

**Request from Surviving Joint Holder(s)
for Release of Stocks and Cash Balance Form**

Note: Please make an appointment by calling 2211 6275 before submission of this form.

To: Cash & Derivatives Clearing Operations - Clearing Administration & Services
Hong Kong Securities Clearing Co Ltd
30/F, One Exchange Square,
8 Connaught Place, Central, Hong Kong

Date: _____

.....
Re: _____ (Participant ID)
(Name of Joint Individual Investor Participant)

_____ (HKID Card No.)
(Name of deceased holder)

To support my / our application, attached is a certified copy of the

- Probate
- Letters of Administration.

In view of the death of the above joint holder, I / We would like to :

- Withdraw all the securities from the joint account and close it accordingly.
- Continue operating the investor account with the following details:

Name of Account: _____

Address: _____

Tel No. : _____ Fax No. : _____

(Please complete and return the enclosed Direct Debit Authorisation Form for CCASS money settlement arrangement.)

Signature(s) of Surviving Joint Holder(s)

Name:

Name:

Name:

HKID Card No.

HKID Card No.

HKID Card No.

- Please tick in the box as appropriate.

Notes on Personal Information Collection

Please refer to the hyperlink (http://www.hkex.com.hk/eng/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) to access the statement "NOTES ON PERSONAL INFORMATION COLLECTION" made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.

備註: 敬請致電 2211 6275 預約辦理有關手續。

致：香港中央結算有限公司
現貨及衍生產品結算業務 - 結算行政及服務
香港中環康樂廣場 8 號交易廣場 1 期 30 樓

日期：_____

聯名投資者參與者名稱：_____〔參與者編號：_____〕

去世戶口持有人姓名：_____〔香港身份證號碼：_____〕

隨附下列核証副本作為申請的支持文件

遺囑認證書

遺產管理證明書

鑑於上述聯名持有人已去世，本人/ 吾等欲：

自投資者戶口中提取所有證券，然後取消該戶口。

繼續維持該投資者戶口，資料如下：

戶口名稱：_____

地址：_____

電話號碼：_____ 圖文傳真號碼：_____

(請填妥及交回隨附之直接扣賬授權表格作中央結算系統款項交收安排。)

其他聯名持有人簽署：

姓名：
身份證號碼：

姓名：
身份證號碼：

姓名：
身份證號碼：

請於適當方格內加入 '✓' 號。

收集個人資料之說明

請查閱相關超連結(http://www.hkex.com.hk/chi/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf)以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。