HKEX
香港交易所

香港交易所資訊服務有限公司
(香港交易及結算所有限公司集團全資附屬成員機構)

HKEX INFORMATION SERVICES LIMITED
(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

4 May 2018 **By Email**
Our Ref: MDD/18/1169

To: **Derivatives Lite (D-Lite) Market Data Vendors and End Users (Collectively "Clients");**
D-Lite Applicants; Independent Software Vendors (ISVs)

Dear Sirs,

Reminder for Upcoming Changes to D-Lite for HKATS and DCASS Upgrade and Schedule of Connectivity Sessions for D-Lite Onboarding

I. Upcoming Changes to D-Lite for HKATS/DCASS Upgrade

Please refer to our notice of 15 February 2018 (Ref: MDD/18/854) regarding the changes to D-Lite for HKATS and DCASS Upgrade ("HKATS/DCASS Upgrade") and be reminded that D-Lite will be enhanced to provide new data fields introduced in the HKATS/DCASS Upgrade at its rollout. The tentative implementation schedule, which follows the HKATS/DCASS Upgrade rollout schedule, is recapped below for your preparation and planning:

Activity	Tentative Schedule
Provision of Canned Data / Readiness Test Environment	Late Jul to mid Aug 2018
Completion of Test by Clients and ISVs	Early Sep 2018
Market Rehearsals (MRs)	Sep - Nov 2018
Production Rollout	Early Dec 2018

Clients, D-Lite Applicants and ISVs should plan for their system enhancement to accommodate the changes specified in the OMD Interface Specifications for D-Lite published on the HKEX website according to the above schedule.

II. Schedule of Connectivity Sessions for the Current Version of D-Lite with Fee Waiver

We are pleased to inform D-Lite Applicants who did not participate in the D-Lite rollout on 16 April 2018 but plan for receiving production D-Lite data in the coming months that we have scheduled the following four Connectivity Sessions to facilitate their onboarding.

Session	Schedule
1	12 May 2018 (Saturday)
2	2 June 2018 (Saturday)
3	7 July 2018 (Saturday)
4	11 August 2018 (Saturday)

D-Lite Applicants who did not join the D-Lite rollout on 16 April 2018 are requested to inform HKEX-IS of their onboarding plan by completing the online form via this link by 8 May 2018. Please indicate if you plan to participate in any of the above Connectivity Sessions to receive the D-Lite production data under the current version the next trading day or you plan to connect to D-Lite later.

D-Lite Applicants who participate in the above Connectivity Sessions will be entitled to the fee waiver on the monthly flat fee of HKD2,000 for D-Lite* until the rollout of HKATS/ DCASS Upgrade as long as they comply with the following conditions:

- D-Lite Applicants who have previously submitted D-Lite Feed Enrolment Form; and
- D-Lite Applicants who have placed order to their selected Network Carrier for the installation/ reconfiguration of production lines by end of May 2018 for participation in the planned Connectivity Session.

We would like to advise D-Lite Applicants planning to join the above Connectivity Sessions to take the following actions to complete the onboarding process:

1. Fill in the OMD Production Connection Notification Form (as per enclosure) with required details according to your plan and place the order to your selected Network Carrier for the installation / reconfiguration of your production lines

   Please note that the actual Connectivity Session of your participation is subject to the readiness of your production lines. You are highly encouraged to place the order to your selected Network Carrier as soon as possible and liaise with them closely to ensure that your production lines will be ready on time.

2. Return a copy of the completed OMD Production Connection Notification Form to HKEX-IS if you have not done so

3. Inform HKEX-IS of your actual Connectivity Session if it is different from your original plan indicated in the online form once confirmed with your selected Network Carrier

Lastly, please also be reminded that only Direct Connection Applicants who meet the following conditions will be eligible to join the Connectivity Session and granted access to D-Lite production data:

- Direct Connection Applicants must have their market data licence application completed by returning the duly signed Market Data Vendor Licence Agreement or Market Data End-User Licence Agreement to HKEX-IS at least 2 days before the scheduled Connectivity Session; and

- Direct Connection Applicants who have successfully declared their readiness status by submitting the required materials to HKEX-IS

Should there be any technical related enquiries, please contact Data Connectivity & Support team on (852) 2211 6558 or send email to IVSupport@hkex.com.hk. For questions on licensing and fees, please contact our Data Licensing & Marketing team via MarketData@hkex.com.hk.

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* The monthly flat fee of HKD2,000 for D-Lite has been approved by the Securities and Futures Commission

Yours sincerely,

Karen Lam
Vice President
Data Connectivity & Support, Market Data
Markets Division

Enclosure: OMD Production Connection Notification Form