

Reporting Functions

LIST OF REPORTS AND AVAILABILITY SCHEDULE

Report ID	Report Name	Market [#]	Freq	Time Available
CCLTN04	<i>Provisional Clearing Statement</i>	HKMK	Twice daily	shortly after 5:00 p.m. (for current day's Exchange Trades); shortly after 8:00 p.m. (for current day's Exchange Trades and Clearing Agency Transactions)
CCLTN05	<i>Final Clearing Statement</i>	HKMK	Daily	shortly after 2:00 p.m. (for previous day's Exchange Trades and Clearing Agency Transactions)
		MAMK SZMK	Daily	shortly after 4:00 p.m. (for current day's China Connect Securities Trades) upon completion of the clearing process for the China Connect Markets
CCLID01	<i>Intra-day Trade File (available in data file format only)</i>	HKMK	Ten times a day	shortly after 9:45 a.m., 10:15 a.m., 10:45 a.m., 11:30 a.m., 12:00 noon, 1:15 p.m., 2:00 p.m., 2:45 p.m. 3:30 p.m. and 4:25 p.m. (for current day's Exchange Trades effected on or reported to the Exchange by the NCPs of a GCP)
		MAMK SZMK	Eight times a day	shortly after 9:45 a.m., 10:15 a.m., 10:45 a.m., 11:45 a.m., 1:15 p.m., 2:00 p.m. and 2:30 p.m. and 3:30 p.m. (for current day's China Connect Securities Trades effected via a Trading Link by the NCCCPs of a GCP)

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Report ID	Report Name	Market#	Freq	Time Available
CCLSI01	<i>SI Status Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's SI results)
CCLSI02	<i>SI Activity Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's SI activities)

Report ID	Report Name	Market#	Freq	Time Available
CCLEI01	<i>SI Batch Input Control Report</i>	GLOB	After each SI upload	shortly after each individual SI batch validation (for status of SI batch uploads)
CCLUS01	<i>Unmatched SI Report</i>	HKMK	Nine Sixteen times a day	around 9:45 a.m., 10:30 a.m., 12:00 noon, 2:00 p.m., 3:00 p.m., 3:30 p.m., 4:15 p.m., 5:30 p.m. and 6:30 p.m. (for unmatched SI results as of the completion of each SI matching run for Eligible Securities other than China Connect Securities) around 7:50 a.m., 9:20 a.m., 10:05 a.m., 10:50 a.m., 11:35 a.m., 12:35 p.m., 1:35 p.m., 2:35 p.m., 3:20 p.m., 3:50 p.m., 5:05 p.m. and 6:05 p.m., 6:50 p.m., 8:00 p.m., 8:30 p.m. and 10:00 p.m. Please note that the updates reflect the latest T+1 requirements and the planned migration from batch-based to real-time SI matching under the OCP Real-Time SI Matching initiative.
		MAMK SZMK	Nine Thirteen times a day	around 12:00 noon, 2:00 p.m., 3:15 p.m., 5:00 p.m., 5:15 p.m., 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for unmatched SI results as of the completion of each SI matching run for China Connect Securities) around 7:35 a.m., 9:35 a.m., 11:50 a.m., 1:05 p.m., 1:50 p.m., 3:05 p.m., 4:05 p.m., 4:50 p.m., 5:05 p.m., 5:35 p.m., 6:20 p.m., 7:05 p.m. and 7:50 p.m. Please note that the updates reflect the planned migration from batch-based to real-time SI matching under the OCP Real-Time SI Matching initiative.
CIPSI01	<i>ISI Status Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's ISI results)

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CIPSI02	<i>ISI Activity Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's ISI activities)
CIPEI01	<i>ISI Batch Input Control Report</i>	GLOB	After each ISI upload	shortly after each individual ISI batch validation (for status of ISI batch uploads)
CIPCL03	<i>Monthly ISI Counterparty List</i>	GLOB	Monthly	from the time when the report retrieval is available on the first Business Day of each month (for the latest ISI counterparty list as at end of previous month)
CSEOP01	<i>Next Settlement Day Due/Overdue Position Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for current day's due/overdue positions)

