

Section 6

Operational and Service Schedule

6.1 PROCESSING AND SERVICE

In general, CCASS offers processing and servicing facilities to Participants other than Investor Participants as follows:

- CCASS (other than RMS) between the hours of 7:15 a.m. and 9:30 p.m., Monday to Friday (except public holidays)
- RMS (other than RMS report retrieval) between the hours of 10:00 a.m. and 7:30 p.m., Monday to Friday (except public holidays)
- RMS report retrieval between the hours of 7:00 a.m. to 12:00 a.m., Monday to Friday (except public holidays)

and certain other services and functions specified by HKSCC, between the hours of 7:15 a.m. to 1:00 p.m. on Saturday (except public holiday).

In general, services and facilities provided to Investor Participants for use and access to SSA with Statement Service provided to SSA Statement Recipients via the CCASS Phone System and the CCASS Internet System are usually available on an approximately 24 hours basis.

Notwithstanding the above, HKSCC has the right to prescribe and change the general hours of service from time to time. Further, all or part of the operations of CCASS, and services and facilities of HKSCC may be expanded, modified, reduced or suspended by HKSCC from time to time without notice.

6.2 DAILY PROCESSING AND SERVICE SCHEDULE FOR PARTICIPANTS OTHER THAN INVESTOR PARTICIPANTS

The daily processing and service schedule in CCASS below only sets out the major events. For details on the daily processing and service schedule availability in CCASS (including RMS), please refer to the CCASS Terminal User Guide for Participants and the RMS Guide.

6.2.1 Daily Processing and Service Schedule in relation to Eligible Securities Other than China Connect Securities

For the purpose of this Section 6.2.1, references to “Eligible Securities” shall mean Eligible Securities other than China Connect Securities.

Time	CCASS (including RMS) events
7:00 a.m.	Revaluation of General Collateral Inventory Commencement of RMS for retrieval of reports and data files

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- 7:15 a.m. Commencement of the following services and functions through CCASS Terminal and Participant Gateway where applicable:
- (i) clearing services (SI and ATI/STI upload functions); and
 - (ii) clearing and settlement services enquiry functions, Enquire Broadcast Message function and report retrieval functions.
- All previous day's reports are available for retrieval
- 8:00 a.m. Commencement of the following services and functions through CCASS Terminal and Participant Gateway where applicable:
- (i) clearing services (SI/ISI maintenance and ISI upload functions);
 - (ii) ATI/STI and Mass ATI/STI maintenance functions;
 - (iii) SSA maintenance and upload functions;
 - (iv) Cash Prepayment Instruction, Cash Prepayment / TSF Payment Standing Instruction, Intra-day Payment Standing Instruction and Nominee Payment (Evening) Standing Instruction maintenance functions;
 - (v) subscription, election, voting instructions, Electronic Application Instructions for New Issue Shares (except where such day is the application or confirmation start date), Tender Instructions (except where such day is the tender or application start date) and Corporate Representative / Proxy instructions maintenance functions; and
 - (vi) CA ISO Message Service maintenance functions.
- 9:00 a.m. Commencement of the following services and functions through CCASS Terminal and Participant Gateway where applicable:
- (i) Electronic Application Instructions for New Issue Shares (if such day is the application start date) maintenance function;
 - (ii) Tender Instructions (if such day is the tender or application start date) maintenance function; and
 - (iii) Collateral Security maintenance and upload functions.
- First batch of scrip entitlement distribution
- Commencement of book-entry deposit / withdrawal orders maintenance functions
- 9:15 a.m. Commencement of Unit Creation / Redemption Orders maintenance functions

