

To: Customer Service Centre
Hong Kong Securities Clearing Company Limited
1/F, One & Two Exchange Square,
8 Connaught Place, Central, Hong Kong

**ISI (WITH AFFIRMATION)
COUNTERPARTY LIST INPUT REQUEST FORM**
投資者交收指示(須確認)交收對手名單輸入表格

致: 客戶服務中心
香港中央結算有限公司(「結算公司」)
香港中環康樂廣場8號交易廣場1期及2期1樓

To facilitate processing, please fill in block letters.
為方便電腦處理，請以英文正楷填寫。

Participant ID 參與者編號	Participant Short Name 參與者簡稱	Date 日期 DD MM YY
□□□□□□□□	□□□□□□□□□□□□□□□□	□□□□□□□□

ISI (With Affirmation) Counterparty List For Corporate Investor Participants

Details of Instruction Add 輸入 Cancel 取消

輸入指示之細節

Counterparty Participant ID
交收對手的參與者編號

Counterparty Participant Name
交收對手的參與者名稱

1) □□□□□□□□	_____
2) □□□□□□□□	_____
3) □□□□□□□□	_____
4) □□□□□□□□	_____
5) □□□□□□□□	_____
6) □□□□□□□□	_____

Note:

You can maintain a maximum of 6 Broker/Custodian¹ Participant IDs in the list above. By specifying the Broker/Custodian Participant ID, **ONLY** the specified Broker/Custodian can input ISI to deliver/receive shares to/from your account. Such ISIs will require your affirmation before they can be effected.

If you do not maintain any Broker/Custodian Participant ID in the list above, ANY Broker/Custodian can input ISI to deliver/receive shares to/from your account. However, such ISIs will still require your affirmation before they can be effected.

備註:

閣下可於上列欄輸入不多於六個指定的經紀/託管商²參與者編號。輸入後，只有指定的經紀/託管商參與者才可輸入投資者交收指示，於閣下的戶口交付或收取股份；而該等投資者交收指示必須由閣下確認才能生效。

若閣下並無輸入任何經紀/託管商參與者編號於欄內，則任何經紀/託管商參與者均可輸入投資者交收指示，於閣下的戶口交付或收取股份。然而，該等投資者交收指示必須由閣下確認才能生效。

Authorised Signature(s)
(with company chop, ONLY applicable if it forms part of your signing instruction)
獲授權人士簽名
(連同公司印鑑，只適用於該印鑑為貴戶簽署指示的一部分)

For Office Use Only		
Signature verified	Input by	Checked by
□ Incomplete data	□ Signature differ	

Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.

所有在中央結算系統內進行的活動均受現行的《中央結算系統一般規則》及《中央結算系統運作程序規則》所約束。

¹ Broker / Custodian means Broker or Custodian which is a CCASS Participant

² 經紀或託管商是指同時為中央結算系統參與者的經紀或託管商

Notes on Personal Information Collection 收集個人資料之說明

Please refer to the hyperlink (http://www.hkex.com.hk/eng/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) to access the statement "NOTES ON PERSONAL INFORMATION COLLECTION" made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.

請查閱相關超連結(http://www.hkex.com.hk/chi/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf)以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。