Report ID	Report Name	Market#	Freq	Time Available
CSEOP09	<i>GEM Settlement Information Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's settlement results in relation to transactions in securities listed on the Growth Enterprise Market of the Exchange)
CSESE01	<i>Settlement Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's settlement results)
CSESI02	<i>Intra-Day ISI/SI Full List</i>	HKMK	~~Eight~~ Ten times a day	around 11:30 a.m., 12:30 p.m., 2:30 p.m. and 4:15 p.m. (for settlement results as of each Batch-settlement-run for Eligible Securities other than China Connect Securities) as well as at additional specified times ~~and~~ around 3:00 p.m., 3:30 p.m., 5:30 p.m. ~~and~~ 6:30 p.m., 8:30 p.m. and 10:00 p.m. (for matched SI results as of the completion of the fifth, sixth, eighth and final SI matching runs for Eligible Securities other than China Connect Securities) Please note that the updates reflect the latest T+1 requirements and the planned migration from batch-based to real-time SI matching under the OCP Real-Time SI Matching initiative.
		MAMK SZMK	Nine times a day	around 5:00 p.m., 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for settlement results as of the completion of each Batch-settlement-run in China Connect Securities) as well as at additional specified times ~~and~~ around 12:00 noon, 2:00 p.m., 3:15 p.m., and 5:15 p.m. (for matched SI results as of the first, second, third and fifth SI matching runs for China Connect Securities) Please note that the updates reflect the planned migration from batch-based to real-time SI matching under the OCP Real-Time SI Matching initiative.

CSESP01	<i>Settled Position Report</i>	HKMK	Daily	shortly after 6:00 p.m. (for accumulated settlement results for Eligible Securities other than China Connect Securities as of current day)
		MAMK SZMK	Daily	shortly after 8:00 p.m. (for accumulated settlement results for China Connect Securities of current day)
CSESP04	<i>Intra-day Settled Position Report</i>	HKMK	Three times a day	around 11:30 a.m., 12:30 p.m. and 2:30 p.m. (for settlement results as of the completion of first, second and third Batch-settlement-runs for Eligible Securities other than China Connect Securities)
		MAMK SZMK	Four times a day	around 5:15 p.m., 6:00 p.m., 6:45 p.m. and 7:30 p.m. (for CNS stock positions and SIs settlement results as of the completion of the first to fourth Batch-settlement-runs for China Connect Securities)

Report ID	Report Name	Market#	Freq	Time Available
CFIBI01	<i>Buy-in Notification Report</i>	HKMK	Daily	after 5:00 p.m.(for Buy-in of Eligible Securities other than China Connect Securities to be executed by HKSCC on the following Trading day and those executed on previous Trading day)
		MAMK SZMK	Daily	after 7:15 p.m. (for Buy-in of China Connect Securities to be executed by HKSCC on the following Trading day and those executed on current Trading day for each China Connect Market)
CCMPS01	<i>Maintain Preferred Single Settlement Currency Activity Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's activities in relation to Preferred Single Settlement Currency)
CCMSC01	<i>Specific Cash Collateral Instruction Activity Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's Specific Cash Collateral instruction activities)
CSECP03	<i>Cash Prepayment / TSF Payment / Intra-day Payment Instruction Maintenance A/T Report</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's maintenance activities of, Cash Prepayment, TSF Payment and Intra-day Payment Standing Instruction)

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CSEPI01	<i>Payment Instruction Input Activity Report</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's payment instruction on-line activities)
CSEMA08/ CSEMS08	<i>Statement of Money Ledger</i>	GLOB	Daily	CSEMS08: from the time when the report retrieval is available (for previous day's Money Ledger movements); and CSEMA08: around 4:30 p.m. (for current day's Money Ledger movements)
CSEBA01	<i>ATI Batch Input Control Report</i>	GLOB	After each batch validation	shortly after completion of each ATI batch validation run scheduled at around 7:30 a.m., 8:00 a.m., 9:15 a.m., 10:00 a.m., 11:30 a.m., 12:45 p.m., 3:15 p.m., 5:00 p.m., 5:30 p.m. and 8:00 p.m. (for status of ATI batch file uploads)
CSESM01	<i>Statement of Stock Movement (By Stock) Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's stock movement sorted by stocks)