	First SI matching
	First STI Batch-run
9:30 a.m.	Commencement of the following services and functions, through CCASS Terminal and Participant Gateway where applicable: <ul style="list-style-type: none"> (i) settlement services (DI input, input of recall and return request, if appropriate); (ii) Stock Release Request maintenance functions for TSF CCASS Participants; (iii) depository services (deposits and withdrawals of Eligible Securities by Participants); (iv) submission of Transfer Instructions; and (v) CCMS services.
9:45 a.m.	Second batch of scrip entitlement distribution
10:00 a.m.	Second SI matching
	Second STI Batch-run
	First Collateral Security Batch-run
	Commencement of online services through RMS
10:15 a.m.	First TSF Share De-earmarking Process
10:30 a.m.	First Batch-settlement-run
11:00 a.m.	Revaluation of General Collateral Inventory and collateralization of intra-day Marks, and (if HKSCC collects intra-day Margin on such date which is a Trading day with no Afternoon Session) intra-day Margin
	Deadline for inputting subscription instructions which involve the collection of subscription monies by CPIs: or where the deadline for subscription imposed by the relevant Issuer falls on such day
11:30 a.m.	Third SI matching
	Third batch of scrip entitlement distribution
11:45 a.m.	Second TSF Share De-earmarking Process
12:00 noon	Deadline for Electronic Application Instructions for New Issue Shares input in respect of New Issues whose application lists close on such day
	Deadline for inputting election instructions where the deadline for election imposed by the relevant Issuer falls on such day

	Deadline for submitting Transfer Instructions to be effected on the same day on a delivery versus payment basis in CMU to HKSCC
	Second Batch-settlement-run
12:45 p.m.	Third STI Batch-run
	Second Collateral Security Batch-run
1:00 p.m. (after)	First batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available
1:15 p.m.	Deadline for inputting Intra-day Payment Standing Instructions in order to have such instructions effected on the same day
1:30 p.m.	Fourth SI matching
	Fourth batch of scrip entitlement distribution
1:45 p.m.	Third TSF Share De-earmarking Process
2:00 p.m.	Third Batch-settlement-run
	Deadline for submitting Transfer Instructions to be effected on the same day on a free of payment basis in CMU to HKSCC
	Deadline for inputting Cash Prepayment Instructions with “CHATS” selected as the payment method
	Deadline for submitting Tender Instructions to HKSCC in respect of an issue of Government Bonds or CMU Instruments whose application lists close on such day
	Collateralization of intra-day Margin (if HKSCC collects intra-day Margin on such date which is a normal Trading day)
2:00 p.m. (after)	Final Clearing Statement or FCS in respect of Exchange Trades effected on or reported to the Exchange and Clearing Agency Transactions concluded on previous day, available to Clearing Participants and Clearing Agency Participants
2:30 p.m.	Fifth SI matching
	Deadline for inputting Cash Prepayment Instructions with “OTHERS” selected as the payment method
2:30 p.m. (around)	Generation of Intra-day Payment Instructions by HKSCC
3:00 p.m.	Close of book-entry deposit / withdrawal orders maintenance functions
3:15 p.m.	Sixth SI matching
	Fifth batch of scrip entitlement distribution

3:30 p.m.	<p>Fourth STI Batch-run</p> <p>Fourth TSF Share De-earmarking Process</p> <p>Deadline for inputting Nominee Payment (Evening) Standing Instruction in order to have the evening distribution of nominee payment to start on the same day, subject to acceptance of the Nominee Payment (Evening) Standing Instruction by HKSCC</p>
3:30 p.m. (after)	<p>Second batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available</p>
3:45 p.m.	<p>Close of clearing, settlement and depository services (except for enquiry, report retrieval and collection of securities)</p> <p>Close of subscription instruction maintenance functions</p> <p>Close of CCMS stock transfer maintenance service</p> <p>Deadline for the input of Tender Instructions to HKSCC in respect of the issue of Exchange Fund Notes or Specified Instruments, the deadline being two Business Days before the tender date (as specified in the tender notice)</p> <p>Seventh SI matching</p> <p>Final Batch-settlement-run</p>
4:00 p.m.	<p>Close of Stock Release Request maintenance functions for TSF CCASS Participants</p>
4:00 p.m. (after)	<p>Subscription instruction maintenance functions re-open (HKSCC will announce by broadcast message when subscription instruction maintenance functions re-open)</p> <p>Second session of ATI input service commences (HKSCC will announce by broadcast message when the second session of ATI input service is available to Participants) and CCMS stock transfer maintenance services commence</p>
4:05 p.m. (around)	<p>Generation of DDIs, DCIs and EPIs by HKSCC for same day settlement</p>
4:15 p.m.	<p>Deadline for inputting voting instructions and Corporate Representative/Proxy instructions where the deadline prescribed by HKSCC for inputting such instructions falls on such day</p> <p>Sixth batch of scrip entitlement distribution</p> <p>First TSF Share Earmarking Process</p> <p>Fifth TSF Share De-earmarking Process</p>
4:25 p.m.	<p>Close of Unit Creation/ Redemption Orders maintenance functions</p>

