

**Rules of the Exchange**

**CHAPTER 14A**

**CHINA CONNECT SERVICE - SHANGHAI**

**Short Selling**

- 14A17. (15) The Exchange will publish on the HKEX website or through such other means as the Exchange considers appropriate the following information (for A shares only):
- (a) ~~prior to the start of~~during a CSC trading day, the quantity of each Short Selling Security that may be the subject of Short Selling orders for the CSC trading day in the event that its Short Selling availability falls below a specified quantity as announced by the Exchange from time to time;
  - (b) after market close, the Short Selling turnover (including the number of shares and the value) and the Short Selling Ratio of each Short Selling Security for that CSC trading day, and the cumulative Short Selling Ratios of each Short Selling Security over the previous 10 consecutive CSC trading days.

**CHAPTER 14B**

**CHINA CONNECT SERVICE - SHENZHEN**

**Short Selling**

- 14B17. (15) The Exchange will publish on the HKEX website or through such other means as the Exchange considers appropriate the following information (for A shares only):
- (a) ~~prior to the start of~~during a CSC trading day, the quantity of each Short Selling Security that may be the subject of Short Selling orders for the CSC trading day in the event that its Short Selling availability falls below a specified quantity as announced by the Exchange from time to time;
  - (b) after market close, the Short Selling turnover (including the number of shares and the value) and the Short Selling Ratio of each Short Selling Security for that CSC trading day, and the cumulative Short Selling Ratios of each Short Selling Security over the previous 10 consecutive CSC trading days.

## CHAPTER 15

### SPECIAL PARTICIPANTS

1531. Where quotas are imposed by any relevant governmental or regulatory bodies referred to in Rule 1530:
- (1) the Special Participant shall publish ~~the quota utilization and~~ the quota balance ~~on each during a trading day~~ in the event that the balance falls below a specified level as announced by the Exchange from time to time; and
  - (2) the Special Participant shall not submit orders on, and shall be restricted from trading in, SEHK Securities once the quota limits are reached, provided that sell orders will not be restricted or rejected so long as the Exchange considers it appropriate to continue to process and accept sell orders.