Report ID	Report Name	Market [#]	Freq	Time Available
CSESM02	<i>Statement of Stock Movement (By Account) Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's stock movements sorted by accounts)
CSESB01	<i>Daily Stock Balance Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's stock balances and value in their account and total portfolio value)
CFIMC01	<i>Monthly Stock Balance Report</i>	GLOB	Monthly	from the time when the report retrieval is available on the first Business Day of each month (for previous month's Stock Account balance)
CBLBP01	<i>Stock Borrowing/Lending Position Status Report</i>	HKMK	Daily	from the time when the report retrieval is available (stock loan status as at the end of the previous day)
CBLBR01	<i>Stock Borrowing/Lending Activity Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's stock loan activities)
CCJCC12	<i>Corporate Communications Event List</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's corporate communications event updated)

CCJCC13	<i>Recipient Master Maintenance Activity Report</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's Recipient master maintenance activities)
CCJCC14	<i>Corporate Communications Event Recipient List Activity Report</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's corporate communications Recipient list maintenance activities)
CCJCC15	<i>Corporate Communications Recipient Batch Input Control Report</i>	GLOB	Daily	shortly after each scheduled corporate communications batch validation run (for status of batch file uploads)
CCJCC21	<i>Monthly Recipient Master List</i>	GLOB	Monthly	from the time when the report retrieval is available on the first Business Day of each month (for latest Recipient masters as at end of previous month)
CCNDS04	<i>Withdrawal Advice Report</i>	HKMK	Daily	from the time when the report retrieval is available (for current day's lapsed/expired securities withdrawal)
CCVWD04	<i>Withdrawal Order Audit Trail Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's withdrawal orders input activities)

Report ID	Report Name	Market [#]	Freq	Time Available
CCVWD05	<i>Withdrawal Order Status Report</i>	HKMK	Daily	from the time when the report retrieval is available (withdrawal order status as at the end of the previous day)
CCNAN05	<i>Corporate Announcement Master Data File (available in data format file only)</i>	HKMK MAMK SZMK	Three times a day	around 1:00 p.m., 3:30 p.m. and 6:00 p.m. (for active Corporate Announcements as of the file generation time)
CCNAN06	<i>Corporate Announcement Maintenance Activities Report</i>	HKMK MAMK SZMK	Three times a day	around 1:00 p.m., 3:30 p.m. and 6:00 p.m. (for current day's Corporate Announcements' update activities as of the report / file generation time)
CCNPT01	<i>Nominee Functions Input Activities Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's input activities of all nominee functions, Intra-day Payment Standing Instruction in relation to payments arising out of nominee services, Nominee Payment (Evening) Standing Instruction and also voting instructions input by SSA Statement Recipients)

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CCNPT02	<i>Entitlement Statement</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's entitlement updates)
CCNPT03	<i>Participant Voted Quantity Exception Report - On Voting Deadline</i>	HKMK MAMK SZMK	Daily	shortly after the 4:15 p.m. voting validation run (for voting instructions being scaled down or cancelled)
CCNPT04	<i>Participant Voted Quantity Exception Report - Before Voting Deadline</i>	HKMK MAMK SZMK	Daily	normally around 8:45 p.m. (for potential exceptional voting instructions of Eligible Securities other than China Connect Securities) normally around 8:45 p.m. for SSE Market and 9:15 p.m. for SZSE Market (for potential exceptional voting instructions of China Connect Securities)
CCNPT05	<i>Elected Quantity Exception Report for Structured Products (Before Election End)</i>	HKMK	Daily	from the time when the report retrieval is available (for potential exceptional election instructions for payments relating to Structured Products)

