

## **List of Qualified Exchange Participants and Qualified Clearing Participants in SWT Assistance Scheme**

The following Exchange Participants (EPs) and their Clearing Participants (CPs) have become Qualified Exchange Participants (QEPs) and Qualified Clearing Participants (QCPs) in the SWT Assistance Scheme. QEPs and QCPs will be entitled to waivers from certain requirements and obligations<sup>1</sup> on a trading day under severe weather conditions<sup>2</sup> (SWT Day) while they will be subject to **certain trading restrictions**. Please refer to Attachment 1 (Section C) in the circular dated 15 July 2024 (Ref. No: [CT/104/24](#)) for more details about the trading restrictions that QEPs are required to observe.

Investors who may use the services provided by the following firms should contact their respective brokers to understand the service provisions on a SWT Day.

The list of QEPs and QCPs will be updated to reflect the latest readiness status. If a QEP or QCP has become SWT ready and does not require assistance from HKEX, its name will be removed from the list and will no longer be subject to the trading restrictions on a SWT day.

### **Participation – SEHK Exchange Participants and HKSCC Direct Clearing Participants**

(Last Update: 28 Aug 2024)

1. Anglo Chinese Securities, Limited
2. Grand Partners Securities Limited
3. Henyep Securities Limited
4. Hermes Securities Limited
5. Hooray Securities Limited
6. KMT Securities Limited
7. Lighthouse Capital (HK) Financial Limited
8. Man Hon Yeung Securities Limited
9. Seaga International Limited
10. Selina & Co. Limited
11. Tai Yip Stock Company Limited
12. Tung Wui Securities Company Limited
13. Wader Securities Company Limited
14. Wilson Securities Limited
15. Wing Yee Securities Company Limited

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<sup>1</sup> Details of the waivers can refer to the circular dated 15 July 2024 (Ref. No: [CT/104/24](#))

<sup>2</sup> Including Typhoon Signal No. 8 or above or the Black Rainstorm Warning issued by the Hong Kong Observatory, or “Extreme Conditions” announcement by the HKSAR Government.