5:00 p.m.	Eighth SI matching
5:00 p.m. (after)	Commencement of the second session of clearing services (SI/ISI maintenance and upload) (HKSCC will announce by broadcast message when second session of clearing services is available to Participants) First batch of PCS in respect of Exchange Trades effected on or reported to the Exchange current day available to Clearing Participants and Clearing Agency Participants Fifth STI Batch-run (for STI Transfers to be effected on FOP basis only) Third Collateral Security Batch-run
5:15 p.m.	Seventh batch of scrip entitlement distribution
6:00 p.m.	Close of Collateral Security maintenance and upload functions Final SI matching Eighth batch of scrip entitlement distribution Fourth Collateral Security Batch-run
6:00 p.m. (after)	Settled Position Report and third batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available
7:00 p.m.	Close of SI/ISI maintenance and ISI upload, Cash Prepayment / TSF Payment Standing Instruction maintenance, Intra-day Payment Standing Instruction maintenance, Nominee Payment (Evening) Standing Instruction maintenance, CCMS cash transfer and stock collateral maintenance services and Tender Instructions, subscription, election, voting, Corporate Representative/Proxy instructions maintenance functions and CA ISO Message Service maintenance functions Deadline for inputting subscription instructions where the deadline for subscription imposed by the relevant Issuer falls on the next Business Day at or before 12:00 noon Ninth batch of scrip entitlement distribution
7:15 p.m. (after)	Revaluation of General Collateral Inventory and collateralization of day-end Marks, and day-end Margin
7:30 p.m.	Close of ATI/STI maintenance and SI upload functions Online services through RMS close
7:45 p.m.	Close of Mass ATI/STI maintenance function

8:00 p.m.	<p>Final batch of scrip entitlement distribution</p> <p>Close of all enquiries, except for CCMS enquiry and Enquire Broadcast Message functions</p> <p>Close of ATI/STI upload functions</p>
8:00 p.m. (after)	<p>Second batch of PCS in respect of Exchange Trades effected on or reported to the Exchange and Clearing Agency Transactions concluded on current day available to Clearing Participants and Clearing Agency Participants</p> <p>Final STI Batch-run (for STI Transfers to be effected on FOP basis only)</p>
8:30 p.m.	<p>Second TSF Share Earmarking Process</p> <p>Electronic Application Instructions for New Issue Shares maintenance and CCMS enquiry functions close</p>
8:30 p.m. (around)	<p>Generation of DDIs, DCIs and EPIs by HKSCC for settlement on the next Business Day</p>
9:30 p.m.	<p>Report retrieval and Enquire Broadcast Message functions through CCASS Terminal and Participant Gateway close</p>
12:00 a.m.	<p>Report retrieval through RMS close</p>

Notes :

- (i) Deposit and withdrawal services for Eligible Securities subject to book-close dates will be closed at 12:00 noon and 2:00 p.m. respectively on the last Business Day before the relevant book-close dates (where no book-close date is announced, HKSCC shall by broadcast message announce the cut-off time for deposit and withdrawal services with respect to the relevant Eligible Securities). Deposit and withdrawal services for eligible Debt Securities subject to CCASS Record Dates will be closed at 12:00 noon and 2:00 p.m. respectively on the relevant CCASS Record Date.
- (ii) Report retrieval functions through CCASS Terminal and Participant Gateway are available on Saturdays (except public holidays).
- (iii) The schedule set out above is indicative only.
- (iv) On each Settlement Day, the final Batch-settlement-run will include the following processes:-
 - final Batch-settlement-run - Part 1
 - CSB run
 - 1st Auto-return run - for recalled CSB loans
 - final Batch-settlement-run - Part 2
 - 2nd Auto-return run - for all other CSB loans
 - settlement of TSF Final FX Position by the Evening FX Settlement Time

The final Batch-settlement-run - Part 1 will determine all unsettled due/overdue CNS long positions. The CSB run will determine the aggregate of the total quantity of unsettled due/overdue CNS long positions and the total quantity of Eligible Securities in respect of which notices of recall have been served (rounded up to a multiple of board lot) for each Eligible Security and will automatically generate compulsory borrowing request(s) for each Eligible Security for matching with Eligible Securities credited to Stock Lending Accounts in accordance with Section 10.7.

