

1502. Other lists

HKSCC shall maintain and keep up to date at regular intervals a list of Participants in each category (other than Investor Participants), Designated Banks, China Connect Clearing Houses and Appointed Depositaries in existence at the time. HKSCC shall publish such lists on the HKEX website or by such other means as it considers appropriate.

1503. Rules

HKSCC shall maintain and keep up-to-date the Rules. HKSCC shall publish the Rules on the HKEX website or by such other means as it considers appropriate.