

Rules of the Exchange

CHAPTER 14A

CHINA CONNECT SERVICE - SHANGHAI

Interpretation

14A02. (2) In this Chapter, unless the context otherwise requires:

“SSE Main Board” means the Main Board market operated by SSE;

“SSE Market” means the stock markets operated by SSE, comprising the SSE Main Board and SSE STAR Market, collectively, a China Connect Market as defined in Chapter 1;

“SSE STAR Market” means the STAR market operated by SSE;

Trading Arrangements

14A06. (1) Pursuant to the Exchange’s powers under Rules 1417 (power to determine the order types), 1418 (power to stipulate quotation requirements) and 1430 (conditions and restrictions on the use of the China Connect Service), Rules 14A06(2) to (12) shall apply to the use of the China Connect Service for the trading of China Connect Securities listed on SSE.

Quotation requirements and restrictions

(2) China Connect orders shall comply with the quotation requirements and restrictions set out from time to time through publication on the HKEX website or such other means as the Exchange considers appropriate.

CHAPTER 14B

CHINA CONNECT SERVICE - SHENZHEN

Trading Arrangements

- 14B06. (1) Pursuant to the Exchange's powers under Rules 1417 (power to determine the order types), 1418 (power to stipulate quotation requirements) and 1430 (conditions and restrictions on the use of the China Connect Service), Rules 14B06(2) to (18) shall apply to the use of the China Connect Service for the trading of China Connect Securities listed on SZSE.

Quotation requirements and restrictions

- (2) China Connect orders shall comply with the quotation requirements and restrictions set out from time to time through publication on the HKEX website or such other means as the Exchange considers appropriate.