The borrowed securities will be credited to HKSCC's Stock Account and will be used in the following order:

- (a) to settle outstanding Compulsory Stock Borrowing Transactions in respect of which a notice of recall has been served by the Lenders via the 1st Auto-return run;
- (b) to settle the unsettled CNS long positions via the final Batch-settlement-run - Part 2; and
- (c) to settle outstanding Compulsory Stock Borrowing Transactions in respect of which no notice of recall has been served by the Lender, but in respect of which HKSCC has elected to exercise its right of termination under the Compulsory Stock Borrowing and Lending Regulations via the 2nd Auto-return run.

The settlement of TSF Final FX Position by the Evening FX Settlement Time will follow the procedures set forth in Section 12A.4.4.

- (v) Electronic Application Instructions for New Issue Shares and Tender Instructions maintenance functions and enquiry and report retrieval functions are available on Saturdays from 8:00 a.m. to 1:00 p.m.
- (vi) First session of CCASS-To-CCMS stock transfer maintenance and CCMS-To-CCASS stock transfer maintenance closes at 3:45 p.m. and the second session commences at approximately 4:00 p.m. and closes at 7:00 p.m.
- (vii) Three batches of scrip entitlement distribution take place on Saturdays (except public holidays) at 9:45 a.m., 11:30 a.m. and 1:00 p.m. respectively.

6.2.2 Daily Processing and Service Schedule in relation to China Connect Securities

Time	CCASS (including RMS) events
7:00 a.m.	<p>Revaluation of General Collateral Inventory</p> <p>Commencement of RMS for retrieval of reports and data files</p>
7:15 a.m.	<p>Commencement of the following services and functions through CCASS Terminal and Participant Gateway where applicable:</p> <ul style="list-style-type: none"> (i) clearing services (ATI/STI and SI maintenance and upload functions); and (ii) clearing and settlement services enquiry functions, Enquire Broadcast Message function and report retrieval functions. <p>All previous day's reports are available for retrieval</p>

Time	CCASS (including RMS) events
7:30 a.m. (around)	First batch of scrip entitlement distribution for both SSE Market and SZSE Market
8:00 a.m.	<p>Commencement of the following services and functions through CCASS Terminal and Participant Gateway where applicable:</p> <ul style="list-style-type: none"> <li data-bbox="544 465 1066 497">(i) Mass ATI/STI maintenance function; <li data-bbox="544 533 1433 667">(ii) Intra-day Payment Standing Instruction (only applicable to nominee payment), Cash Prepayment Standing Instruction and Nominee Payment (Evening) Standing Instruction maintenance functions; and <li data-bbox="544 703 1433 801">(iii) subscription (except for the acceptance of Take-over Offer of listed securities), election, voting instructions, Shareholding Category Disclosure and Proxy instruction maintenance functions. <p>Generation of CPIs by HKSCC (in respect of money obligations arising from CNS stock positions settled on previous Mainland Business Day) for same day settlement</p> <p>First STI Batch-run</p>
8:15 a.m.	Snapshot taking of total sellable balance of China Connect Securities of China Connect Clearing Participants and their NCCCPs for pre-trade checking in the trading sessions for trading China Connect Securities between the time of such snapshot and the time of the next snapshot
9:15 a.m.	Second STI Batch-run (excluding Special Segregated Accounts)
10:00 a.m.	Third STI Batch-run (excluding Special Segregated Accounts)
11:45 a.m.	First SI matching
12:00 noon	Deadline for inputting election instructions, where the deadline prescribed by HKSCC for inputting such instructions falls on such day
12:45 p.m.	Fourth STI Batch-run (excluding Special Segregated Accounts)
1:00 p.m. (after)	First batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available
1:15 p.m.	Deadline for inputting Intra-day Payment Standing Instructions (only applicable to nominee payment) in order to have such instructions effected on the same day
1:45 p.m.	Second SI matching
2:00 p.m.	<p>Deadline for submitting the following instructions in relation to the Collateral provided for the early release of Securities-on-hold, in order to have such instructions effected on the same Settlement Day:</p> <ul style="list-style-type: none"> <li data-bbox="544 1980 1433 2042">(i) standing instructions to request HKSCC not to redeliver the Collateral or instructions to cancel such standing instructions; and

