Operational Trading Procedures for Options Trading Exchange Participants

Chapter 3：Trading Functionalities

The trading functionalities offered by HKATS and the procedural steps for executing those functionalities are set out in the *HKATS User's Guide* and the *HKATS Risk Functions User's Guide*.

3.0 HKATS Risk Controls and Functions

Options Trading Exchange Participants shall have arrangements in place to ensure that all orders transmitted to HKATS are subject to Prescribed Risk Controls and other appropriate risk controls and functions.

APPENDIX A: FEES & COSTS PAYABLE TO THE EXCHANGE

A4 HKATS-Related Charges

Fee Type	Fee
OAPI sub-license fee for connection with a throughput rate of 5 transactions per second through the central gateway	HK$2600 per month per OAPI provided that no OAPI sub-license fee will be charged for either the first 2 connections with a throughput rate of 5 transactions per second or the first connection with a throughput rate of 10 transactions per second of an Options Trading Exchange Participant
OAPI sub-license fee for connection with a throughput rate of 10 transactions per second through the central gateway	HK$5200 per month per OAPI provided that no OAPI sub-license fee will be charged for either the first 2 connections with a throughput rate of 5 transactions per second or the first connection with a throughput rate of 10 transactions per second of an Options Trading Exchange Participant
HKATS Risk Functions sub-licence fee for connection through the central gateway	HK$1000 per month per connection provided that no fee will be charged for the first 3 connections of an Options Trading Exchange Participant