

Investor Participant Self-Certification Form 投資者戶口持有人自我證明表格

(If you are a Joint Individual Investor Participant, each joint individual must submit a separate Self-Certification Form)

(如貴戶為聯名投資者戶口持有人，每位聯名人士必須遞交個別自我證明表格)

To: Hong Kong Securities Clearing Company Limited (“HKSCC”) and Hong Kong Exchanges and Clearing Limited (“HKEX”) 致：香港中央結算有限公司《結算公司》及香港交易及結算所有限公司《香港交易所》

Customer Service Centre
1/F, One & Two Exchange Square,
8 Connaught Place, Central, Hong Kong
香港中環康樂廣場 8 號交易廣場
1 期及 2 期 1 樓客戶服務中心
(Enquiry Tel. No. 查詢電話號碼 2979-7000)

From: _____

English Full Name of Investor Participant
投資者戶口持有人的英文全名

Participant ID:
參與者編號

Date of Birth (DD-MM-YYYY): _____
出生日期 (日日-月月-年年年年)

Current Residence Address:
現時住址

Place of Birth: _____
出生地

(Address, city/town and country)
(地址，城市與國家)

Certification of Account Status (For Individual Investor Participant only) 身份認證(只限個人投資者戶口持有人)

a) I certify that I am a citizen of the United States of America. Yes No
本人聲明及保證本人為美國公民。 是 否

b) I certify that I am a resident of the United States of America for US federal income tax purposes. Resident of the United States of America includes without limitation any individual who (i) holds a US Permanent Resident Card (a green card) or (ii) meets a US “substantial presence” test under the US federal income tax law. Yes No
本人聲明及保證本人為美國聯邦稅法定義下的美國居民。美國居民包括(i)美國永久居民卡(“綠卡”)持有者或(ii)通過美國聯邦稅法所規定“居住測試”的人士。 是 否

If yes in either a) or b), please provide the US Taxpayer Identification Number (i.e., US Social Security Number):
如您在問題 a) 到 b) 任何一處勾選“是”，請在下方提供您的美國納稅人識別號碼(美國社會保險號碼):

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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c) I certify that I am a resident for tax purposes in the jurisdiction(s) as indicated in the table below and I hereby provide my Taxpayer Identification Number or the functional equivalent thereof (“TIN”) for each jurisdiction indicated.
本人聲明及保證本人為下方列表列出居留司法管轄區之稅務居民並特此提供每個所列居留司法管轄區的稅務編號或具有等同功能的識辨編號(“稅務編號”)。

Notes to completing the table below:
填寫下方列表時注意:

- Please indicate **all** jurisdictions of residence for tax purposes (including the Hong Kong Special Administrative Region of the People’s Republic of China (“Hong Kong”) where applicable). The United States of America need not be listed in the table below. If space provided below is insufficient, continue on additional sheet(s).
請提供**所有**閣下作為稅務居民的居留司法管轄區(包括中華人民共和國香港特別行政區(“香港”)如適用)。美國無需在下方列表列出。如下方空位不夠應用，可另紙填寫。
- If you are a resident of Hong Kong for tax purposes, the TIN for Hong Kong is the Hong Kong Identity Card Number (including the digit in brackets but without the brackets themselves).
如閣下為香港的稅務居民，稅務編號是其香港身份證號碼(包括括號裡的數字但不包括括號)。
- Please note that Hong Kong, the Macau Special Administrative Region of the People’s Republic of China, the People’s Republic of China and Taiwan are separate jurisdictions for the purposes of this Self-Certification Form.
請注意香港、中華人民共和國澳門特別行政區、中華人民共和國和台灣在此表格被視為不同的居留司法管轄區。
- If you have any questions about your jurisdiction(s) of residence for tax purposes or how to complete the table below, please contact your tax advisor.
如閣下有關於貴作為稅務居民的居留司法管轄區，或如何填寫下方列表的疑問，請向貴稅務顧問諮詢。

