

**Request from Administrator(s) / Executor(s)
for Closure of Account without Stock Balance Form**

Note: Please make an appointment by calling 2211 6275 before submission of this form.

To: Cash & Derivatives Clearing Operations - Clearing Participant Admin. & Services
Hong Kong Securities Clearing Co Ltd
30/F, One Exchange Square,
8 Connaught Place, Central, Hong Kong

Date: _____

RE: _____ (Participant ID _____)
(Name of deceased Investor Participant)

I _____ (Name) of _____
_____ (Address), holder of Hong Kong
Identity Card No./Passport No. * _____ , being
the _____ (relationship with deceased Investor Participant) of the
deceased participant, hereby apply to you for the closure of the above account.

As of _____ , no stock balance is noted in the above account as supported by the
attached Appendix I.

As of _____ , the money ledger balance of the above account is
HK\$ _____ as supported by the attached Appendix II. I want to settle the overdue
money ledger balance, if applicable.

- Cash amount of HK\$ _____ .
- A cheque no. _____ drawn on
_____ payable to
"Hong Kong Securities Clearing Co. Ltd." amounted to HK\$ _____ .
- There is no overdue money ledger balance.

Yours faithfully

(Signature of Applicant)

Name :

- Please tick in the box as appropriate.
* Please delete as appropriate.

Notes on Personal Information Collection

Please refer to the hyperlink (http://www.hkex.com.hk/eng/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) to access the statement "NOTES ON PERSONAL INFORMATION COLLECTION" made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.

備註：敬請致電 2211 6275 預約辦理有關手續。

致：香港中央結算有限公司
現貨及衍生產品結算業務 - 結算行政及服務
香港中環康樂廣場 8 號交易廣場 1 期 30 樓

日期：_____

去世投資者參與者姓名：_____〔參與者編號：_____〕

本人_____〔姓名〕_____

_____〔地址〕

香港身份證號碼 / 護照號碼* _____ 為去世參與者的 _____

_____〔與去世投資者參與者的關係〕茲向貴公司申請取消上述戶口。

截至 _____ 為止，並無任何證券記存於上述戶口，詳列附表 I。

截至 _____ 為止，上述戶口內之逾期未繳付的款項為港幣 _____ 元，

詳列附表 II。本人欲繳付逾期未繳付款項 (如適用)：

- 現金總額為港幣 _____。
- 一張由 _____ 銀行發出港幣 _____ 的支票。支票號碼是 _____ 及抬頭是“香港中央結算有限公司”。
- 沒有逾期未繳付的款項。

〔申請人簽署〕

姓名：

請於適當方格內加入 ‘√’ 號。

* 請刪去不適用者。

收集個人資料之說明

請查閱相關超連結(http://www.hkex.com.hk/chi/prod/clar/sec_clrsett/ipacctsvc/documents/npic.pdf)以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。