Report ID	Report Name	Market [#]	Freq	Time Available
CCNPT06	<i>Nominee Fees Refund Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for refund of the over-charged nominee fees arising from multi-counter stocks, i.e. scrip fee and dividend collection fee)
CCNPT07	<i>Participant Shareholding Category Disclosure Activity Report</i>	MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's Shareholding Category Disclosure maintenance activities)
CCNPT08	<i>Participant Shareholding Category Disclosure Exception Report - On Voting Deadline</i>	MAMK SZMK	Daily	shortly after 4:15 p.m. voting validation run (for Shareholding Category Disclosure instructions being cancelled)
CCNPT09	<i>Participant Shareholding Category Disclosure Exception Report - Before Voting Deadline</i>	MAMK SZMK	Daily	Normally around 8:45 p.m. for SSE Market and 9:15 p.m. for SZSE Market (for Shareholding Category Disclosure instructions to be cancelled)

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CCNPT10	<i>CA ISO Message Service Subscription Audit Trail Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's CA ISO Message Service subscription activities)
CCNPT11	<i>CA ISO Message Service Monthly Billing Report</i>	HKMK	Monthly	from the time when the report retrieval is available (for previous month's CA ISO Message Service subscription fee and list of ISO Messages)
CCNVT08	<i>CRP Batch Input Control Report</i>	GLOB	Three times a day	shortly after completion of each CRP batch validation run scheduled at around 11:45 a.m., 2:45 p.m. and 4:15 p.m. (for status of CRP batch file uploads)
CEFUV01	<i>Tender Instructions Batch Input Control Report</i>	GLOB	After each scheduled validation	shortly after each scheduled batch validation run (for status of Tender Instructions batch file uploads)
CEPAA01	<i>New Issues Application Input Activities Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available (for previous day's Tender Instruction maintenance activities)
CEPAB01	<i>New Issues Application Input Activities Report</i>	GLOB	Daily (including Saturday)	shortly after 11:30 a.m.(for current day's Tender instruction maintenance activities as of the report generation time)

Report ID	Report Name	Market [#]	Freq	Time Available
CEPBE01	<i>New Issues Entitlement Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available (for previous day's entitlement updates of Tender instructions)
CCSSG01	<i>Stock Segregated Account with Statement Service Master Listing</i>	GLOB	Daily	from the time when the report retrieval is available (for the previous day's latest master information of SSA with Statement Service)
CCSSG02	<i>Stock Segregated Account with Statement Service Maintenance Activity Report</i>	GLOB	Daily	from the time when the report retrieval is available (for previous day's maintenance activities of SSA with Statement Service)
CCSSU01	<i>SSA Batch Input Control Report</i>	GLOB	Daily	shortly after the SSA batch input file validation run (for status of SSA batch uploads)

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CSEAT01	<i>STI Batch Input Control Report</i>	GLOB	After each batch validation	shortly after completion of each STI batch validation run scheduled at around 7:30 a.m., 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 7:30 p.m. and 8:00 p.m. (for status of STI batch uploads)
CSEAT02	<i>STI Activity Report</i>	HKMK	Six times a day	shortly after completion of each STI batch transfer run scheduled at around 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m. and 8:00 p.m. (for accumulated STI activities as of the report generation time for Eligible Securities other than China Connect Securities)
		MAMK SZMK	Eleven times a day	shortly after completion of each STI batch transfer run scheduled at around 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 6:15 p.m., 7:00 p.m., 7:30 p.m. and 8:00 p.m. (for accumulated STI activities as of the report generation time for China Connect Securities)
CSEAT03	<i>STI Status Report</i>	HKMK MAMK SZMK	Daily	from the time when the report retrieval is available (for previous day's STI results)
CETMB02	<i>Broadcast Message Listing</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available (for previous day's broadcast messages)

Report ID	Report Name	Market [#]	Freq	Time Available
CSEMP02	<i>CCASS Funding Projection Report for Participant</i>	GLOB	Four times a day	around 11:30 a.m., 12:30 p.m., 2:30 p.m. (for funding projection as of the completion of each of the first three Batch-settlement-runs for Eligible Securities other than China Connect Securities) and around 3:30 p.m. ~~(for funding projection as of the completion of the sixth Batch-settlement-run for Eligible Securities other than China Connect Securities)~~
CSEMP04	<i>CCASS Funding Projection Report (Night Settlement) for Participant</i>	GLOB	Five times a day	around 5:00 p.m., 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for funding projection as of the completion of each Batch-settlement-run for China Connect Securities)