- If a TIN is not provided, please select one of the reasons below:
 Reason A – The jurisdiction does not issue TINs to its residents.
 Reason B – You are unable to obtain a TIN. Please provide explanation in the table below.
 Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction do not require the TIN to be disclosed.
 如沒有提供稅務編號，請選擇以下一個理由：
 理由 A – 此居留司法管轄區並沒有向其居民發出稅務編號。
 理由 B – 閣下不能取得稅務編號。請在下方列表提供解釋。
 理由 C – 毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

Jurisdiction(s) of Residence for Tax Purposes 稅務居民的居留司法管轄區	TIN 稅務編號	Enter Reason A, B, or C if no TIN is provided 如沒有提供稅務編號，填寫理由 A、B 或 C	Explanation if Reason B is selected 如選取理由 B，解釋原因
(1)			
(2)			
(3)			
(4)			
(5)			

d) Residence Address for Tax Purposes: _____
 稅務用途住址 _____

(Please include address, city/town and country)
 (填寫時請包括地址，城市與國家)

SIGNATURE, DECLARATIONS AND CONSENT FOR DISCLOSURE 簽署，聲明及同意披露

I acknowledge and agree that the information on this Self-Certification Form is collected and may be kept by HKSCC and HKEX for the purposes of Automatic Exchange of Financial Account Information (“AEOI”), including Section 50B(3) of the Inland Revenue Ordinance (Cap. 112 of the Laws of Hong Kong), and the US Foreign Account Tax Compliance Act (“FATCA”), and such information (including my personal data provided herein (the “Personal Information”)) may be reported by HKSCC or HKEX to (i) the Hong Kong Inland Revenue Department (the “IRD”) for transfer to the governmental, regulatory or competent authority or taxing authority of one or more jurisdiction(s) (the “Relevant Authorities”) in which I am a resident for tax purposes, and/or (ii) the US Internal Revenue Service (the “IRS”) or otherwise in connection with FATCA.

本人知悉及同意，本表格所載資料由結算公司和香港交易所收集並可備存作自動交換財務帳戶資料《AEOI》(包括香港法例第 112 章《稅務條例》第 50B(3)條)和美國海外帳戶稅收合規法案《FATCA》用途，及可被結算公司或香港交易所把該等資料(包括本人在此提供的個人資料(“個人資料”)) (i)向香港稅務局(“稅務局”)申報，從而把資料轉交到本人作為稅務居民的一個或多個居留司法管轄區的政府、監管或主管當局或稅務機關 (“相關當局”)，和/或(ii)向美國國稅局申報或其它 FATCA 相關的申報。

I hereby expressly give my consent to the disclosure, use and making available by HKSCC and HKEX of information (including the Personal Information) on this Self-Certification Form as well as those relating to or derived from my account maintained with HKSCC in my capacity as an Investor Participant, to the IRD, the Relevant Authorities and/or the IRS from time to time for the purposes of, or in connection with, AEOI, FATCA and/or any other matters included in the “Tax Information Exchange Framework” as defined in the Terms and Conditions for Investor Participants, General Rules of CCASS and the CCASS Operational Procedures.

本人明確表示同意結算公司及香港交易所因或有關 AEOI、FATCA 和/或投資者戶口持有人規則、中央結算系統一般規則和中央結算系統運作程序規規定義下的《稅務資料交換框架》內的其它課題不時向稅務局、相關當局和/或美國國稅局披露，使用和提供本表格所載資料(包括個人資料)和/或與本人以投資者戶口持有人身份持有的戶口有關或從中所獲得的資料。

I understand that my Personal Information will continue to be made available to the IRD, the Relevant Authorities and/or the IRS until such time as HKSCC or HKEX has received my written notification of revocation of my consent. I further understand that following withdrawal of my consent any Personal Information that has already been disclosed prior to such withdrawal will remain accessible to the IRD, the Relevant Authorities and/or the IRS to which it was previously disclosed for the purposes of, or in connection with, AEOI, FATCA and/or any other Tax Information Exchange Framework matters.