Time	CCASS (including RMS) events
	(ii) withdrawal instructions to request HKSCC to redeliver all or any of the Collateral.
2:30 p.m. (around)	Generation of Intra-day Payment Instructions (only applicable to nominee payment) by HKSCC
3:00 p.m.	Third SI matching Close of Cash Prepayment Standing Instruction maintenance function
3:30 p.m.	Fifth STI Batch-run (excluding Special Segregated Accounts) Deadline for inputting Nominee Payment (Evening) Standing Instruction in order to have the evening distribution of nominee payment to start on the same day, subject to acceptance of the Nominee Payment (Evening) Standing Instruction by HKSCC
3:45 p.m. (around)	Second batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available
4:00 p.m. (after)	Final Clearing Statement or FCS in respect of China Connect Securities Trades effected via a Trading Link available to China Connect Clearing Participants Commencement of Cash Prepayment Instruction Maintenance and DI input functions Application for the early release of Securities-on-hold available
4:05 p.m. (around)	Generation of EPIs by HKSCC for same day settlement
4:15 p.m.	Deadline for inputting voting instructions, Proxy instructions and Shareholding Category Disclosure where the deadline prescribed by HKSCC for inputting such instructions falls on such day
4:45 p.m.	Fourth SI matching First Batch-settlement-run
5:00 p.m.	Fifth SI matching Sixth STI Batch-run (for STI Transfers on FOP basis only and excluding STI Transfers from Special Segregated Accounts)
5:30 p.m.	Sixth SI matching Second Batch-settlement-run Seventh STI Batch-run (for STI Transfers on FOP basis only) Deadline for inputting DI for settlement of SI stock positions in Eligible Currencies other than RMB on RDP basis

Time	CCASS (including RMS) events
	Deadline for inputting Cash Prepayment Instructions with “CHATS” selected as the payment method
	Deadline for submitting an application for the early release of Securities-on-hold and transferring same day available funds as Collateral for the early release to HKSCC’s specified bank account
5:45 p.m. (around)	Reconciliation of China Connect Securities Trades with the relevant China Connect Clearing House
6:00 p.m.	Deadline for inputting Cash Prepayment Instructions with “OTHERS” selected as the payment method
6:00 p.m. (around)	Second batch of scrip entitlement distribution for SSE Market
6:15 p.m. (around)	Third batch of Corporate Announcement Master Data File and Corporate Announcement Maintenance Activities Report available
	Seventh SI matching
	Eighth STI Batch-run (for STI Transfers on FOP basis only)
	Third Batch-settlement-run
7:00 p.m.	Close of Intra-day Payment Standing Instruction maintenance (only applicable to nominee payment), election, voting, Shareholding Category Disclosure and Proxy instructions maintenance functions
	Close of ATI/STI maintenance and upload functions
	Third batch of scrip entitlement distribution for SSE Market
7:00 p.m. (around)	Eighth SI matching
	Fourth Batch-settlement-run
	Deadline for inputting DI for settlement of SI stock positions in RMB on RDP basis and CNS stock positions
	Commencement of SPSA Delivery Failure maintenance function and subscription instructions maintenance function (for all announcement types)
	Second session of ATI/STI maintenance/upload services commence
	Ninth STI Batch-run (for STI Transfers on FOP basis only)
7:00 p.m. (after)	Calculation of Mainland Settlement Deposit
7:30 p.m.	Close of SI upload function
	Tenth STI Batch-run (for STI Transfers on FOP basis only)

Time	CCASS (including RMS) events
7:45 p.m.	<p>Close of SI maintenance function, DI input function (for settlement of SI stock positions only), Mass ATI/STI maintenance function, SPSA Delivery Failure maintenance function and subscription instructions maintenance function</p> <p>Final SI matching</p> <p>Final Batch-settlement-run (for settlement of SI stock positions on DVP and FOP basis only)</p>
8:00 p.m.	<p>Final STI Batch-run (for STI Transfers on FOP basis only)</p> <p>Close of all enquiries, except for CCMS enquiry, Enquire Sellable Balance Adjustment Request and Enquire Broadcast Message functions</p> <p>Close of ATI/STI maintenance and upload functions</p>
8:00 p.m. (after)	Second batch of scrip entitlement distribution for SZSE Market
8:05 p.m. (around)	Generation of EPIs by HKSCC for same day Night Settlement
8:30 p.m.	<p>Close of CCMS enquiry function</p> <p>Final batch of scrip entitlement distribution for SSE Market</p> <p>Third batch of scrip entitlement distribution for SZSE Market</p>
8:30 p.m. (around)	Generation of DDIs, DCIs and EPIs by HKSCC for settlement on the next Business Day
9:00 p.m.	<p>Close of Enquire Sellable Balance Adjustment Request function</p> <p>Final batch of scrip entitlement distribution for SZSE Market</p>
9:30 p.m.	Report retrieval and Enquire Broadcast Message functions through CCASS Terminal and Participant Gateway close
9:30 p.m. (after)	Snapshot taking of total sellable balance of China Connect Securities of China Connect Clearing Participants and their NCCCPs for pre-trade checking
12:00 a.m.	Report retrieval through RMS close