CSEMS28/ CSEMA28/ CSEMN28	<i>DDI/DCI/EPI Summary for Participant</i>	GLOB	Daily	CSEMS28: from the time when the report retrieval is available for DDIs/DCIs/EPIs generated on the previous day); CSEMA28: around 4:05 p.m. (for DDIs/DCIs/EPIs generated on the current day for same day settlement); and CSEMN28: around 8:15 p.m. (for EPIs generated on the current day for same day Night Settlement)
CSEMA29/ CSEMS29/ CSEMN29	<i>Settlement Related EPI Listing</i>	GLOB	Daily	CSEMS29: from the time when the report retrieval is available (for EPIs generated on the previous day for settlement on the current day in relation to money obligations of Isolated Trades, SI Transactions and ISI Transactions on a DVP basis); CSEMA29: around 4:05 p.m. (for EPIs generated on the current day for settlement on the same day in relation to money obligations of Isolated Trades and SI Transactions on a DVP basis); and CSEMN29: around 8:15 p.m. (for EPIs generated on the current day for same day Night Settlement)
CCMPY01	<i>Posting / Collateralisation Result Report</i>	GLOB	Each marks batch run	before the completion of scheduled intra-day marks batch processing runs, or ad-hoc marks batch processing run (if any)
CCMPY02	<i>Posting / Collateralisation Result Report</i>	GLOB	Daily	after the completion of day-end marks batch processing runs
CCMCA02	<i>Collateral Account Balance Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's collateral balance)

Report ID	Report Name	Market [#]	Freq	Time Available
CCMMV01	<i>Participant Collateral Account Movement Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's collateral movements)
CCMSU04	<i>Special-Use Collateral Balance Report</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's special-use collateral balance)

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CCMIR02	<i>Collateral Parameters Information List</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (previous day's interest rates, retention rates, accommodation fee rate, exchange rate and exchange haircut)
CCMIA02	<i>Monthly Interest & Accommodation Fee Report - Detail</i>	GLOB	Monthly	from the time when the report retrieval is available on the first Business Day of each month (for previous month's interest and accommodation fee)
CCMDS01	<i>Statement of Collateral Account</i>	GLOB	Daily (including Saturday)	from the time when the report retrieval is available on each Business Day and Saturday (for previous day's collateral account activities)
CSETF03	<i>TSF FX Transaction / Stock Release Activity / Status Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous Trading day's (i) Stock Release Request maintenance activities; and (ii) settlement status of TSF FX Transactions and Stock Release FX Transactions)
CSETF07	<i>TSF Confirmation Report</i>	HKMK	Daily	around 6:00 p.m.(for (i) current Trading day's TSF FX Transactions and Stock Release Requests; (ii) the preceding Trading day's TSF FX Positions and Stock Release FX Transactions; (iii) a summary of the quantity of TSF Stocks to be earmarked and de-earmarked and money positions of the TSF CCASS Participants; and (iv) the applicable FX rates)
CSETF13	<i>TSF Exception Handling Fee Report</i>	GLOB	Daily	from the time when the report retrieval function is available (for previous day's TSF exception handling fee)
CCVTF01	<i>Inter-counter Transfer/Conversion Instruction Activities Report</i>	HKMK	Daily	from the time when the report retrieval function is available (for previous day's processed Parallel Trading Conversion Instructions)

Report ID	Report Name	Market [#]	Freq	Time Available
CCDCM02	<i>Multi-counter Settlement Mapping Data File (available in data format file only)</i>	GLOB	Daily	from the time when the report retrieval is available

