

**GENERAL RULES OF CCASS**

**PART A: RULES OF GENERAL APPLICATION**

**CHAPTER 1**

**INTERPRETATION**

**101. Definitions**

When used herein, the following expressions shall, unless the context otherwise requires, bear the following meanings:

**“New Issue Shares”**

means, in relation to any New Issue, the shares, Depositary Receipts, warrants, Structured Products, Debt Securities or Units which are the subject of that New Issue;

**CHAPTER 7**

**SERVICES – GENERAL**

**703. Arrangements with other entities**

Copies of the CMU Membership Agreement, CMU Rules and CMU Manual are available for inspection by Participants at the CCASS Depository service counter.

**CHAPTER 28**

**DISCLOSURE**

**2802. Disclosure to Issuers or Depositaries of Eligible Securities**

HKSCC shall from time to time upon the written request of an issuer of Eligible Securities or a Depositary in the case of Depositary Receipts disclose to that issuer or Depositary details of Participants' holdings of that Eligible Security in CCASS or in any Appointed Depositary of the Eligible Securities concerned.