本人明白本人的個人資料將可能被提供予稅務局、相關當局和/或美國國稅局，直至本人以書面形式通知結算公司或香港交易所撤銷有關同意。本人進一步明白，在撤銷有關同意前已因或有關 AEOI、FATCA 和/或稅務資料交換框架內的其它課題而披露的個人資料將仍可讓稅務局、相關當局和/或美國國稅局繼續查閱。

I certify that I am the account holder (within the meaning of each of AEOI and FATCA) of the account(s) to which this Self-Certification Form relates. 本人聲明及保證，就與本表格所有相關的帳戶，本人是按 AEOI 和 FATCA 定義下的帳戶持有人。

I agree that I will advise HKSCC and HKEX of any change in circumstances which (i) affects my jurisdiction(s) of residence for tax purposes and/or my US or non-US status or (ii) otherwise causes the information contained on this Self-Certification Form to become incorrect or incomplete, and I will submit a new Self-Certification Form to HKSCC and HKEX within 30 days of such change in circumstances.

本人承諾，如情況有所改變，以致影響本表格的(i)本人作為稅務居民的居留司法管轄區和/或本人的美國或非美國身份，或(ii)引致本表格所載的資料不正確或不完備，本人會通知結算公司和香港交易所，並會在情況發生改變後 30 日內，向結算公司和香港交易所提交一份已適當更新的自我證明表格。

In addition to and notwithstanding the above, by signing this Self-Certification Form below, I consent to the processing of my Personal Information in accordance with HKEX's Privacy Policy Statement as set out at the end of this Self-Certification Form.

除上述之外及儘管有所上述，通過在下方簽署此表格，本人同意讓本人的個人資料依照此表格尾部所載的香港交易所《私隱政策聲明》處理。

I declare that the information given and statements in this Self-Certification Form are, to the best of my knowledge and belief it is true, correct and complete.

本人在此聲明本人已閱讀明白此自我證明表格內所有之內容。同時聲明盡本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signed by the Investor Participant:

投資者戶口持有人簽署：

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Signature of person named on the form 個人簽署	Print Name 姓名	Date (DD-MM-YYYY) 日期 (日日-月月-年年年年)
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Note it is an offence under section 80(2E) of the Inland Revenue Ordinance (Cap. 112 of the Laws of Hong Kong) if any person, in making a self-certification relating to AEOI, makes a statement that is misleading, false or incorrect in a material particular and knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e., \$10,000).

警告：根據香港法例第112章《稅務條例》第80(2E)條，如任何人在自我證明表格作出關於AEOI自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級(即\$10,000)罰款。

This Self-Certification Form is written in English with a translation in Chinese. In case of inconsistency, the English version shall prevail.
此自我證明表格的中英文本內容如有歧異，當以英文本為準。

Privacy Policy Statement

Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "**Group**") (and each being "**HKEX**", "**we**", "**us**" or "**member of the Group**" for the purposes of this Privacy Policy Statement as appropriate) recognise their responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the Personal Data (Privacy) Ordinance (Cap. 486) ("**PDPO**"). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Privacy Policy Statement.

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

1. to process your applications, subscriptions and registration for our products and services;
2. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("**Regulatory Functions**");
3. to provide you with our products and services and administer your account in relation to such products and services;
4. to conduct research and statistical analysis;
5. to process your application for employment or engagement within HKEX to assess your suitability as a candidate for such position and to conduct reference checks with your previous employers; and
6. other purposes directly relating to any of the above.

Direct marketing

Where you have given your consent and have not subsequently opted out, we may also use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the PDPO, for the purpose of identifying you where it is reasonable for your identity card number to be used for this purpose.

Transfers of personal data for direct marketing purposes

Except to the extent you have already opted out we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

Other transfers of your personal data

For one or more of the purposes specified above, your personal data may be:

1. transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of Hong Kong;
2. supplied to any agent, contractor or third party who provides administrative, telecommunications, computer, payment, debt collection, data processing or other services to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and
3. other parties as notified to you at the time of collection.

How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

Session Cookies: temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX website.

Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("**Privacy Commissioner**") which may be found on the official website of the Office of the Privacy Commissioner or via this link

<https://www.pcpd.org.hk/english/publications/files/Dforme.pdf>

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

General

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

Contact us

By Post:

Personal Data Privacy Officer
Hong Kong Exchanges and Clearing Limited
12/F, One International Finance Centre
1 Harbour View Street
Central
Hong Kong

By Email:

DataPrivacy@HKEX.COM.HK

私隱政策聲明

香港交易及結算所有限公司以及其不時的附屬公司（統稱「**本集團**」）（在本私隱政策聲明中，各自稱為「**香港交易所**」、「**我們**」或「**本集團成員公司**」，視適用情況而定）明白根據香港法例第 486 章《個人資料（私隱）條例》（該「**《條例》**」）其在收集、持有、處理、使用及／或轉移個人資料方面的責任。個人資料的收集只限作合法且相關的用途，並會採取一切實際可行方法去確保我們持有的個人資料準確無誤。我們將會根據本私隱政策聲明使用我們不時所收集閣下的個人資料。

我們定期檢討這項私隱政策聲明，並可能不時加以修訂或加入具體指示、政策及條款。本私隱政策聲明如有任何重要修訂，我們會通過閣下提供給我們的聯絡方式通知閣下，也會按該《條例》的規定，讓閣下可以透過屆時通知閣下的途徑選擇拒絕接收此類修訂通知。否則，關於通過香港交易所網站或其他方式而提供給我們的個人資料，閣下繼續使用香港交易所網站或繼續維持與我們的關係即被視為同意並接受此項私隱政策聲明（不時修訂）。

如對這項私隱政策聲明或我們如何使用閣下的個人資料有任何疑問，請通過下文「**聯繫我們**」所載的任何一個通訊渠道與我們聯繫。

我們會採取一切實際可行方法確保個人資料的安全，以及避免個人資料在未經授權或意外的情況下被取用、刪除或作其他用途。這包括在實物具體上、技術上及程序上的適當安全措施，確保只有經授權人士才能取用個人資料。

請注意：如閣下沒有向我們提供個人資料（或有關閣下代理人的個人資料），我們可能無法提供閣下要求的資訊、產品或服務又或無法處理閣下的要求、申請、訂購或登記，亦可能無法執行或履行監管職能（定義見下文）。

目的

我們或會為了以下目的而不時收集閣下的個人資料（包括但不限於閣下的姓名、郵寄地址、電話號碼、電郵地址、出生日期和登入名稱）：

1. 處理閣下的申請、訂購及登記使用我們的產品及服務；
2. 執行或履行香港交易所以及任何由其作為認可控制人的公司（定義見香港法例第 571 章《證券及期貨條例》）的職能（「**監管職能**」）；
3. 向閣下提供我們的產品和服務，以及與此相關的賬戶管理；
4. 進行研究和統計分析；
5. 處理閣下應聘香港交易所職位或工作的申請，以評估閣下是否為適合人選，並向閣下的前僱主進行相關的背景查證；及
6. 與上列任何一項有直接關聯的其他目的。

直接營銷

如閣下已經給予同意而後並無撤回，我們也可能會使用閣下的姓名、郵寄地址、電話號碼和電郵地址，用以寄送宣傳資料，就香港交易所以及本集團其他成員公司的金融和資訊服務進行直接營銷活動。

如不希望收到我們的宣傳和直接營銷資料，或不希望收到某類宣傳和直接營銷資料，又或不希望在某種途徑收到此類材料，請通過下文「**聯繫我們**」所載的其中一種通訊渠道聯絡我們。為確保可以迅速處理閣下的要求，請提供閣下的全名、電郵地址、登入名稱及所訂閱產品及／或服務的詳情。

身份證號碼

我們也可能會根據適用法律或規例又或任何對我們有管轄權限的監管者的要求而收集並處理閣下的身份證號碼，另外亦可能因為需要識別閣下的身份而（在不抵觸該《條例》的前提下）收集並處理閣下的身份證號碼。