Notes:

- (i) Report retrieval functions through CCASS Terminal and Participant Gateway are available on Saturdays (except public holidays).
- (ii) The schedule set out above is indicative only.
- (iii) Final Clearing Statement or FCS availability to China Connect Clearing Participants is subject to HKSCC's timely receipt of clearing information from the relevant China Connect Clearing House.

- (iv) The commencement of the fourth Batch-settlement-run is subject to HKSCC's timely receipt of settlement information from the relevant China Connect Clearing House.

6.3 DAILY SERVICE SCHEDULE FOR DESIGNATED BANKS

The daily service schedule of CCASS applicable to Designated Banks is set out in brief below. For more details, please refer to the CCASS Terminal User Guide for Designated Banks.

Time	CCASS events
7:15 a.m.	Commencement of report retrieval and Enquire Broadcast Message functions
8:00 a.m.	Generation of CPIs by HKSCC for money settlement between Participants and HKSCC (including money obligations arising from CNS stock positions of China Connect Securities Trades settled on the previous Settlement Day with payment deadline at 12:00 noon) to be settled by a specific time on the same day
8:30 a.m.	Commencement of enquiry function DDI/DCI/EPI Listing for Designated Bank (for DDIs/ DCIs/EPIs generated on the previous day for settlement on the current day) available DDI/DCI/EPI Listing for IP Related Transactions available Commencement of inputting payment confirmation for DDIs/DCIs/EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the previous day for settlement on the current day
9:00 a.m.	Commencement of batch upload for rejected DDI/debit EPI relating to Investor Participants functions
9:30 a.m.	Deadline for inputting payment confirmation for DDIs/DCIs/EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the previous day for settlement on the current day Commencement of Enquire Payment Instruction function
9:30 a.m. (after)	DDI/DCI/EPI Rejection Listing (for payment confirmation of DDIs/DCIs/EPIs generated on the previous day for settlement on the current day) available Electronic CHATS Payment Instruction Report available (containing CPIs generated at around 8:00 a.m. for money settlement between Participants and HKSCC by a specified time on the same day)
10:30 a.m. (after)	Electronic CHATS Payment Instruction Report available

11:00 a.m. (after)	Electronic CHATS Payment Instruction Report available
11:30 a.m. (around)	CCASS Funding Projection Report for Designated Bank (for funding projection as of the completion of the First Batch-settlement-run for Eligible Securities other than China Connect Securities) available
12:00 noon	Commencement of inputting payment confirmation for DDIs/debit EPIs in the DDI/DCI/EPI Listing for IP Related Transactions Deadline for paying HKSCC in good funds for CPIs contained in the Electronic CHATS Payment Instruction Report generated at 9:30 a.m. (after) in respect of money settlement of China Connect Securities Trades between HKSCC and Participants and issuing by facsimile or telephone to HKSCC a confirmation regarding each such CPI for which payment has not been or cannot be effected
12:00 noon. (after)	Electronic CHATS Payment Instruction Report available
12:30 p.m. (around)	CCASS Funding Projection Report for Designated Bank (for funding projection as of the completion of the Second Batch-settlement-run for Eligible Securities other than China Connect Securities) available
1:30 p.m.	Close of batch upload for rejected DDI/debit EPI relating to Investor Participants functions
1:30 p.m. (after)	Rejected DDI/EPI Batch Input Control Report available
2:00 p.m. (after)	Electronic CHATS Payment Instruction Report available
2:30 p.m.	Deadline for inputting payment confirmation for DDIs/debit EPIs in the DDI/DCI/EPI Listing for IP Related Transactions
2:30 p.m. (around)	CCASS Funding Projection Report for Designated Bank (for funding projection as of the completion of the Third Batch-settlement-run for Eligible Securities other than China Connect Securities) available
2:30 p.m. (after)	Investor Confirmation Report available
3:00 p.m. (after)	Intra-day Payment Instruction Listing available
3:30 p.m. (around)	CCASS Funding Projection Report for Designated Bank (for funding projection as of the completion of the Sixth SI matching for Eligible Securities other than China Connect Securities) available
4:05 p.m. (after)	DDI/DCI/EPI Listing for Designated Bank (for DDIs/DCIs/EPIs generated on the current day for settlement on the same day) available
4:20 p.m. (after)	DDI/DCI/EPI Exception Report available Commencement of the first session for inputting payment confirmation for DDIs/DCIs/EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for settlement on the same day, excluding those DDIs, DCIs and EPIs contained in the