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CCVTF05	<i>Parallel Trading Conversion Instruction Batch Input Control Report</i>	HKMK	After each batch validation	shortly after completion of each batch validation run on uploaded files containing Parallel Trading Conversion Instructions scheduled at around 9:15 a.m., 10:15 a.m., 12:30 p.m. and 2:30 p.m. (for status of Parallel Trading Conversion Instruction batch uploads)
CCDPC02	<i>Monthly Portfolio Fee Collection Report of China Connect Markets</i>	MAMK	Monthly	from the time when the report retrieval is available on the first Business Day of each month (for previous month's portfolio values of each calendar day for calculating portfolio fee of China Connect Securities with details of the portfolio fee shown)
CRMGF01	<i>Mainland Security Deposit Payable Report (Shanghai)</i>	MAMK	Monthly	from the time when the report retrieval is available on the first Business Day of each month
CRMGF03	<i>Mainland Security Deposit Payable Report (Shenzhen)</i>	SZMK	Monthly	from the time when the report retrieval is available on the first Business Day of each month
CRMSD01	<i>Mainland Settlement Deposit Payable Report (Shanghai)</i>	MAMK	Daily	after calculation for current day's Mainland Settlement Deposit requirement
CRMSD04	<i>Mainland Settlement Deposit Payable Report (Shenzhen)</i>	SZMK	Daily	after calculation for current day's Mainland Settlement Deposit requirement
CRMSD07	<i>Intra-day Mainland Settlement Deposit Payable Report (Shanghai)</i>	MAMK	Daily	after calculation of intra-day Mainland Settlement Deposit requirement
CRMSD09	<i>Intra-day Mainland Settlement Deposit Payable Report (Shenzhen)</i>	SZMK	Daily	after calculation of intra-day Mainland Settlement Deposit requirement
CCMIA12	<i>Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shanghai)</i>	MAMK	Quarterly	from the time when the report retrieval is available on the first Business Day of each quarter (for previous quarter's interest)

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CCMIA14	<i>Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shenzhen)</i>	SZMK	Quarterly	from the time when the report retrieval is available on the first Business Day of each quarter (for previous quarter's interest)
CCLTA01	<i>Trade File of Special Segregated Accounts (available in data format file only)</i>	MAMK SZMK	Daily	around 4:15 p.m. (for current day's China Connect Securities Trades effected via a Trading Link by China Connect Exchange Participant for Special Segregated Accounts)
CSEAS05	<i>A-share Sellable Balance Adjustment Report</i>	MAMK/ SZMK	Twice daily	from the time when the report retrieval is available (for previous day's sellable balance adjustment) and around 8:15 a.m. (for current day's sellable balance adjustment)
CSEAS07	<i>A-share Sellable Balance Adjustment Audit Trail Report</i>	MAMK/ SZMK	Daily	from the time when the report retrieval is available
CCMSS05	<i>Collateral Security Batch Input Control Report</i>	GLOBAL	After each batch validation	shortly after completion of each Collateral Security batch validation run scheduled at around 10:00 a.m., 12:45p.m., 5:00 p.m. and 6:00 p.m. (for status of Collateral Security batch file uploads)
CSECC03	<i>Handling Fee Collection Report for the Early Release of Securities-on-hold for China Connect Markets</i>	MAMK	Daily	from the time when the report retrieval is available (for previous day's handling fee)
CCMDF02	<i>Statement of Default Fund Contribution</i>	GLOB	Monthly & ad hoc	upon completion of monthly review on first business day of each month or ad hoc review of Default Fund at around 12:00 noon
CSEIC01	<i>Intra-day Counter Transfer Standing Instruction Maintenance A/T Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's maintenance activity)
CSEIC02	<i>Intra-day Counter Transfer Instruction Maintenance A/T Report</i>	HKMK	Daily	from the time when the report retrieval is available (for previous day's maintenance activity)

Remarks :

- # With the Multiple Market Infrastructure, CCASS reports will be classified into different markets. Currently there are three markets - HONG KONG market with market code as "HKMK", Shanghai market with market code as "MAMK" and Shenzhen market with market code as "SZMK". For reports that are non-market driven, they are classified as Global Report with market code as "GLOB".