

## 4. Others

### 4.2 Short Message Services (SMS)

HKSCC provides Short Message Services (SMS) for sending reminder messages to Investor Participants who subscribe to the service. Reminder messages include:

- ISI Reminder on To Be Affirmed ISI (ISI inputted by non-Investor Participants<sup>1</sup> required affirmation) and Settled ISI
- Tender Reminder
- Voting Reminder
- Election Reminder
- All of the Above Reminders

Investor Participants can subscribe for any one or multiple type(s) of the SMS reminder(s) that preferred or simply choose “All of the Above Reminders” to receive all types of reminders. By subscribing to the service, HKSCC will also provide the SMS messages relating to Rejection of DDI, Rejection of Transaction(s) and special notification messages (if any), to relevant Investor Participants.

Investor Participants can submit the “Investor Participant Account Information Change Form” to our Customer Service Centre for subscribing the SMS. Investor Participants can select either English or Traditional Chinese as your preferred language.

Different types of SMS reminders are sent at different times during the day, schedule is as follows:

| Reminders             | Day                               | Time                                 |
|-----------------------|-----------------------------------|--------------------------------------|
| ISI                   |                                   |                                      |
| 1) To be affirmed ISI | 1) Monday – Friday only           | 1) 09:30 – 19:00 (run every 15 mins) |
| 2) Settled ISI        | 2) Monday – Friday only           | 2) 09:30 – 19:00 (run every 15 mins) |
| Tender                | Monday - Saturday                 | After 09:00                          |
| Voting                | 1) Monday – Friday<br>2) Saturday | 1) After 19:00<br>2) After 15:00     |
| Election              | 1) Monday – Friday<br>2) Saturday | 1) After 19:00<br>2) After 15:00     |

#### Important Notes :

- All the SMS messages sent by HKSCC are one-way transmission only and Investor Participants should not reply to such SMS messages
- Investor Participants should update the Phone Number for receiving SMS Message by submitting the “Investor Participant Account Information Change Form” to our Customer Service Centre. By terminating the subscription, Investor Participants will not receive SMS messages later on.
- Investor Participants should also refer to Activity Statement for notification of relevant corporate action activities.

<sup>1</sup> Non-Investor Participants means Clearing, Custodian, Stock Lender or Stock Pledgee Participants