

**Request from Administrator(s) / Executor(s)
for Release of Stocks and Cash Balance Form**

Note: Please make an appointment by calling 2211 6275 before submission of this form.

To: Cash & Derivatives Clearing Operations - Clearing Participant Admin. & Services
Hong Kong Securities Clearing Co Ltd
30/F, One Exchange Square,
8 Connaught Place, Central, Hong Kong

Date: _____

RE: _____ (Participant ID _____)
(Name of deceased Investor Participant)

I _____(Name) of _____
_____(Address), holder of Hong Kong Identity Card
No./Passport No. * _____, being the

- Executor / Executrix *
- Administrator / Administratrix *

of the deceased participant, hereby apply to you for the release of all securities credited to the above account and all entitlements accrued to such securities. To support my application, attached is a certified copy of the

- Probate
- Letters of Administration.

As of _____, stock and cash balance standing to the credit of the above account are attached as Appendix I and II respectively.

For the purpose of release of cash balance, please arrange for cheque payments issued in favour of _____(Name) and notify me by letter to the above address or by telephone no. _____ to effect personal collection.

Thereafter, please close the above account accordingly.

Yours faithfully

(Signature of Applicant)

Name :

- Please tick in the box as appropriate.
- * Please delete as appropriate.

Notes on Personal Information Collection

Please refer to the hyperlink (http://www.hkex.com.hk/eng/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) to access the statement "NOTES ON PERSONAL INFORMATION COLLECTION" made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.

備註: 敬請致電 2211 6275 預約辦理有關手續。

致：香港中央結算有限公司
現貨及衍生產品結算業務 - 結算行政及服務
香港中環康樂廣場 8 號交易廣場 1 期 30 樓

日期：_____

去世投資者參與者姓名：_____〔參與者編號：_____〕

本人_____〔姓名〕_____

_____〔地址〕

香港身份證號碼 / 護照號碼* _____ 為去世參與者的

遺囑執行人

遺產管理人 茲向貴公司申請發還所有記存於上述戶口的證券及該等證券應得的權益，隨附

遺囑認證書

遺產管理證明書 的核証副本作為上述申請的支持文件。

截至 _____ 為止，記存於上述戶口的證券及現金結餘分別詳列附表 I 及 II。

有關發還現金結餘，支票抬頭請寫 _____，並以書面或致電 _____ 通知本人親身索取。完成上述戶口的證券及該等證券應得的權益發還之後，請取消上述戶口。

〔申請人簽署〕

姓名：

請於適當方格內加入 ‘√’ 號。

* 請刪去不適用者。

收集個人資料之說明 請查閱相關超連結(http://www.hkex.com.hk/chi/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf)以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。