

## 5. General Notes

### 5.1 General Notes

- A copy of the ‘General Rules of HKSCC’ and the ‘HKSCC Operational Procedures’ is available for inspection at the Depository Service Counters and the Customer Service Centre. The documents are also posted to “Rules and Procedures” in CCASS Internet System.
- All sales and purchases transactions in stocks by Investor Participants must be made through a securities broker or bank which provides securities services.
- Investor Participants should promptly check and reconcile with their records detail in the statement provided by HKSCC and promptly notify HKSCC in writing of any error or omission contained in such statements within ten business days of receipt.

For ease of comprehension, this guide provides basic information and operational highlights about the CCASS services available to Investor Participants. For additional information, please refer to the ‘Terms and Conditions for Investor Participants’, the ‘General Rules of HKSCC’ and the ‘HKSCC Operational Procedures’. Hong Kong Securities Clearing Company Limited does not guarantee the accuracy and completeness of the information contained in the guide and shall not be liable for any loss or damage suffered by any person arising out of or in relation to the contents of this guide.