DDI/DCI/EPI Exception Report

4:45 p.m. (after)	Electronic CHATS Payment Instruction Report available
5:00 p.m. (around)	CCASS Funding Projection Report (Night Settlement) for Designated Bank (for funding projection as of the completion of the first Batch-settlement-run for China Connect Securities) available
5:30 p.m. (after)	Electronic CHATS Payment Instruction Report available
5:45 p.m.	Deadline of the first session for inputting payment confirmation for DDIs/DCIs/EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for settlement on the same day (see Note (i) below)
5:45 p.m. (around)	CCASS Funding Projection Report (Night Settlement) for Designated Bank (for funding projection as of the completion of the second Batch-settlement-run for China Connect Securities) available
5:45 p.m. (after)	DDI/DCI/EPI Rejection Listing (for payment confirmation inputted in the first session) available
6:00 p.m. (after)	Commencement of the second session for inputting payment confirmation for DDIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for settlement on the same day, excluding those DDIs contained in the DDI/DCI/EPI Exception Report and those DDIs in relation to which payment confirmation has been inputted in the first session
6:15 p.m. (after)	Electronic CHATS Payment Instruction Report available
6:30 p.m. (around)	CCASS Funding Projection Report (Night Settlement) for Designated Bank (for funding projection as of the completion of the third Batch-settlement-run for China Connect Securities) available
7:00 p.m.	Close of all enquiry functions except for the Enquire DDI/DCI/EPI Rejection and Enquire Broadcast Message functions
7:00 p.m. (after)	Electronic CHATS Payment Instruction Report available
7:15 p.m. (around)	CCASS Funding Projection Report (Night Settlement) for Designated Bank (for funding projection as of the completion of the fourth Batch-settlement-run for China Connect Securities) available
8:00 p.m.	Deadline of the second session for inputting payment confirmation for DDIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for settlement on the same day, excluding those DDIs contained in the DDI/DCI/EPI Exception Report and those DDIs in relation to which payment confirmation has been inputted in the first session
8:00 p.m. (around)	CCASS Funding Projection Report (Night Settlement) for Designated Bank (for funding projection as of the completion of the final SI Batch-settlement-run for China Connect Securities) available

8:00 p.m. (after)	DDI/DCI/EPI Rejection Listing (for payment confirmation inputted in the second session) available
8:05 p.m. (after)	DDI/DCI/EPI Listing for Designated Bank (for EPIs generated on the current day for same day Night Settlement) available
8:20 p.m. (after)	DDI/DCI/EPI Exception Report available Commencement of inputting payment confirmation for EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for same day Night Settlement, excluding those EPIs contained in the DDI/DCI/EPI Exception Report
9:20 p.m.	Deadline for inputting payment confirmation for EPIs in the DDI/DCI/EPI Listing for Designated Bank generated on the current day for same day Night Settlement
9:20 p.m. (after)	DDI/DCI/EPI Rejection Listing (for EPI payment confirmation inputted in the session) available Close of the Enquire DDI/DCI/EPI Rejection function
9:30 p.m.	Close of the report retrieval and Enquire Broadcast Message functions

Note(s):

- (i) Where a Designated Bank should input a payment confirmation in the first session for any DDI contained in the DDI/DCI/EPI Listing for Designated Bank but fails to do so, notwithstanding this deadline, the Designated Bank may input a payment confirmation in the second session commencing at 6:00 p.m. (after).