

**Disciplinary Procedures**

**INTRODUCTION**

"Disciplinary Section" means the division, department, section or unit of the Exchange or HKEX delegated from time to time with the responsibilities and functions set out in Clause 1 of Part I of the Disciplinary Procedures.

"Enforcement Section" means the division, department, section or unit of the Exchange or HKEX delegated from time to time with the responsibilities and functions set out in Clause 2 of Part I of the Disciplinary Procedures.