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Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

## 通告 CIRCULAR

Subject: Briefing Sessions on HKATS and DCASS System Upgrade

Enquiry: HKATS Hotline<sup>1</sup> 2211-6360

Reference is made to the circular dated 6 December 2016 (Ref: [MO/DT/134/16](#)), Hong Kong Exchanges and Clearing Limited ("HKEX") plans to upgrade the Hong Kong Futures Automated Trading System ("HKATS") and the Derivatives Clearing and Settlement System ("DCASS") to a new version of Genium INET Platform ("Genium Upgrade") by the third quarter of 2018 tentatively.

To prepare for the Genium Upgrade, Exchange Participants ("EPs") are requested to nominate Genium Upgrade Project Coordinators for respective functional areas, i.e. Trading, Clearing and Information Technology, if applicable, and provide the relevant contact details to HKEX by completing the [online form](#), on or before 30 June 2017.

Furthermore, to assist EPs in planning and preparing for the Genium Upgrade, four briefing sessions will be held in June 2017. The briefing sessions will provide an overview of Genium Upgrade including the tentative project schedule. Each EP is advised to nominate two representatives (preferably one from trading operations and one from information technology) to attend the briefing sessions by completing and returning the registration form **on or before 14 June 2017**.

The four briefing sessions will be held as follows:

Date:	19 June 2017	Monday	Cantonese	<a href="#">Online registration form</a>
	20 June 2017	Tuesday	Cantonese	<a href="#">Online registration form</a>
	21 June 2017	Wednesday	English	<a href="#">Online registration form</a>
	22 June 2017	Thursday	Cantonese	<a href="#">Online registration form</a>

<sup>1</sup> All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: [http://www.hkex.com.hk/eng/global/privacy\\_policy.htm](http://www.hkex.com.hk/eng/global/privacy_policy.htm)

Time: Registration 16:45 - 17:00  
Presentation 17:00 - 18:00

Venue: The Exchange Auditorium, The Exchange Exhibition Hall, 1/F, One & Two Exchange Square, Central

EPs are highly recommended to coordinate with your IT teams / vendors for the necessary planning and preparation.

For any enquiries, please contact HKATS hotline at 2211 6360.

Sanly Ho  
Head  
Derivatives Trading  
Markets Division

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*