

Rules of the Exchange

CHAPTER 2

ADMINISTRATION

Publication of a list of Exchange Participants and Special Participants

- 238A. The Exchange shall maintain and keep up to date at regular intervals a list of Exchange Participants and Special Participants in existence at the time. The Exchange shall publish such list on the HKEX website or by such other means as it considers appropriate.

CHAPTER 3

EXCHANGE PARTICIPANTSHIP

Notice of admission

305. On the admission of an Exchange Participant the fact shall forthwith be notified to it in writing by the Secretary and a request for payment for the Stock Exchange Trading Right to be issued to it (if appropriate) and its current subscription shall be sent to it. It shall become an Exchange Participant upon its name being registered in the Register of Exchange Participants.

Exchange Participants Certificates

- 351A. (1) The Exchange will no longer issue any Exchange Participant Certificate to a new Exchange Participant or re-issue any Exchange Participant Certificate issued to an Exchange Participant prior to 1 January 2021 that has been defaced, lost or destroyed. Registration of an Exchange Participant on the register of Exchange Participants shall be proof of its Exchange Participantship and the Register of Exchange Participants shall be conclusive as to the information registered thereon.
- (2) - (5) [Repealed]
- 352B. [Repealed]

CHAPTER 7

DISCIPLINARY

Situations Calling for Disciplinary Action

723. (2) Without prejudice to the generality of the foregoing, the Board and the Chief Executive may exercise their disciplinary powers against a Participant in the following instances, in such manner as they think fit, if the Participant:-
- (x) [Repealed]

CHAPTER 8

PAYMENT OF FEES AND CHARGES

802. Without limitation to the generality of Rule 801 above, the Board may impose fees and charges in respect of: -

	<u>Fee Type</u>	<u>Amount (HK\$)</u>
(29)	[Repealed]	