轉移閣下的個人資料作直接營銷

除非閣下已經拒絕，否則我們可能會將閣下的姓名、郵寄地址、電話號碼和電郵地址轉交本集團其他成員公司，使其可向閣下寄送宣傳資料，就其金融和資訊服務進行直接營銷活動。

其他轉移個人資料的情況

為了以上一個或多於一個目的，閣下的個人資料可能會被：

1. 轉交予本集團其他成員公司，而使本集團（在香港或其他地方）的相關人員獲得閣下的個人資料；就此，閣下同意將閣下的個人資料轉離香港；
2. 提供予任何向香港交易所及/或本集團在香港或其他地方的其他成員提供行政、電訊、電腦、付款、追收欠款、資料處理或其他服務的代理、承判商或第三方；及
3. 轉交予其他人士（收集資料時會通知閣下）。

我們如何使用 cookies

閣下通過香港交易所網站查閱我們的資訊或服務時，應當留意到網站有使用 cookies。Cookies 是指儲存在閣下瀏覽器內的資料檔。閣下進入香港交易所網站時，網站即在閣下瀏覽器內自動安裝並使用 cookies。香港交易所網站使用兩種 cookies。

Session Cookies：一種只在閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies，用處在於取得並儲存配置資訊及管理網站，包括「攜帶」資訊以隨閣下瀏覽網站的不同版頁，譬如以免閣下每到一個版頁也要重新輸入資訊。Session cookies 也會用來編備關於香港交易所網站使用的匿名統計資料。

Persistent Cookies：一種留存於瀏覽器內較長時間的 cookies，用以編備關於香港交易所網站使用的匿名統計資料，或追蹤和記錄使用者的習慣偏好。

香港交易所網站所用的 cookies 不包含個人資料。閣下可以更改瀏覽器或網路安全軟件中的設定，拒絕接受瀏覽器內的 cookies。不過，這樣或會令閣下不能使用或啟動香港交易所網站中的某些功能。

法律與法規的遵守

香港交易所及本集團其他成員公司或要為了遵守適用法律及規例，或遵守法院指令、傳票或其他法定程序（無論於香港或其他地方），或遵從政府機關、執法機構或類似機構（無論位於香港或其他地方）提出的要求，又或執行或履行監管職能，而必須保留、處理及/或披露閣下的個人資料。香港交易所及本集團其他成員公司或須披露閣下的個人資料，以履行與閣下的協議，或保護我們或我們僱員的權利、財產或安全，又或執行或履行監管職能。

公司重組

隨著我們持續發展業務，我們可能會重組集團架構或出現控制權易手或業務合併。在這些情況下，閣下的個人資料或會按這份私隱政策聲明或另一份將會通知閣下的私隱政策聲明而移交繼續運營我們業務或類似服務的第三方。此等第三方或在香港以外的地方，就此等收購或重組而使用閣下個人資料的地點也可能不在香港。

查閱及更正個人資料

根據該《條例》，閣下有權查明我們是否持有閣下的個人資料、取得資料的備份及更正任何不準確資料，也可以要求我們通知閣下其持有資料的種類。如欲查閱有關資料，須使用個人資料私隱專員（「**私隱專員**」）指定的表格（可於私隱專員公署的官方網站下載）或經此連接 <https://www.pcpd.org.hk/english/publications/files/Dforme.pdf> 提出。

如欲查閱和更正個人資料，或查閱有關政策與常規以及我們所持有資料種類，應以書面及郵遞形式提出要求（見以下「聯繫我們」）。

我們或會因應閣下查閱資料要求而產生的行政及實際成本而收取合理的費用。

終止或取消

任何時候如果閣下在我們的賬戶或與我們的關係被取消或終止，我們會隨即在合理情況下盡快終止處理閣下的個人資料，但也可按合理需要而保留有關資料，合理的需要包括：資料歸檔；解決實際或潛在的爭議；遵守適用法律及規例；履行與閣下的任何協議；保障我們及我們僱員的權利、財產或安全；以及執行或履行我們的職能、義務及責任等。

一般資料

本私隱政策聲明中英文本如有差異，概以英文版為準。

聯繫我們

郵寄：

香港中環港景街一號

國際金融中心一期 12 樓

香港交易及結算所有限公司

個人資料隱私主任

電郵：

DataPrivacy@HKEX.COM.HK