

GENERAL RULES OF CCASS

CHAPTER 3

ADMISSION OF PARTICIPANTS

304A. Register of Participants

HKSCC shall maintain a register of Participants containing the full names and addresses of all Participants, particulars of the category of Participantship under which each Participant is registered and the date of admission of each Participant.

CHAPTER 15

LISTS TO BE MAINTAINED

1503. Rules

HKSCC shall maintain and keep up-to-date the Rules. HKSCC shall publish the Rules on the HKEX website or by such other means as it considers appropriate.

CHAPTER 29

AMENDMENTS

2902. Notification of amendments

HKSCC shall notify Participants in the event of any amendment, extension or deletion to be made to the Rules or to the Operational Procedures and the effective date thereof. Such amendment, extension or deletion shall be available for perusal by Participants on the HKEX website.