

**Rules of the Exchange**

**CHAPTER 1  
INTERPRETATION**

101. In these Rules, unless the context otherwise requires:-

“company” means a company as defined in section 2 of the Companies Ordinance, a company to which Part ~~XI-16~~ of that Ordinance applies, and any body corporate incorporated in Hong Kong having a share capital;

~~“Memorandum” means the Memorandum of Association of the Exchange;~~

**CHAPTER 5  
TRADING**

563I. HKSCC may establish and maintain a list of TSF Stocks designated as eligible to be traded on the Exchange with the support of the TSF. The list of TSF Stocks may be amended by HKSCC from time to time in its absolute discretion. HKSCC may publish the list of TSF Stocks on the HKEX website or by such other means as it considers appropriate.

Unless HKSCC otherwise determines, the TSF shall only be available for supporting the trading of Eligible Securities in the form of shares (~~the par value of~~ which may be denominated in any currency) which are accepted for trading on the Exchange in RMB and which are included in the list of TSF Stocks.

**CHAPTER 7  
DISCIPLINARY**

702D. The exercise of powers by the Board or the Chief Executive, as the case may be, in connection with Rules 204(8), 226(5), 431(3)(f), 569A(f), 601, 723(1)(c) and 723(2)(h) and (i) shall be dealt with in the ~~d~~Disciplinary ~~p~~Procedures ~~made pursuant to the Memorandum from time to time.~~

**FOURTEENTH SCHEDULE**

**SECURITIES MARKET MAKER REGULATIONS  
 (“REGULATIONS”)**

(5B) (a) Before approving the application to register a Designated Specialist, the Exchange may give regard to such matters as the Exchange may, in its absolute discretion, consider to be appropriate and shall require the applying Securities Market Maker to demonstrate to the satisfaction of the Exchange that its corporate client which it is applying for registration as a Designated Specialist has satisfied the following conditions:

(i) it is a body corporate incorporated or formed outside Hong Kong which is not a registered non-Hong Kong company as defined in under Part XI of the Companies Ordinance;